

# Anyonic defects: A new paradigm for non-Abelian Statistics

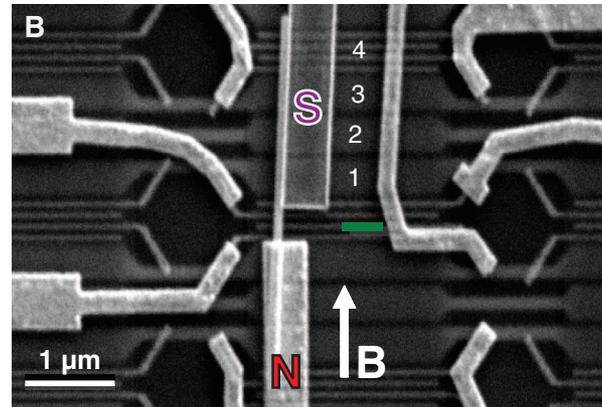
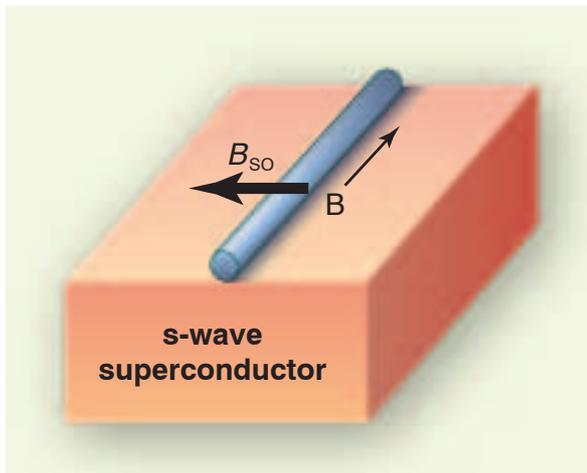
**Erez Berg**

**Weizmann Institute of Science**

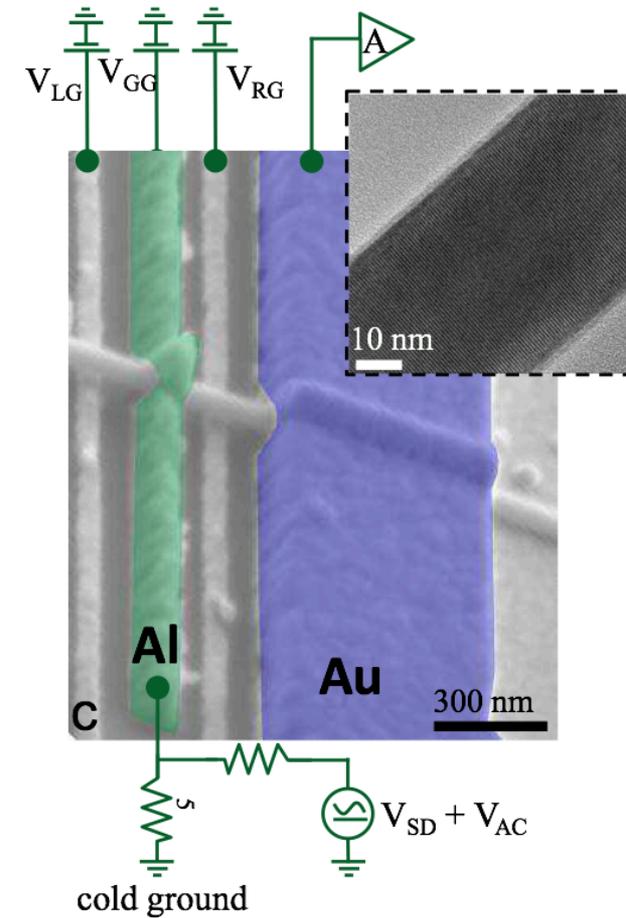
**In collaboration with:  
Netanel Lindner (Technion)  
Gil Refael (Caltech)  
Ady Stern (Weizmann)  
Frank Pollmann (MPI Dresden)  
Ari Turner (Johns Hopkins)**



# Majorana zero modes



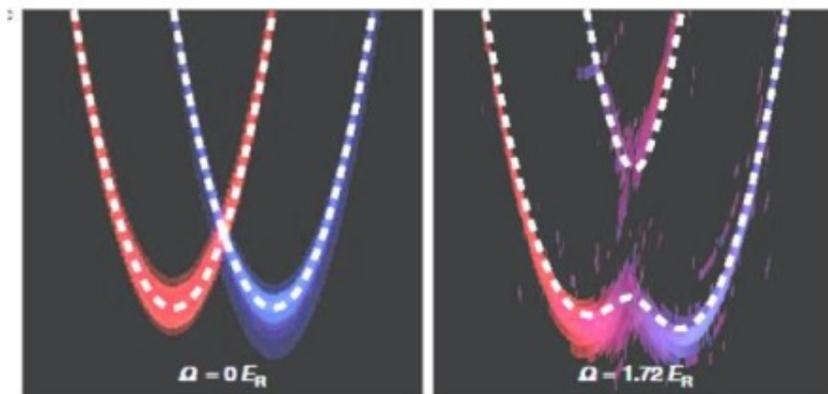
**Mourik et al. (2012)**



**Das et al. (2012)**

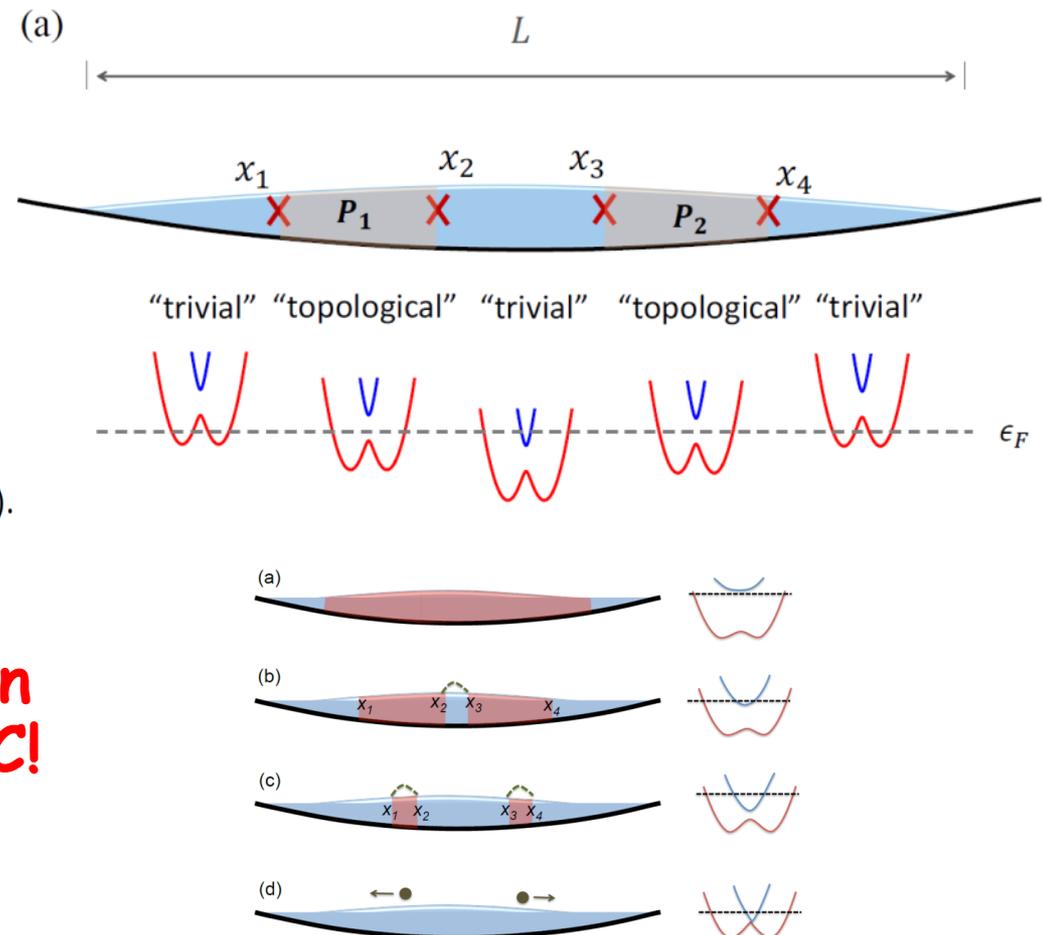
# Short advertisement: Majoranas in cold atom systems without proximity

Ruhman, EB, and Altman, arXiv:1412:3444



Wang, Yu, Fu, Miao, Huang, Chai, Zhai, and Zhang, PRL (2012).  
Cheuk, Sommer, Hadzibabic, Yefsah, Bakr, and Zwierlein, PRL (2012).

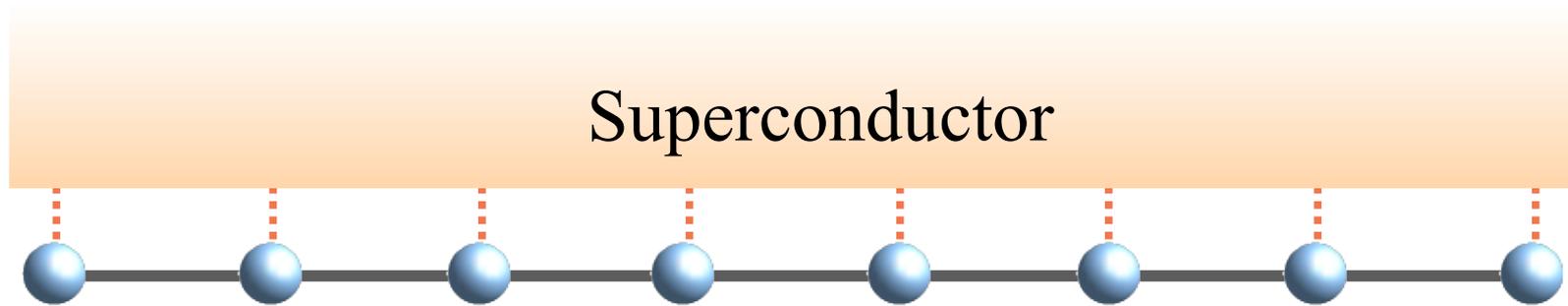
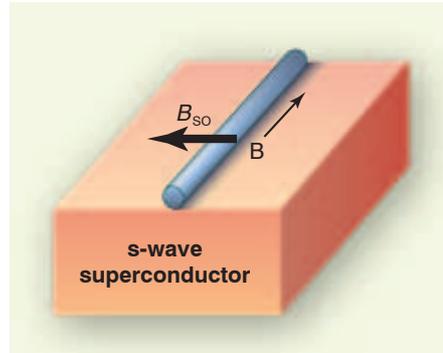
Probing scheme: ramp down  
the Zeeman field and SOC!



# Outline

- Brief review of Majorana fermions
- “Fractionalized Majoranas” on fractional quantum Hall edges
  - Fractionalized 1D superconductors
  - Twist defects
- Anyonic defects in non-Abelian systems

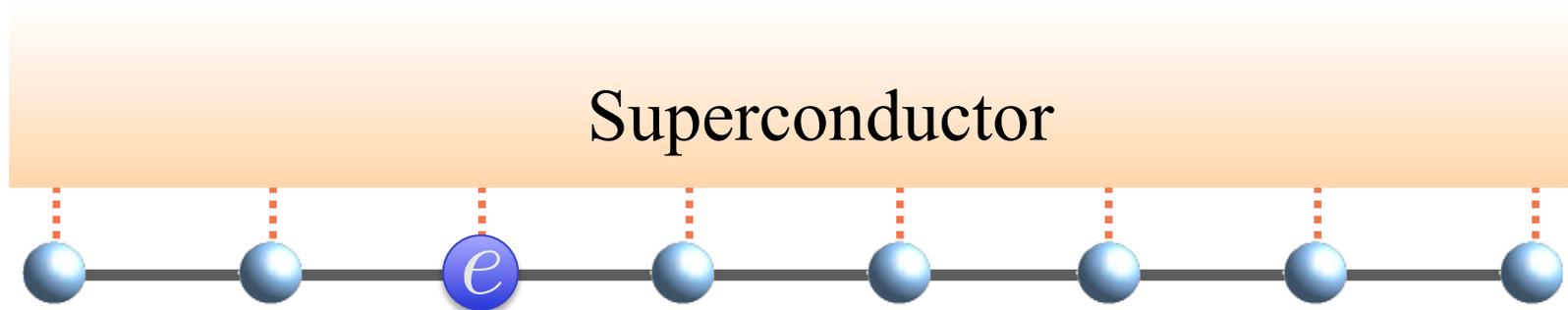
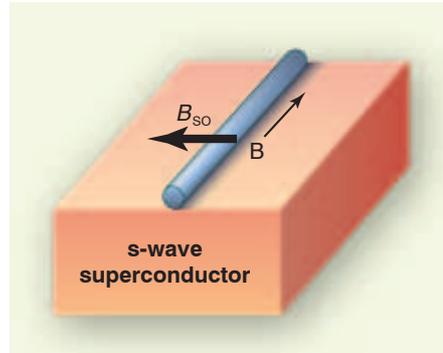
# Majorana fermions in a superconducting wire



$$H = \sum_{i,j} \left[ -t_{ij} \left( c_i^\dagger c_j + H.c. \right) + \Delta_{ij} \left( c_i^\dagger c_j^\dagger + H.c. \right) \right] - \sum_i \mu c_i^\dagger c_i$$

**Kitaev (2002), Sau et al. (2010), Oreg et al. (2010), ...**

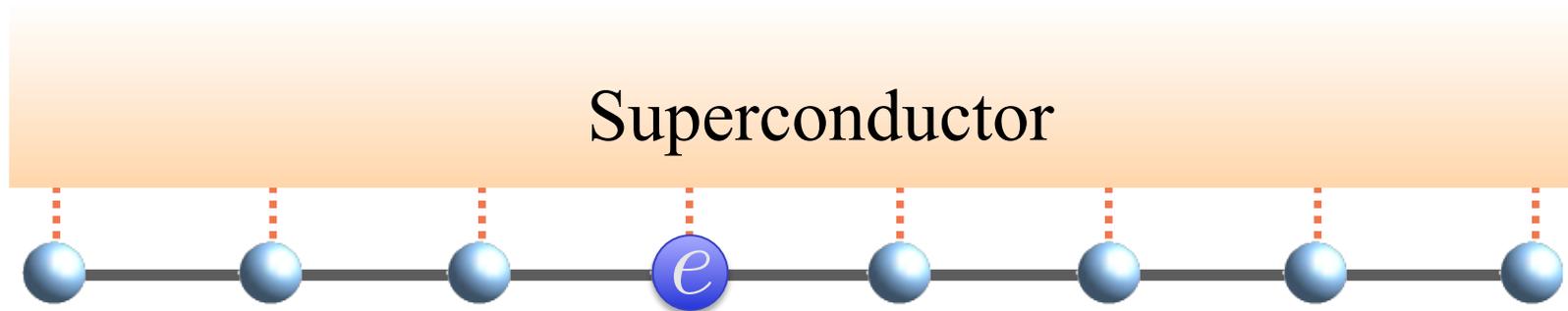
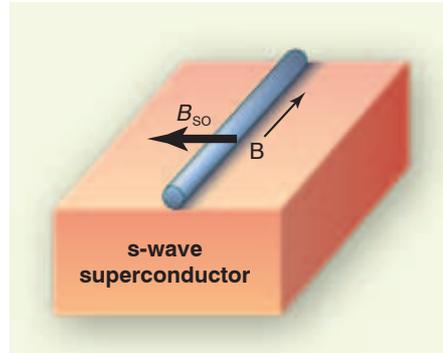
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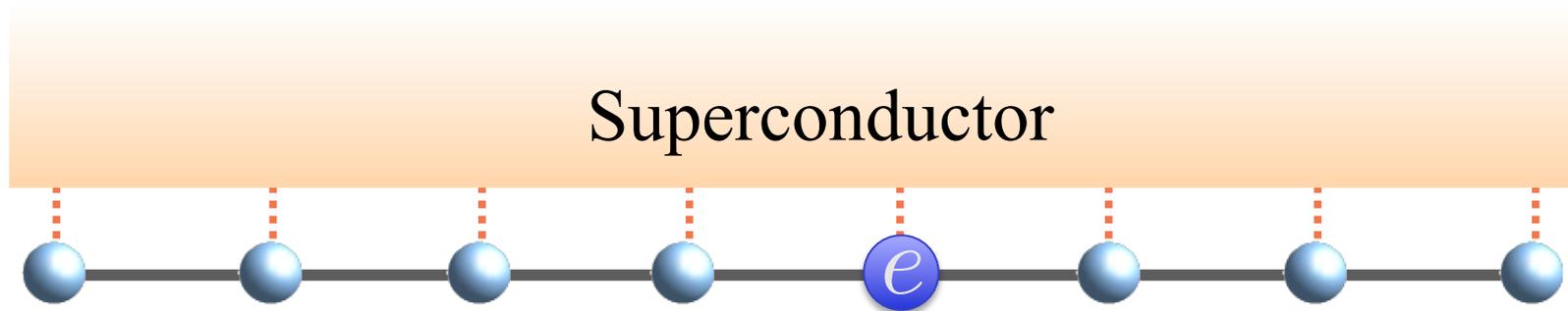
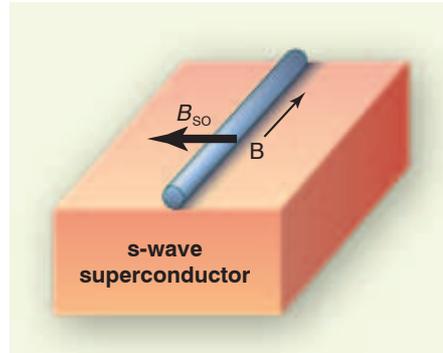
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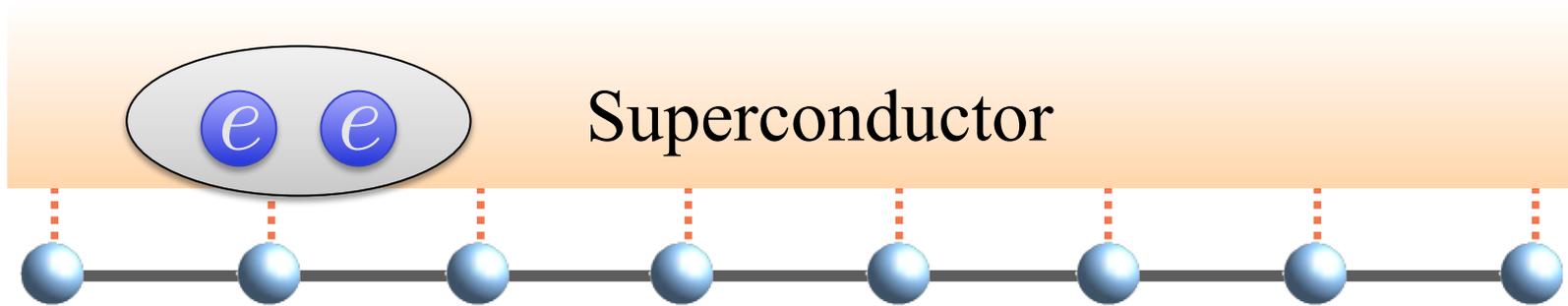
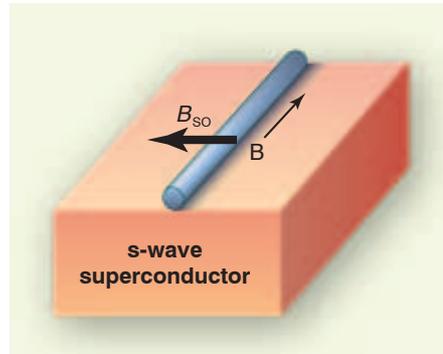
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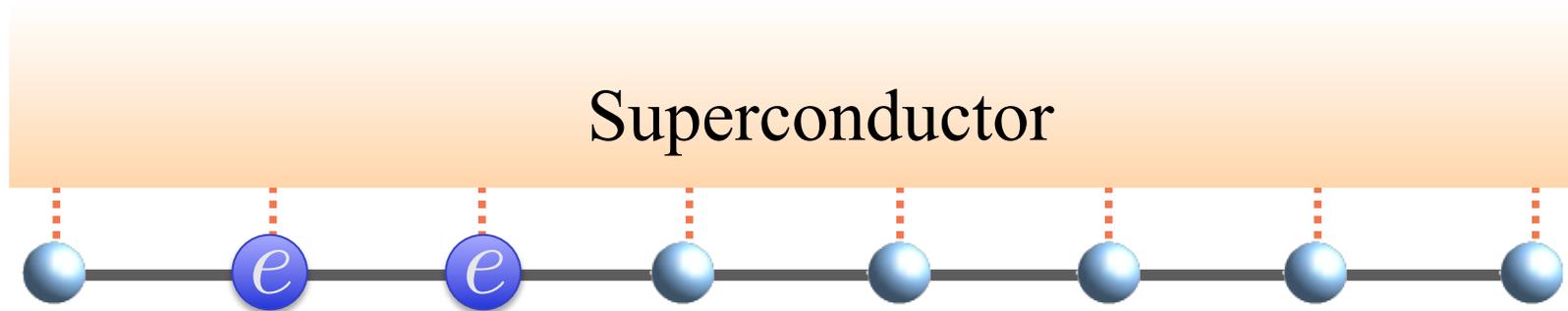
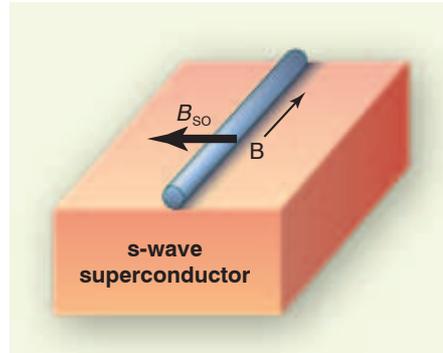
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**Kitaev (2002), Lutchyn et al. (2010), Oreg et al. (2010), ...**

# Majorana fermions in a superconducting wire



$$H = \sum_{i,j} \left[ -t_{ij} \left( c_i^\dagger c_j + H.c. \right) + \Delta_{ij} \left( c_i^\dagger c_j^\dagger + H.c. \right) \right] - \sum_i \mu c_i^\dagger c_i$$

**Kitaev (2002), Sau et al. (2010), Oreg et al. (2010), ...**

# Adding electrons to the chain

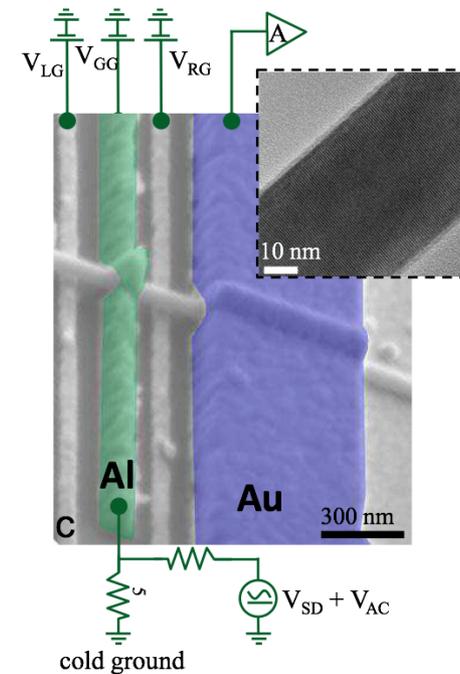
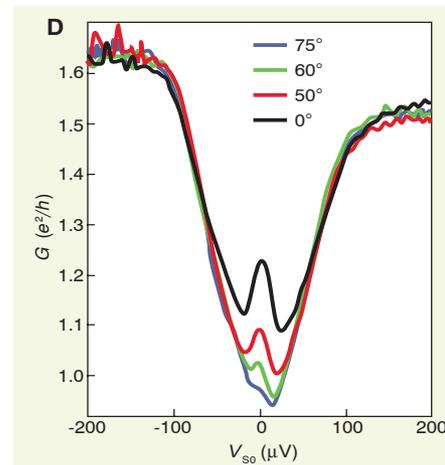
Metal

$e$

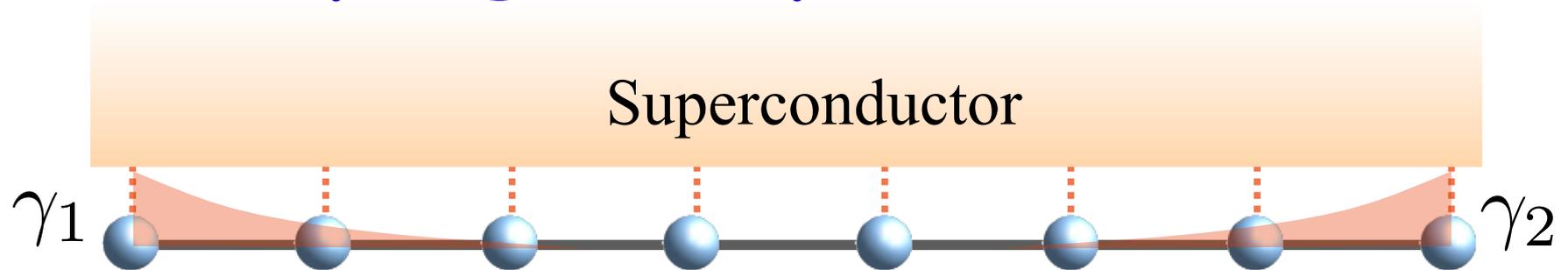
Superconductor



**“Majorana  
Zero modes”  
at both ends!**



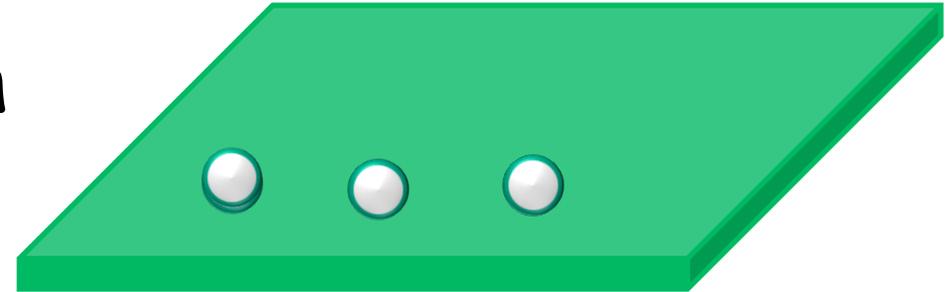
# Lessons from the one dimensional topological superconductor



- **Gapped system, two degenerate ground states, characterized by having a different fermion parity**
- **Defects** (in this case, the edges of the system) carry protected **zero modes** described by **anti-commuting operators**:  $\gamma_1 \gamma_2 = -\gamma_2 \gamma_1$
- Ground state degeneracy is **“topological”**: no local measurement can distinguish between the two states!
- **Useful as a “quantum bit”?**

# Non-Abelian Anyons

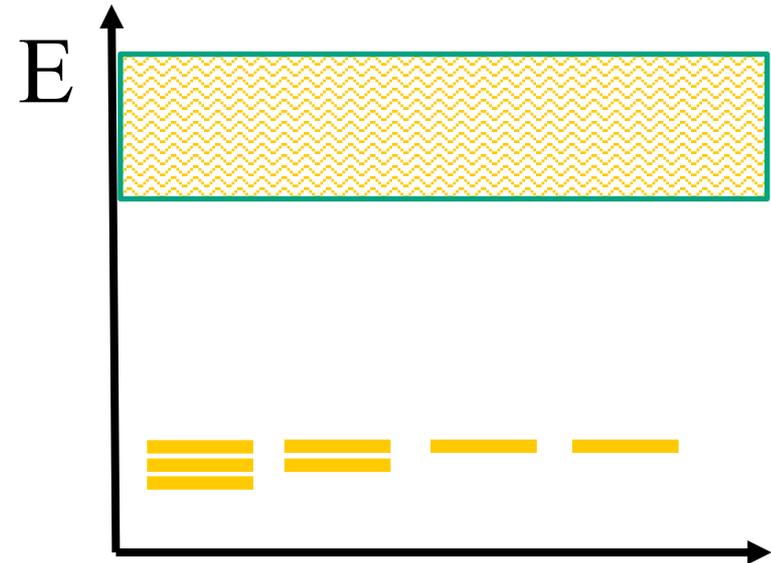
Degeneracy increases with number of anyons



“quantum dimension”

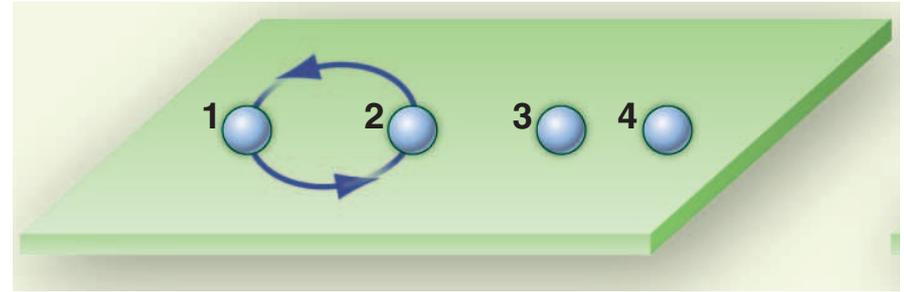
$$\dim H_{GS} : \lambda^N$$

**Robust to local perturbations!**

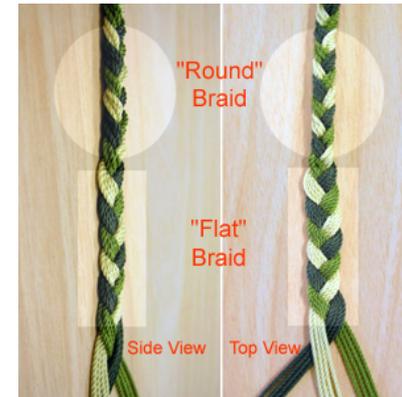
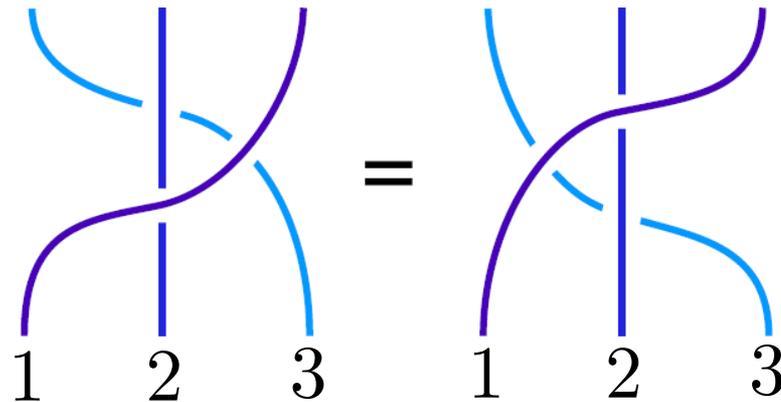


# Non-Abelian Statistics: Braiding

$$|\psi_i\rangle \rightarrow \sum_j U_{ij} |\psi_j\rangle$$



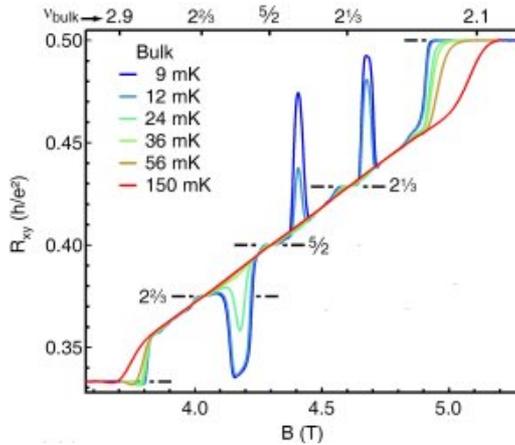
**Braid  
group:**



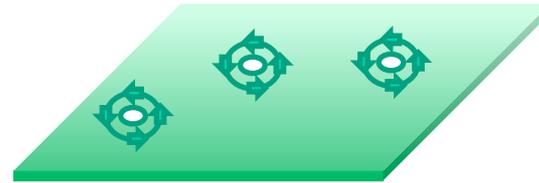
**Majorana Fermions:**  $e^{(\pi/4)\gamma_1\gamma_2} = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}$

**2D vortices: Ivanov, Read & Green, ...**  
**1D wire network: Alicea et.al (2010)**

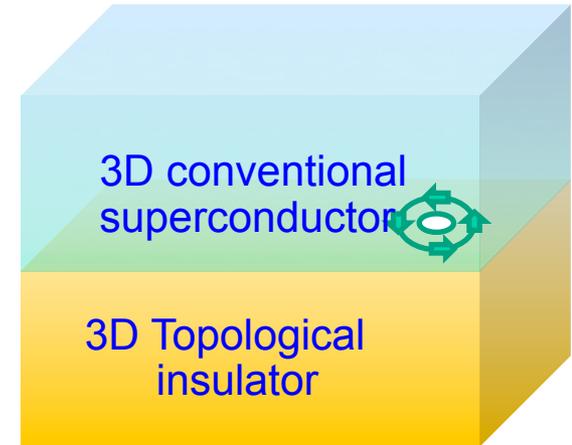
# The quest for non-Abelian systems



Fractional  
QH



2D p+ip  
superconductors



Superconductor - 3D Top. Insulator  
(Semiconductor) heterostructures

All of these realize Ising anyons  
(i.e. Majoranas)

Can we get something richer?

- The braiding of Majorana zero modes are **non-universal**:  
a general unitary transformation cannot be performed in a protected way
- Can we get something richer than Majorana fermions in 1D ?

**“Theorem”** (Fidkowsky, 2010; Turner, Pollmann, and EB, 2010):

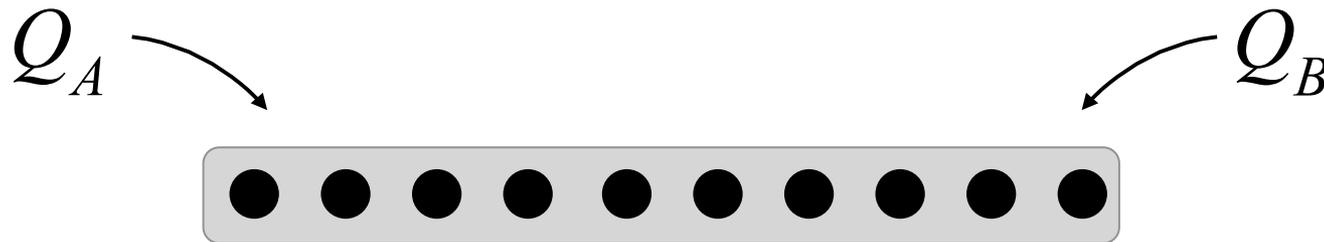
Gapped, local Hamiltonians of fermions or bosons in 1D, can give (at best) Majorana zero modes.

# Gapped phases of fermions

A. Turner, F. Pollmann, EB (2010)

No symmetries: only one gapped phase in 1D  
(N. Shuch et al., 2011)

- Conservation of **fermion parity** with  $Q = (-1)^{N_{\text{total}}}$



“Fractionalization” of the parity operator (in low-energy subspace)  $Q = Q_A Q_B$

$Q_A, Q_B$  either fermionic or bosonic!

$$Q^A Q^B = e^{i\mu} Q^B Q^A$$

➡ two distinct phases with  $\mu = 0, \pi$

# Beyond Majorana fermions

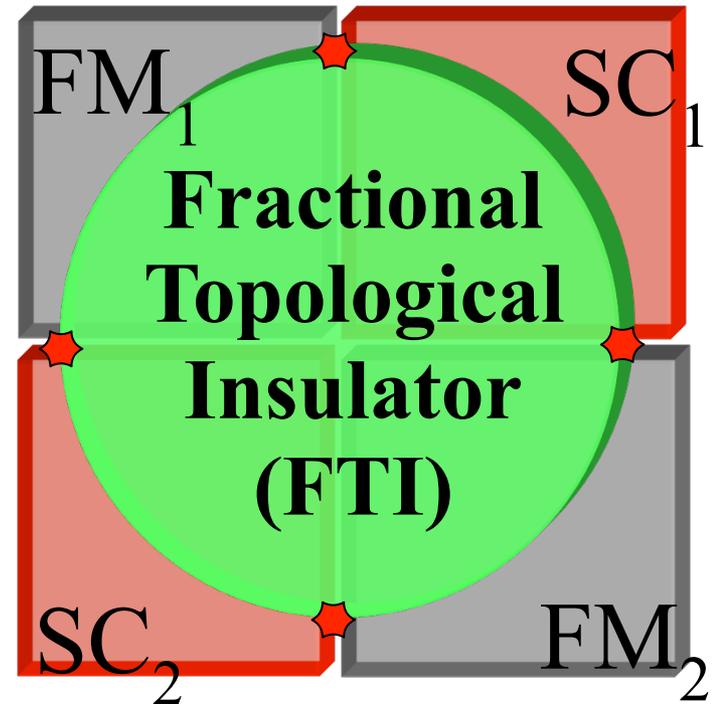
Consider the *effectively 1D boundaries* of 2D a topological phase which supports (abelian) *anyons*.

“Fractional topological insulator”:

Laughlin Quantum Hall state  
with:

$\nu = 1/m$  for spin up

$\nu = -1/m$  for spin down ( $m$  odd)



Stable phase: Levin and Stern (2010)

Majorana fermions at SC/FM interfaces: Fu and Kane (2009)

# Fractional Quantum Hall effect

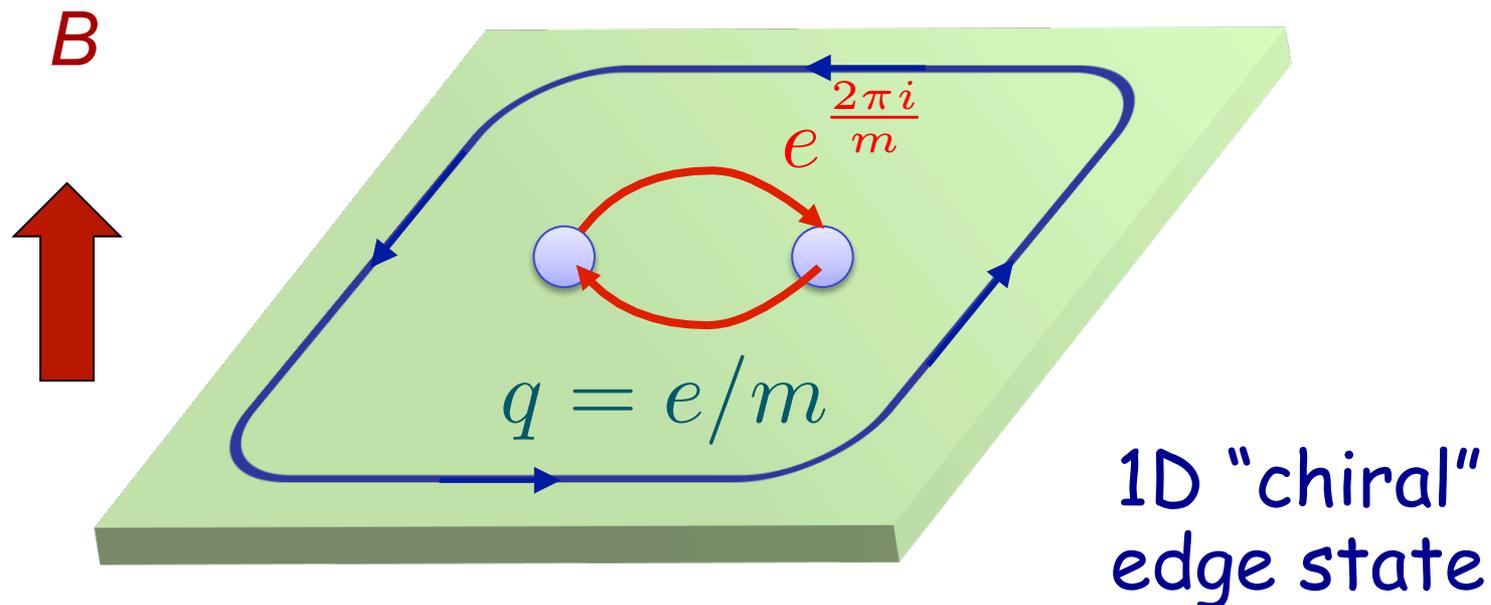
Electrons in two dimensions, high magnetic field

Special density (number of electrons/flux quantum),  
ultra clean, low temperature:

$\nu = 1/m$  Fractional Quantum Hall (Laughlin) state

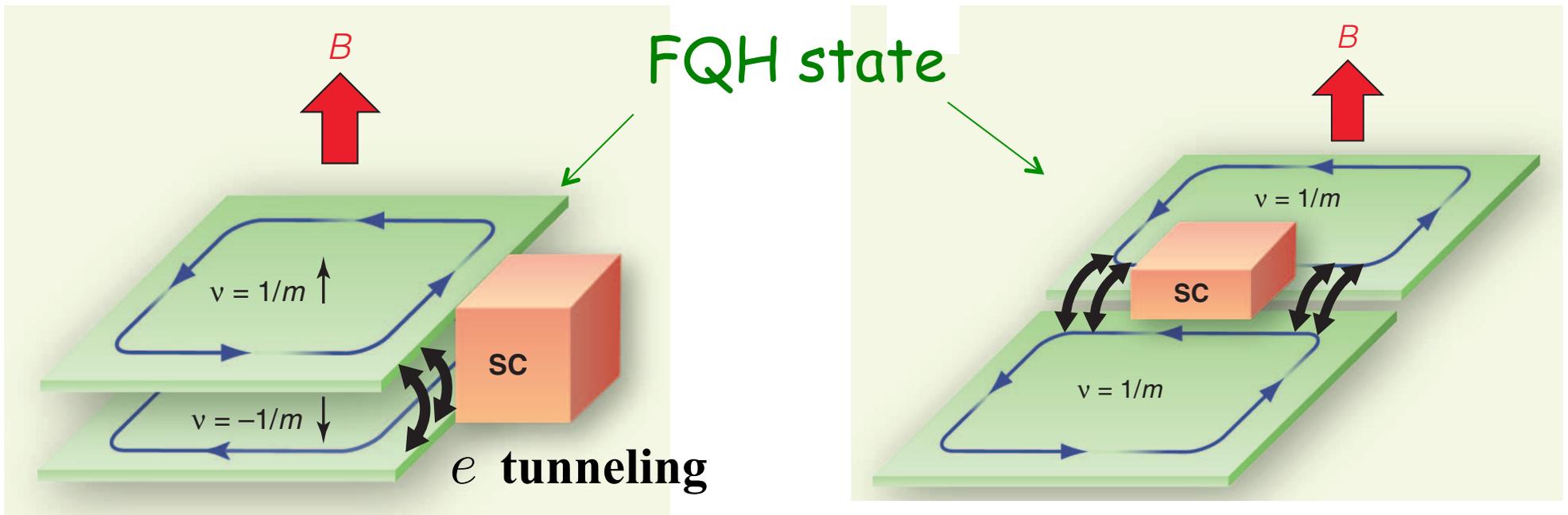
Gapped bulk, gapless chiral edge states

Excitations: fractional charge, fractional statistics!



# Beyond Majorana fermions

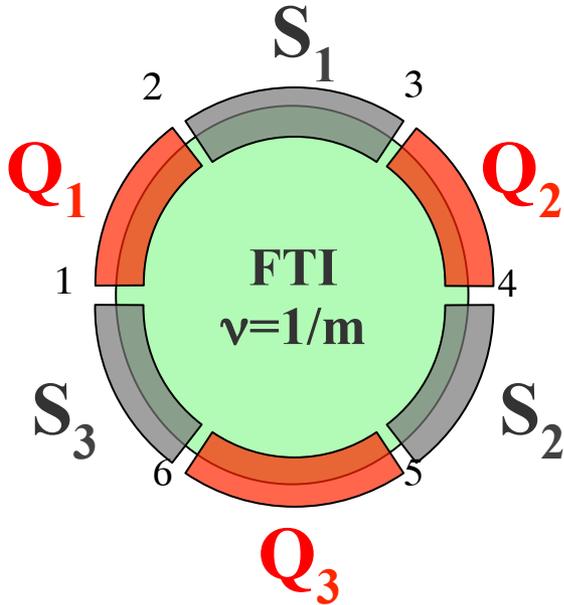
Fractional quantum Hall "realizations"  
of a Fractional Topological Insulator



**Lindner, EB, Stern, Refael (2013);  
Clarke, Alicea, Shtengel (2013);  
Cheng (2013)**

# Ground state degeneracy

Physical picture:



Charges in SC conserved mod(2)  
 $Q_j = n/m, n = 0, \dots, 2m-1$

Spins in FM conserved mod(2)  
(el. spin=1)

$S_j = n/m, n = 0, \dots, 2m-1$

Spin and charge are conjugate variables:

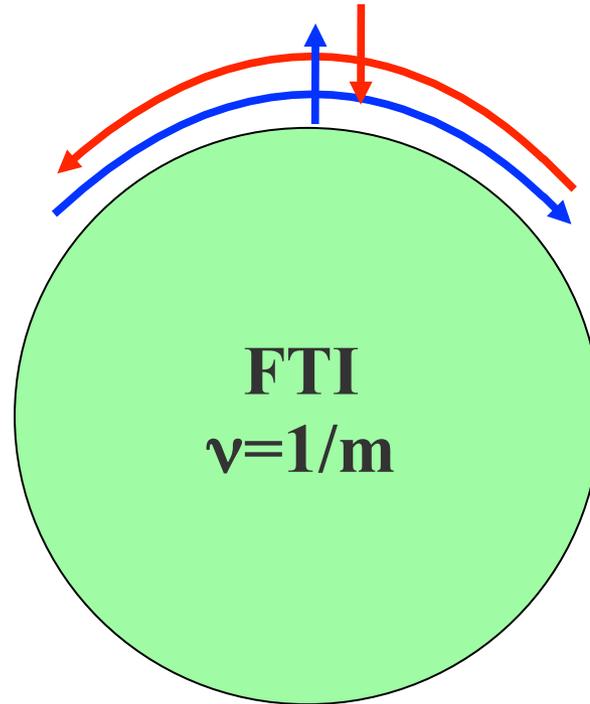
$$e^{i\pi S_i} e^{i\pi Q_j} = e^{\frac{i\pi}{m} (\delta_{i,j+1} - \delta_{i,j})} e^{i\pi Q_j} e^{i\pi S_i}$$

2N domains, fixed total Q, S:  $(2m)^{N-1}$

approximately degenerate ground states

Interface "anyon" with quantum dimension  $\sqrt{2m}$

# Effective Model for Fractional Topological Insulator Edge States



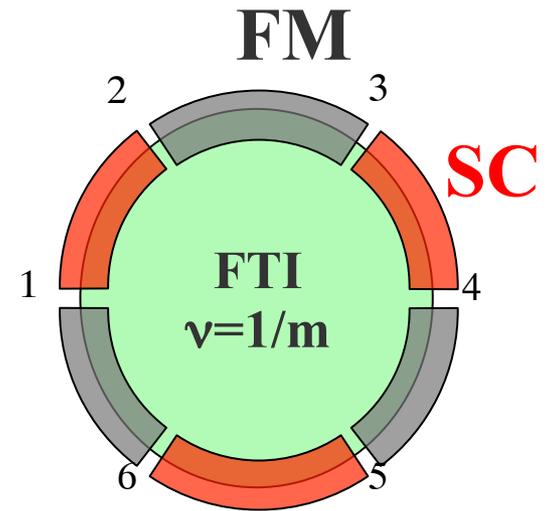
**Non-chiral Luttinger liquid edge state:**

$$H = \frac{u}{2\pi\nu} \int dx \left[ K(x) (\partial_x \phi)^2 + \frac{1}{K(x)} (\partial_x \theta)^2 \right]$$

# Effective Model for Fractional Topological Insulator Edge States

$$H = \frac{u}{2\pi\nu} \int dx \left[ K(x) (\partial_x \phi)^2 + \frac{1}{K(x)} (\partial_x \theta)^2 \right]$$

$$- \int dx \left[ \underbrace{g_S(x) \cos(2m\phi)}_{\psi_R \psi_L + H.c.} + \underbrace{g_F(x) \cos(2m\theta)}_{\psi_R^\dagger \psi_L + H.c.} \right]$$



**Comm. Relations:**  $[\phi(x), \theta(x')] = i \frac{\pi}{m} \Theta(x' - x)$

**Charge density:**  $\rho = \frac{1}{\pi} \partial_x \theta$

**Spin density:**  $s^z = \frac{1}{\pi} \partial_x \phi$

**Electron:**  $\psi_{R,L} \propto e^{im(\phi \pm \theta)}$

**Laughlin q.p.:**  $\chi_{R,L} \propto e^{i(\phi \pm \theta)}$

**2N domains**

# Ground state degeneracy

Large cosine terms (strong coupling to SC/FM)

$$- \int dx [g_S(x) \cos(2m\phi) + g_F(x) \cos(2m\theta)]$$

$\phi, \theta$  pinned near the minima of the cosines:

$$\phi_n = \frac{\pi}{m}n, \quad n \in 0, 1, \dots, 2m - 1$$

$$\theta_k = \frac{\pi}{m}k, \quad k \in 0, 1, \dots, 2m - 1$$

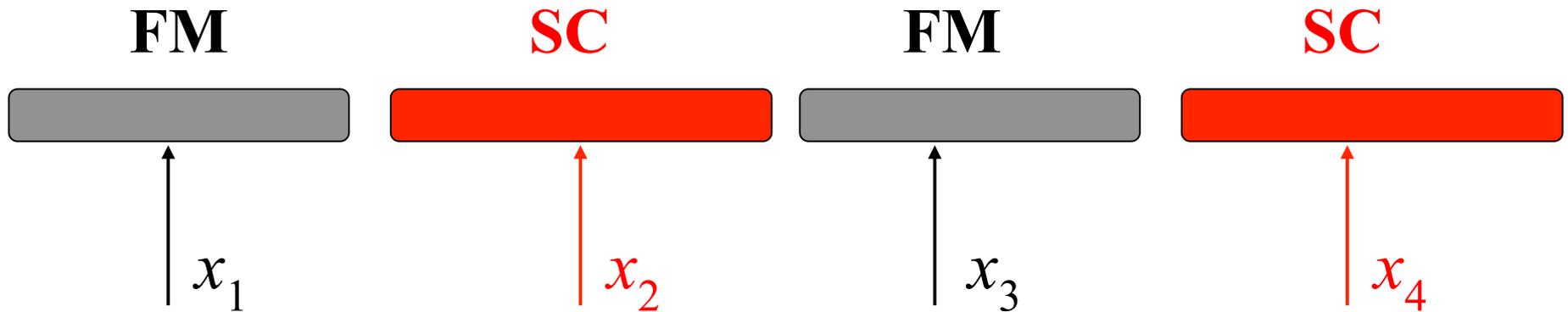
**But...  $\phi, \theta$  are dual variables: cannot be "localized" simultaneously**

$$e^{i\theta(x)} e^{i\phi(x')} = e^{i\frac{\pi}{m}\Theta(x-x')} e^{i\phi(x)} e^{i\theta(x)}$$

**2N domains:  $\sim(2m)^N$  approximately degenerate ground states**

# Q and S operators

In terms of the  $\phi$ ,  $\theta$  fields, one can define the Q, S operators:

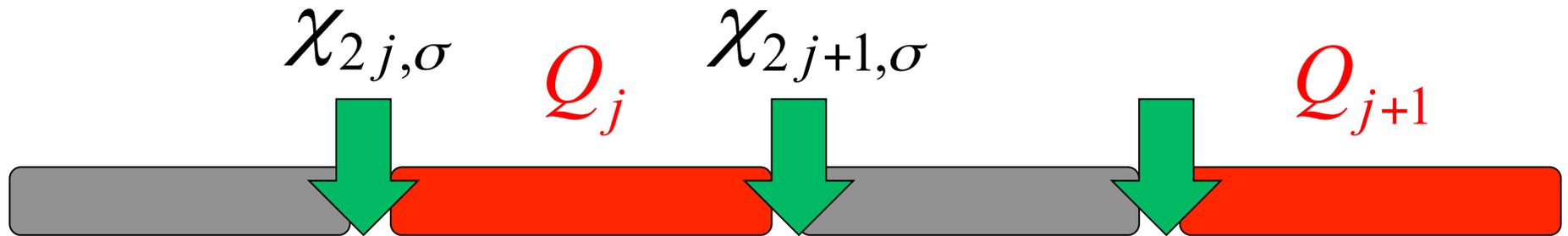


$$e^{i\pi Q_2} = e^{i \int_{x_1}^{x_3} dx \partial_x \theta} = e^{i[\theta(x_3) - \theta(x_1)]}$$

$$e^{i\pi S_3} = e^{i \int_{x_2}^{x_4} dx \partial_x \phi} = e^{i[\phi(x_4) - \phi(x_2)]}$$

$$e^{i\pi S_i} e^{i\pi Q_j} = e^{i \frac{\pi}{m} (\delta_{i,j+1} - \delta_{i,j-1})} e^{i\pi Q_j} e^{i\pi S_i}$$

# “Fractionalized Majorana operators”



$$\chi_{r,\sigma} |q_1, \dots, q_j, \dots; s\rangle \propto |q_1, \dots, q_j + 1, \dots; s + \sigma\rangle$$

$$[H, \chi_{r\sigma}] = 0$$

$$(\chi_{r\sigma})^{2m} = 1$$

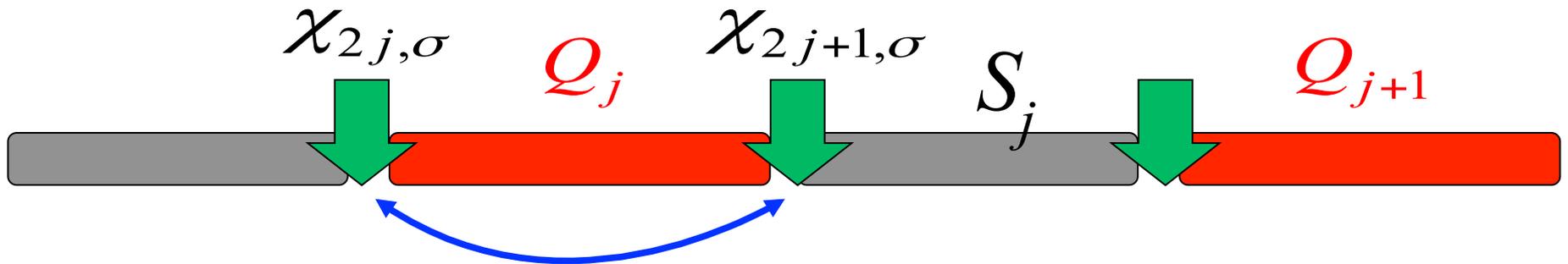
$\chi_{r\sigma}$  have q.p commutation relations

$$\chi_{j,\sigma} \chi_{k,\uparrow} = e^{i\pi/m} \chi_{k,\uparrow} \chi_{j,\sigma}$$

$$\chi_{j,\sigma} \chi_{k,\downarrow} = e^{-i\pi/m} \chi_{k,\downarrow} \chi_{j,\sigma}$$

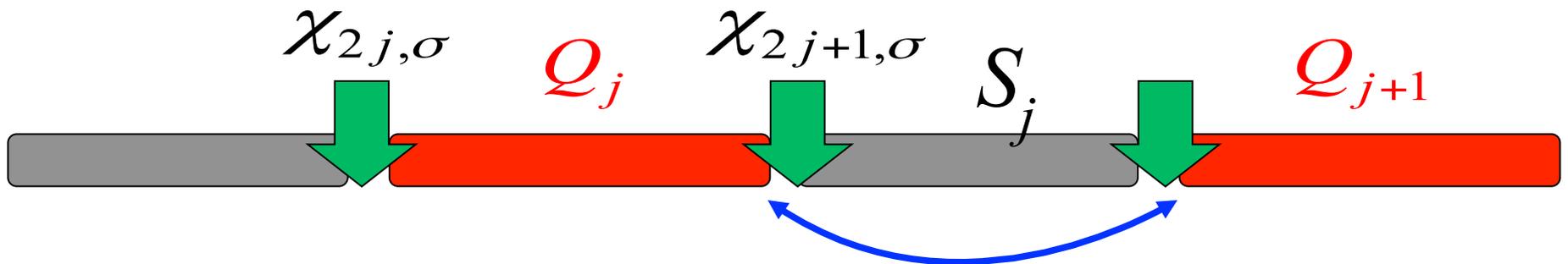
**1D model of “Parafermions”:**  
**P. Fendley, arXiv:1209.0472**

# Coupling of interfaces



q.p. tunneling

$$H_Q = -t\chi_{2j,\sigma}\chi_{2j+1,\sigma}^\dagger + h.c. = -2t \cos(\pi Q_j)$$

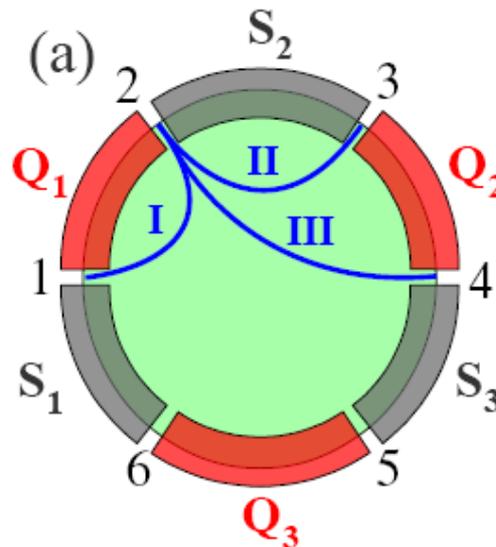


q.p. tunneling

$$H_S = -t\chi_{2j+1,\sigma}\chi_{2j+2,\sigma}^\dagger + h.c. = -2t \cos(\pi S_j)$$

# Braiding

Braiding domain walls 3 and 4:

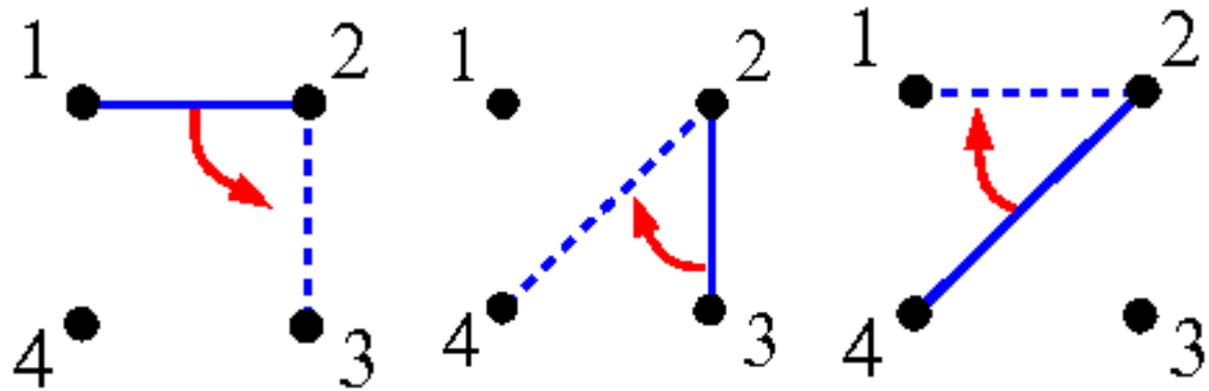
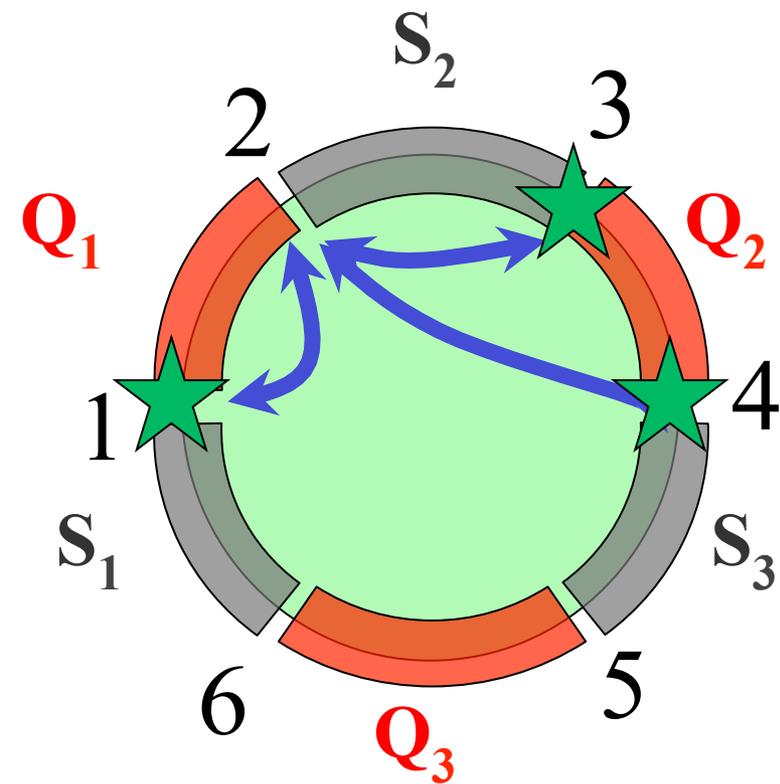
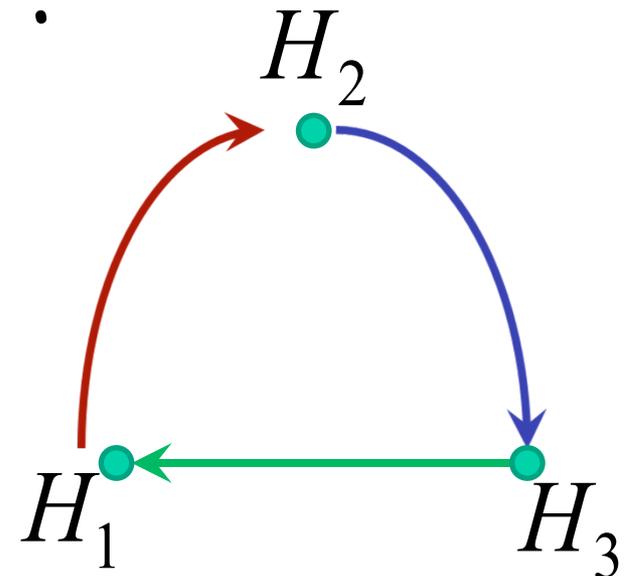


For an arbitrary coupling of any three domain walls,  
the ground state degeneracy remains  $(2m)^2$   
as long as only one spin species is allowed to tunnel.

# Braiding

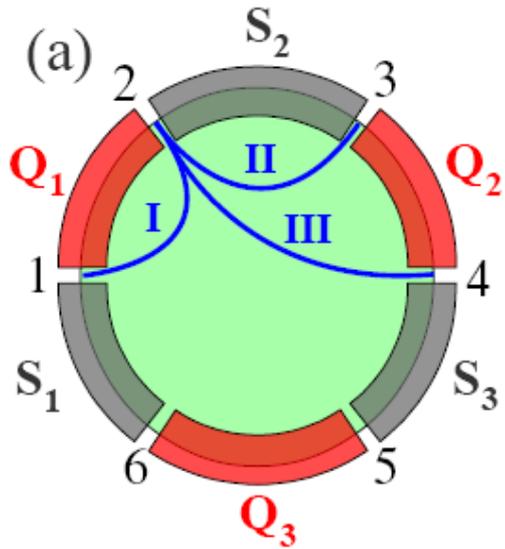
Braiding interfaces  $\star$  :

$$H(t) = \sum_{ij} \lambda_{ij}(t) H_{ij}$$



# Braiding

Braiding domain walls 3 and 4:



$$U_{34} = \exp\left(i\frac{\pi m}{2}\hat{Q}_2^2\right) = \exp\left(i\frac{\pi}{2m}q_2^2\right)$$

$$Q_2 = \frac{1}{m}q_2, \quad q_2 = 0, \dots, 2m - 1$$

**Example:  $m=3$**   $q_2 = 2p + 3q$  ( $p = 0, 1, 2, q = 0, 1$ )

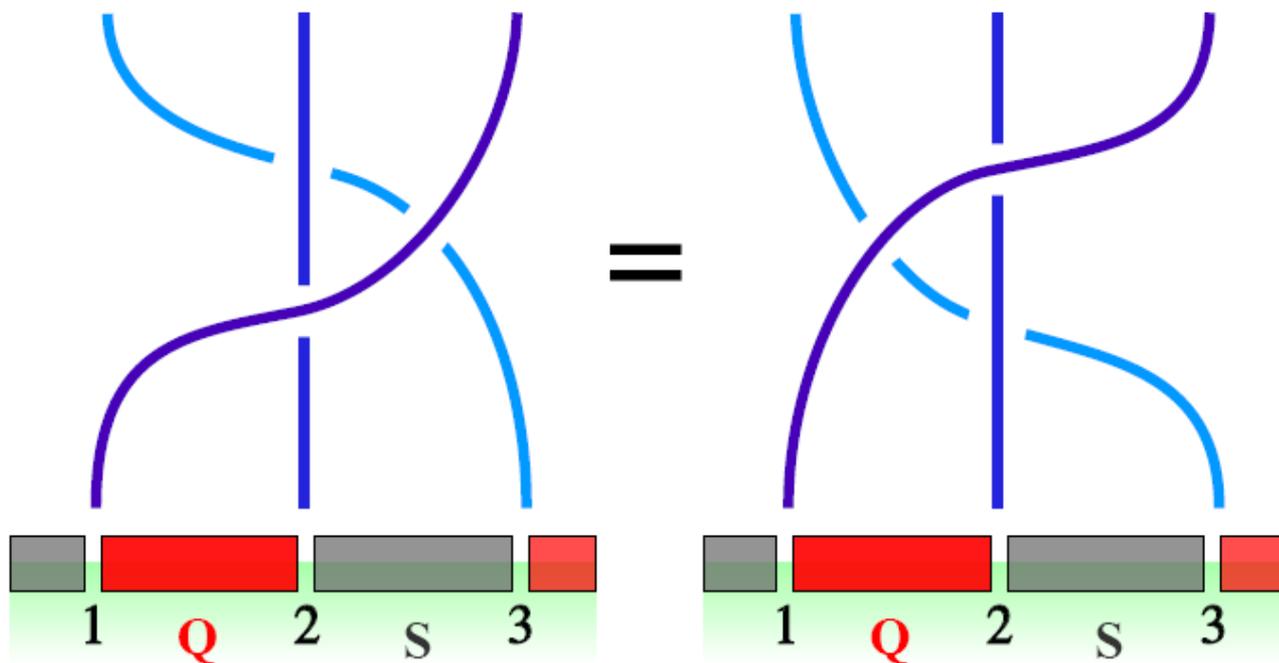
$$U_{34} = \exp\left(i\frac{\pi}{6}q_2^2\right) = \exp\left(-i\frac{\pi}{2}q^2\right) \exp\left(i\frac{2\pi}{3}p^2\right)$$

**(Majorana)  $\otimes$  (Something new!)**

# The Braid Group

$$\begin{aligned} [\hat{U}_{i,i+1}, \hat{U}_{j,j+1}] &= 0 \quad (|i - j| > 1), \\ \hat{U}_{j,j+1} \hat{U}_{j+1,j+2} \hat{U}_{j,j+1} &= \hat{U}_{j+1,j+2} \hat{U}_{j,j+1} \hat{U}_{j+1,j+2} \end{aligned}$$

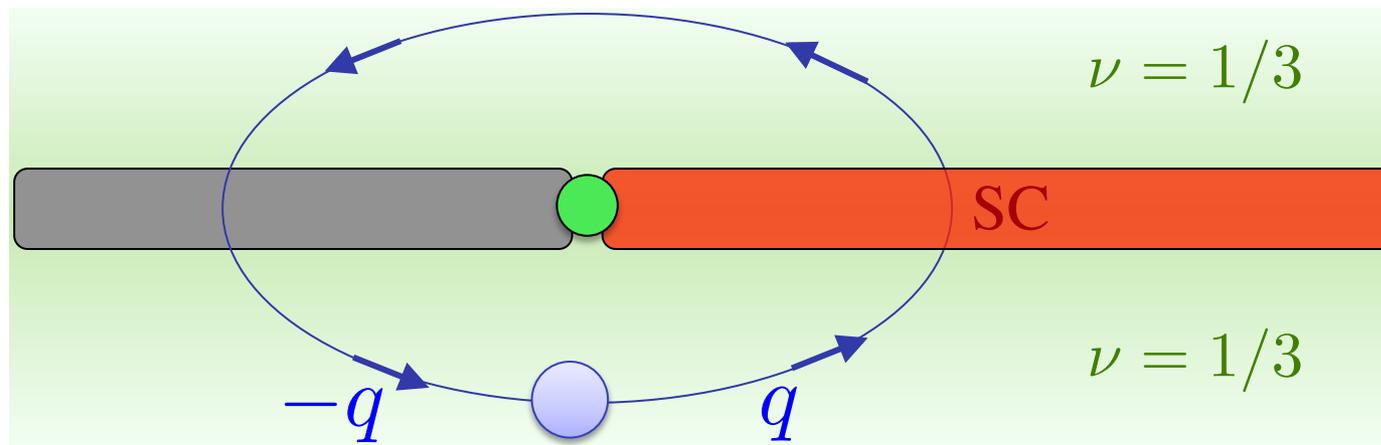
(Yang-Baxter equation)



Both equations hold: rep. of the braid group

# Fractionalized zero modes at “twist defects” in topological phases

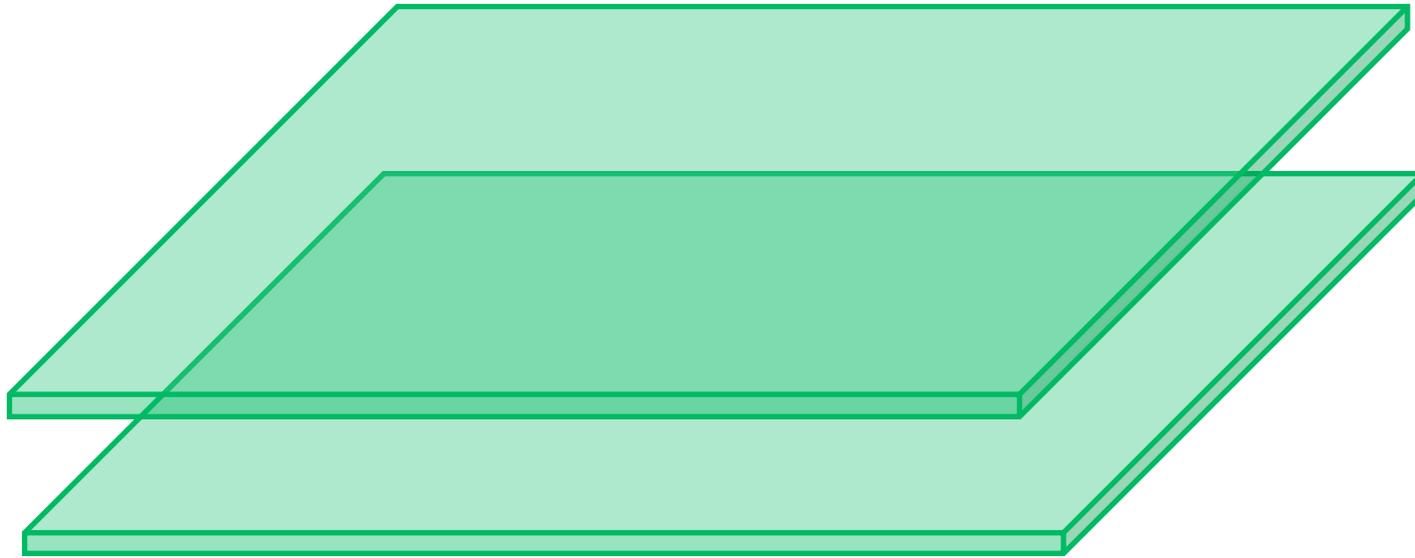
Ends of line defects that interchange anyon types  
 (“topological symmetry”)



The “defect line” can permute anyon types.

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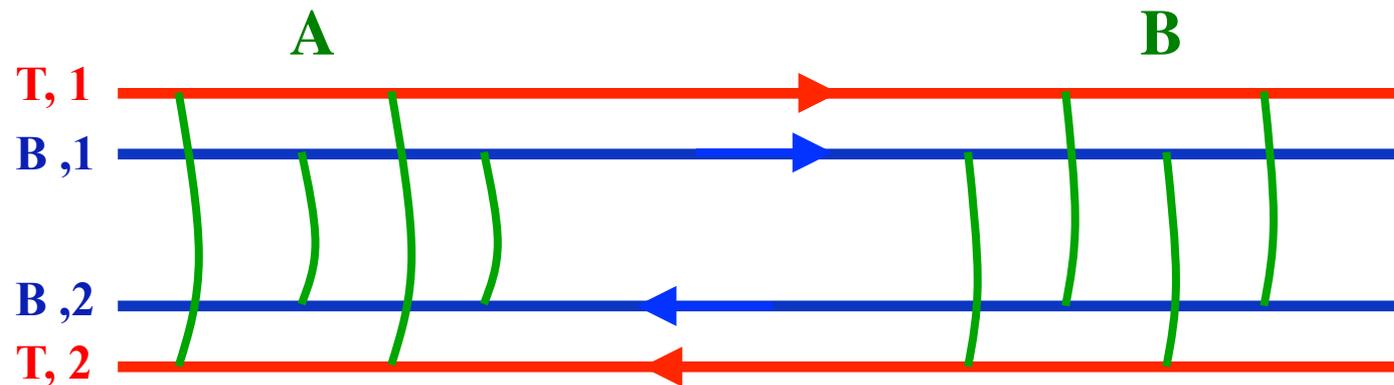
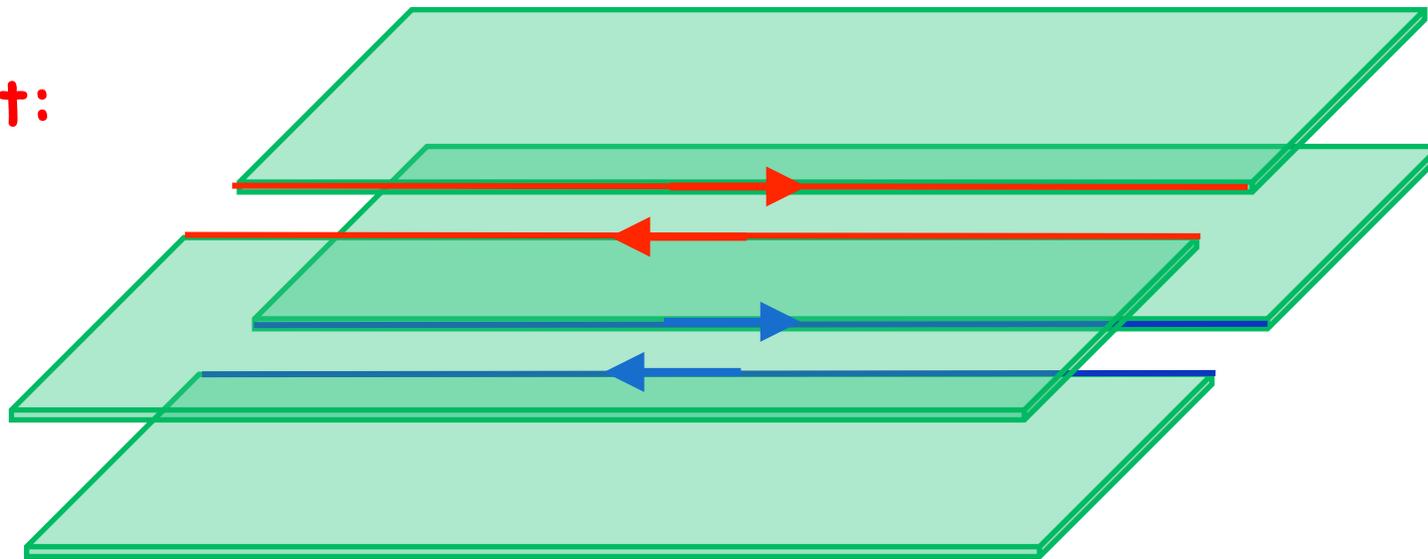
Another example:  $\nu=1/3$  bilayer



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Another example:  $\nu=1/3$  bilayer

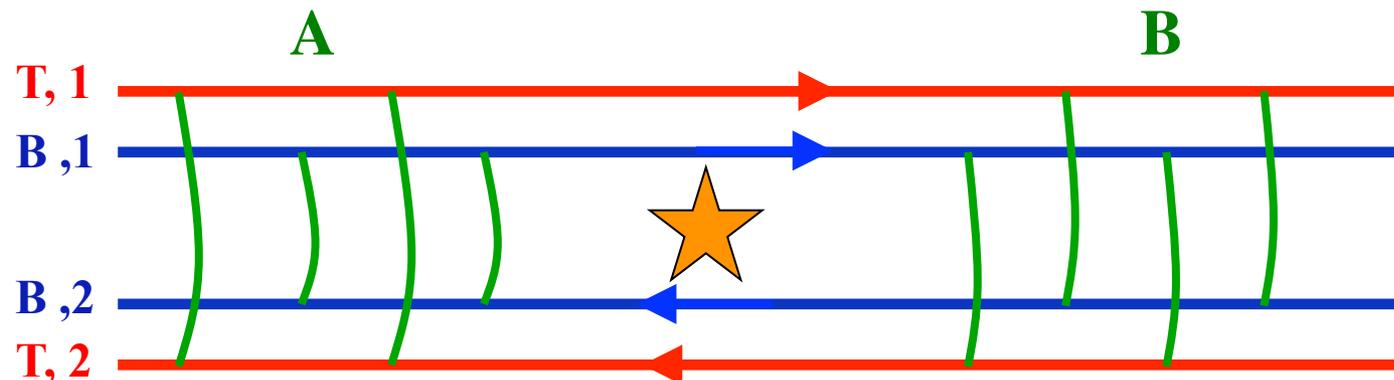
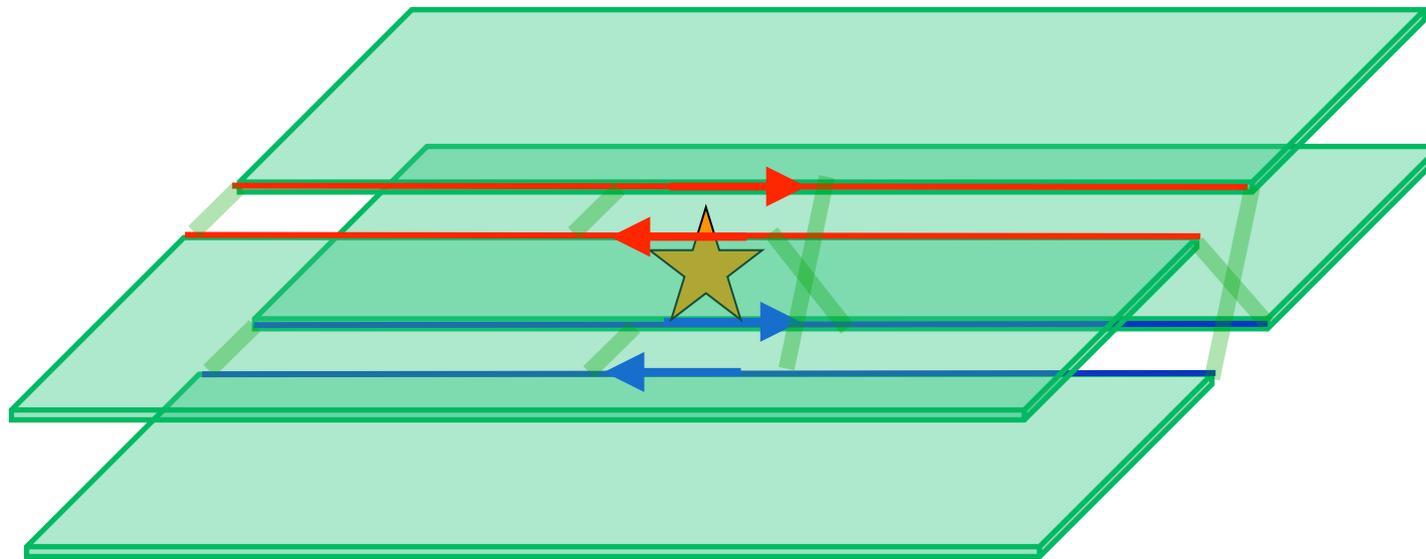
Cut:



Back-scattering

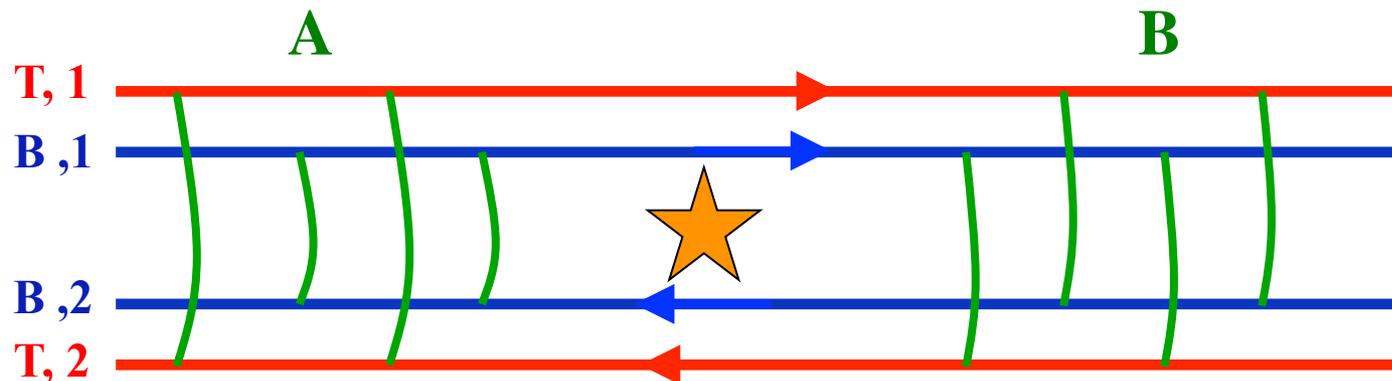
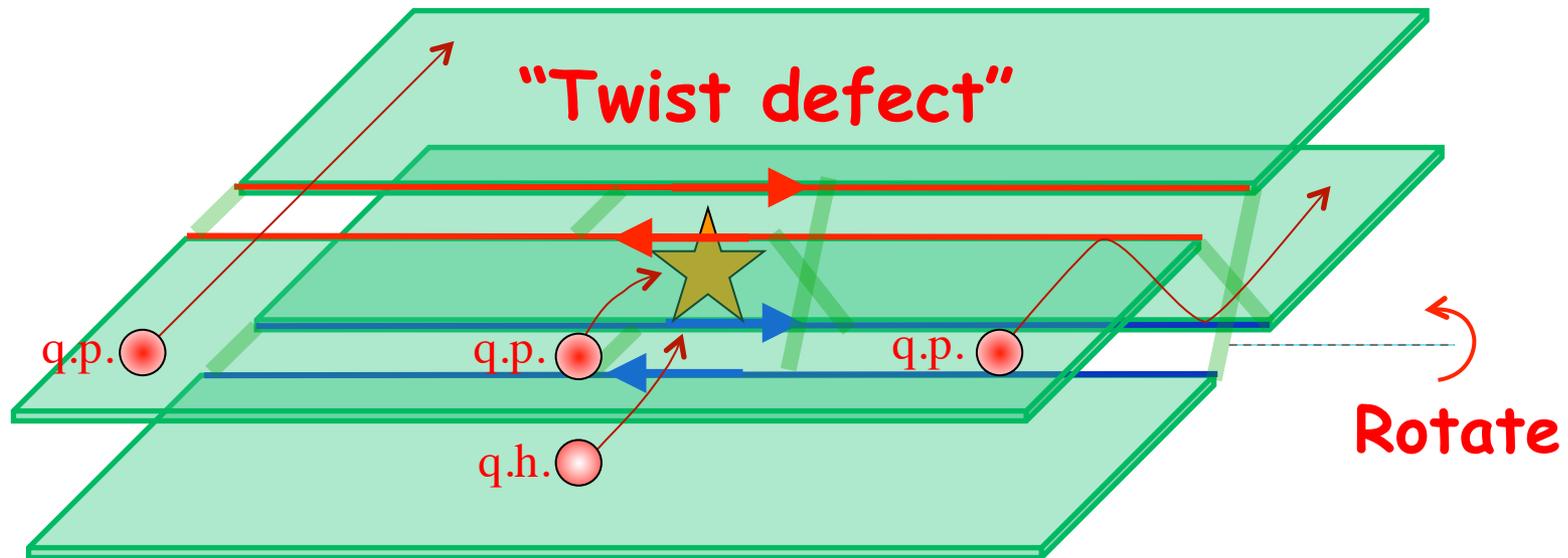
# Fractionalized zero modes at "twist defects" in topological phases

$\nu=1/3$  bilayer

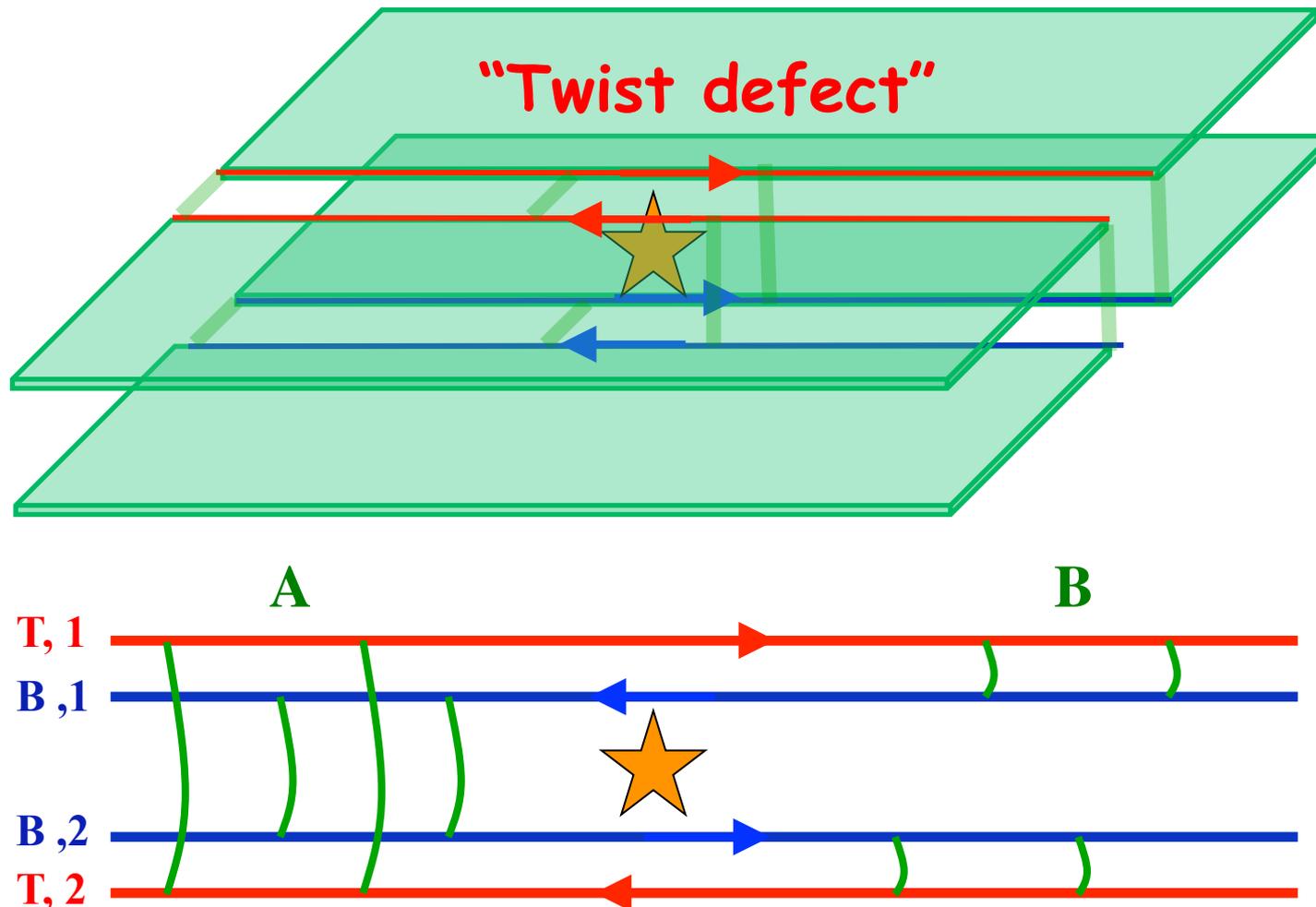


# Fractionalized zero modes at "twist defects" in topological phases

$\nu=1/3$  bilayer



# Fractionalized zero modes at "twist defects" in topological phases

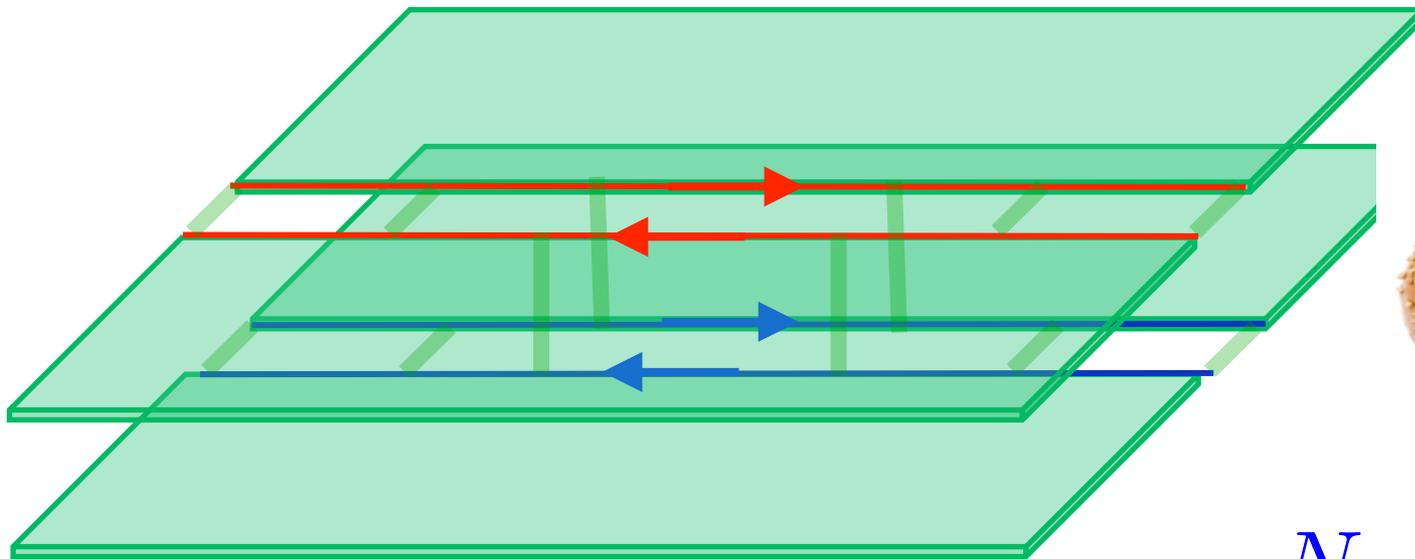


# Fractionalized zero modes at "twist defects" in topological phases

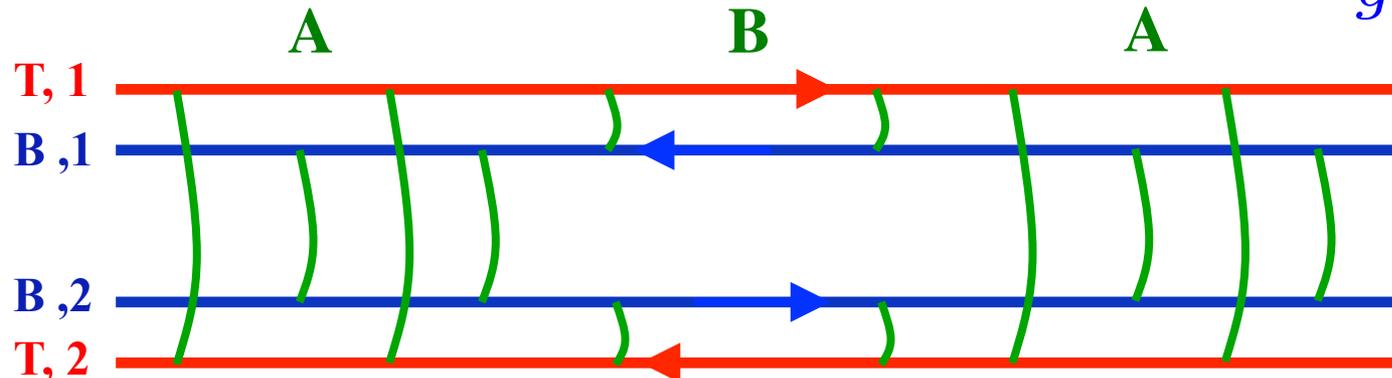
Alternate A,B domains:

Parafermions without superconductivity!

High genus surface



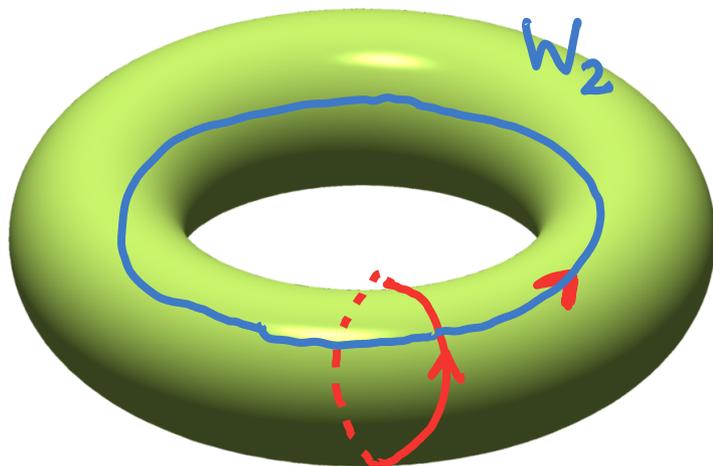
$$N_{gs} = 3^{N_{\text{holes}}}$$



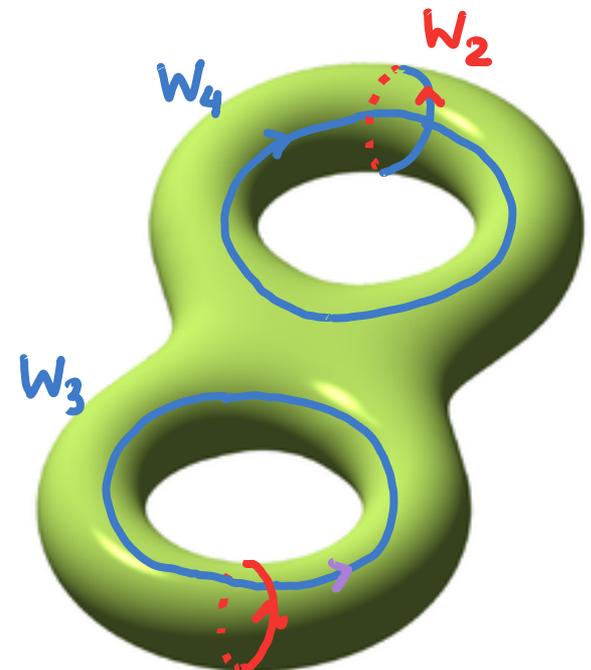
# Origin of topological degeneracy: Degeneracy on high genus surfaces

$$W_1 W_2 = e^{\frac{2\pi i}{m}} W_2 W_1$$

$$[W_1, H] = 0 \quad [W_2, H] = 0$$



$g = 1$   
(genus)



$g = 2$

$$N_{g.s.} = m^g$$

X. G. Wen (1991)

# Outline

- “Fractionalized Majoranas” on fractional quantum Hall edges
  - Fractionalized 1D superconductors
  - Twist defects
- Anyonic defects in non-Abelian systems

# Enriching non-Abelian phases by defects

Defects in **Abelian** phases (e.g. FQH) have **non-Abelian** properties.

However, the non-Abelian statistics of defects in Abelian phases is **never** universal for TQC.

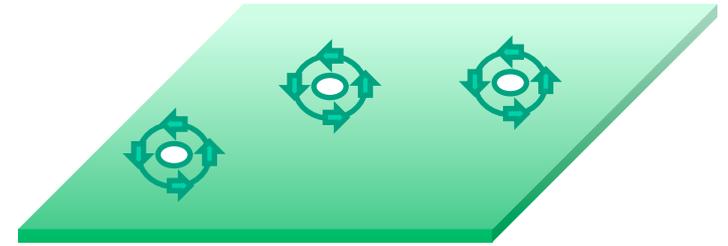
**Begin with a non-Abelian phase and “enrich” its properties by defects?**

# Ising anyons

$\nu = 5/2$  QHE

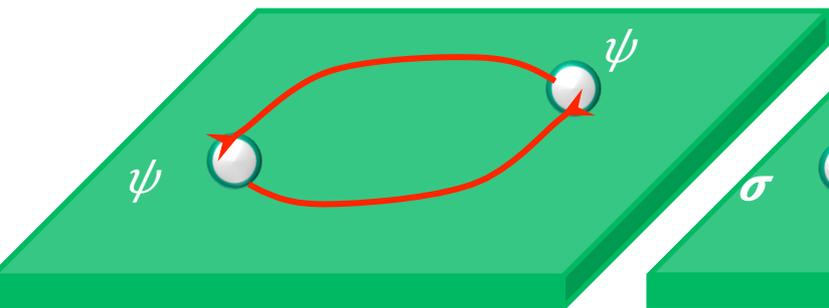
$px+ipy$  Superconductors

Kitaev's hexagonal spin model

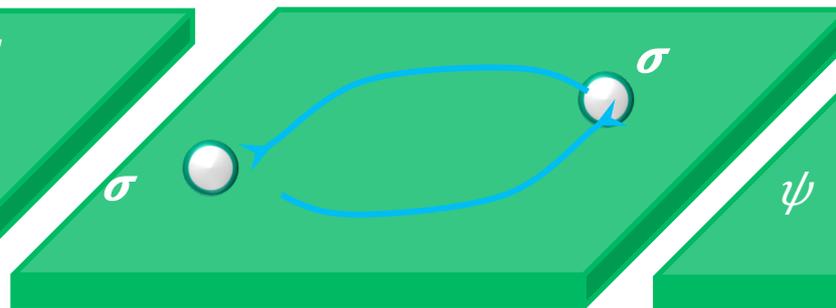


Three types of particles:  $I$  (vacuum),  $\psi$  (fermion),  $\sigma$  (vortex)

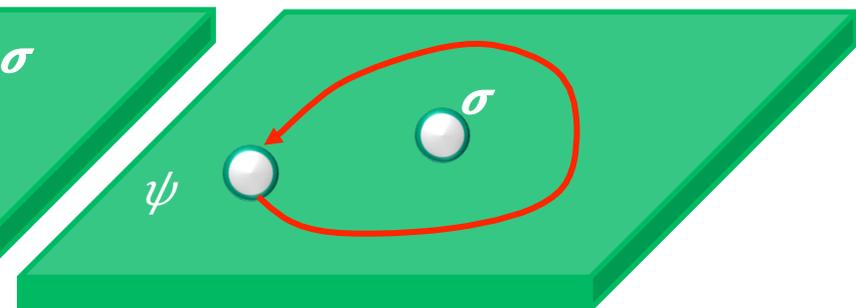
Fusion rules:  $\psi \times \psi = I$      $\sigma \times \psi = I$   
 $\sigma \times \sigma = I + \psi$



$$R_{\psi\psi}^I = -1$$



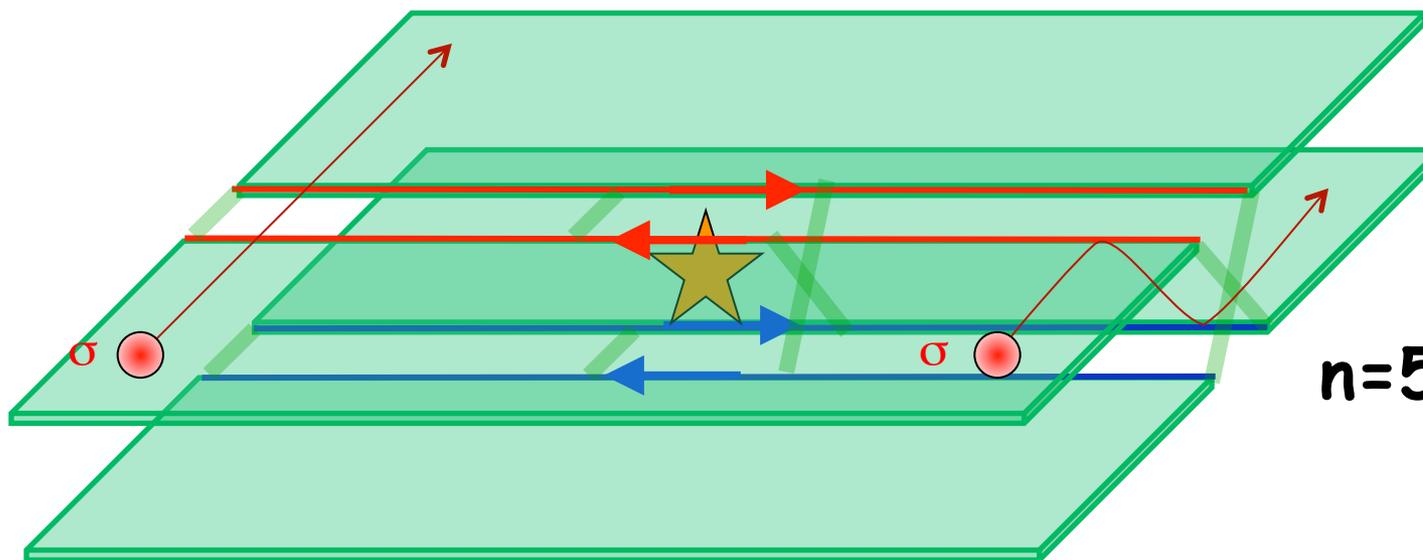
$$R_{\sigma\sigma}^I = e^{-i\pi/8}$$
$$R_{\sigma\sigma}^\psi = e^{3i\pi/8}$$



$$\left(R_{\sigma\psi}\right)^2 = -1$$

# Defects in a bilayer Ising phase

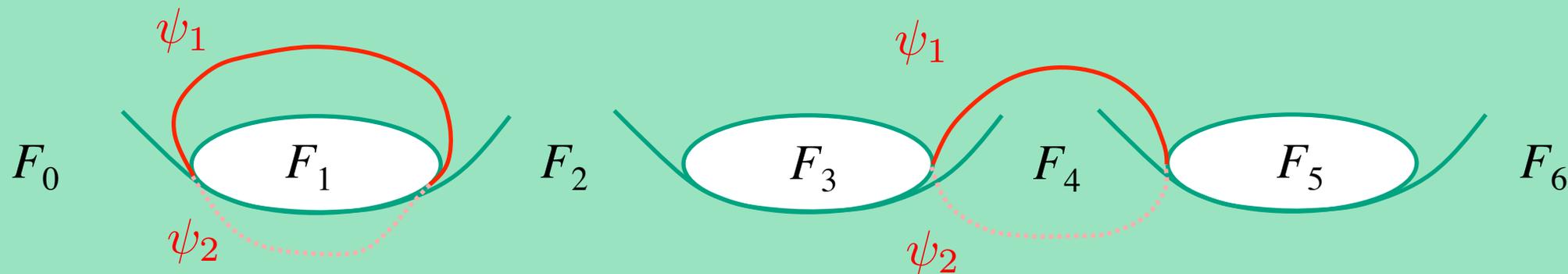
- What is the mathematical description of the zero modes associated with the defects?
- Can the zero modes realize universal TQC even though **the host Ising phase is not universal?**



Bilayer of  
 $n=5/2/p+ip$  SC/...

# Ground states

States can be described fluxes of holes, and measured by fermion loop operators

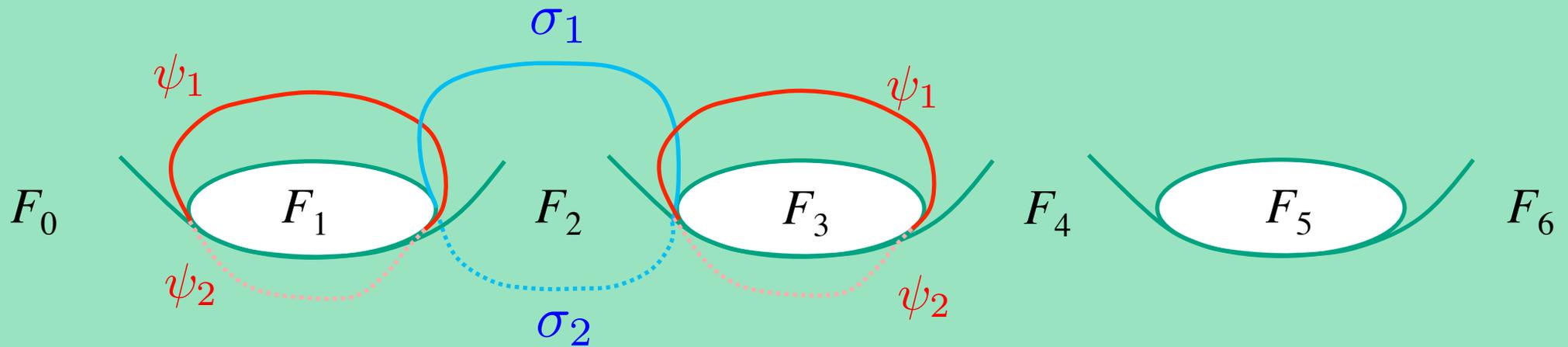


$$F_j = 0, 1 \quad \mathbf{Z}_2 \text{ flux: represent as } F_j = (1 + \sigma_j^z)/2$$

**Not all flux states are ground states**

# Creating flux states

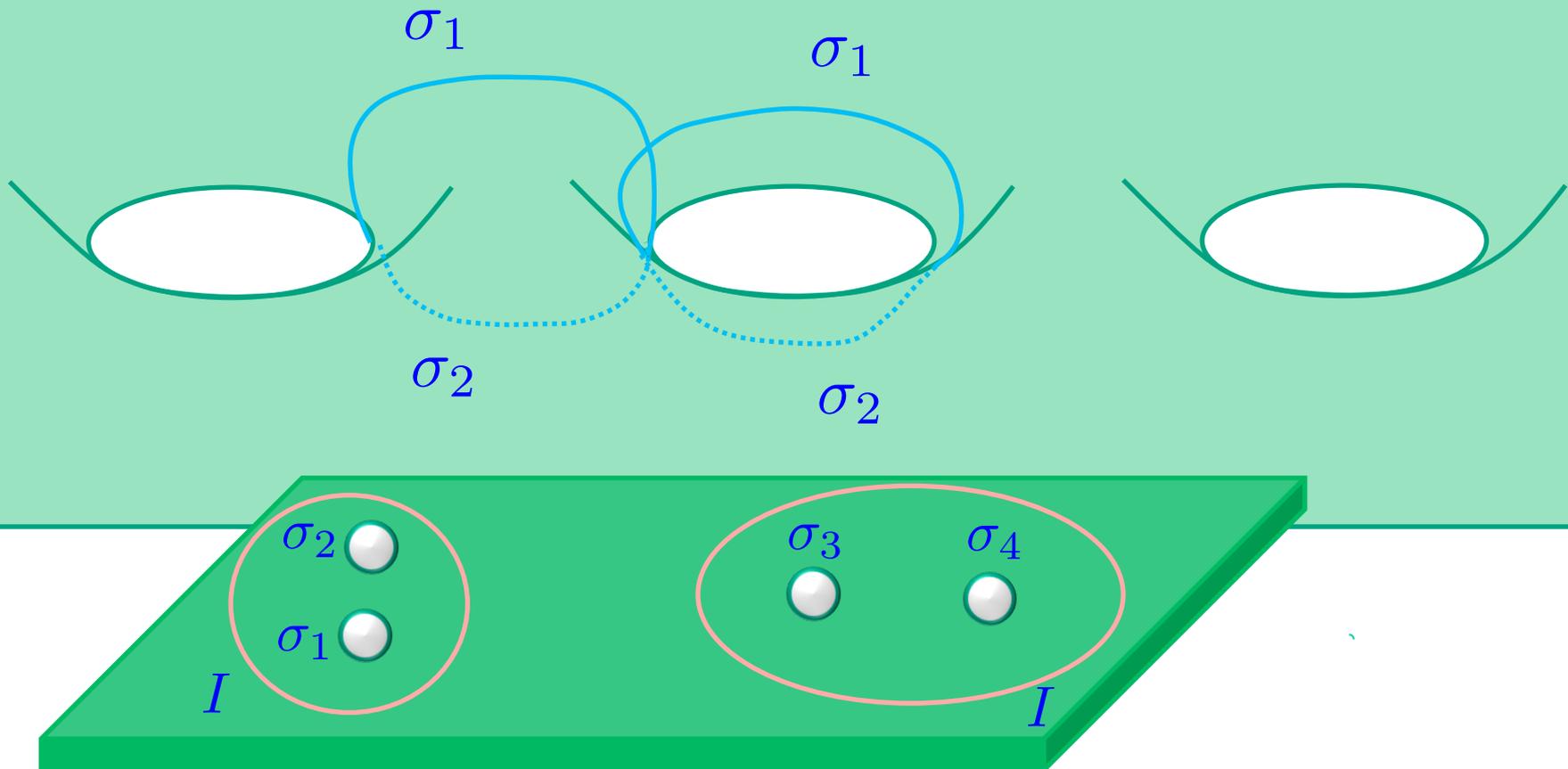
Flux states can be created by  $\sigma$  loops



$\sigma$  loop operator  $W_{2,3}$  flips  $F_1$  and  $F_2$

# Blocking rules

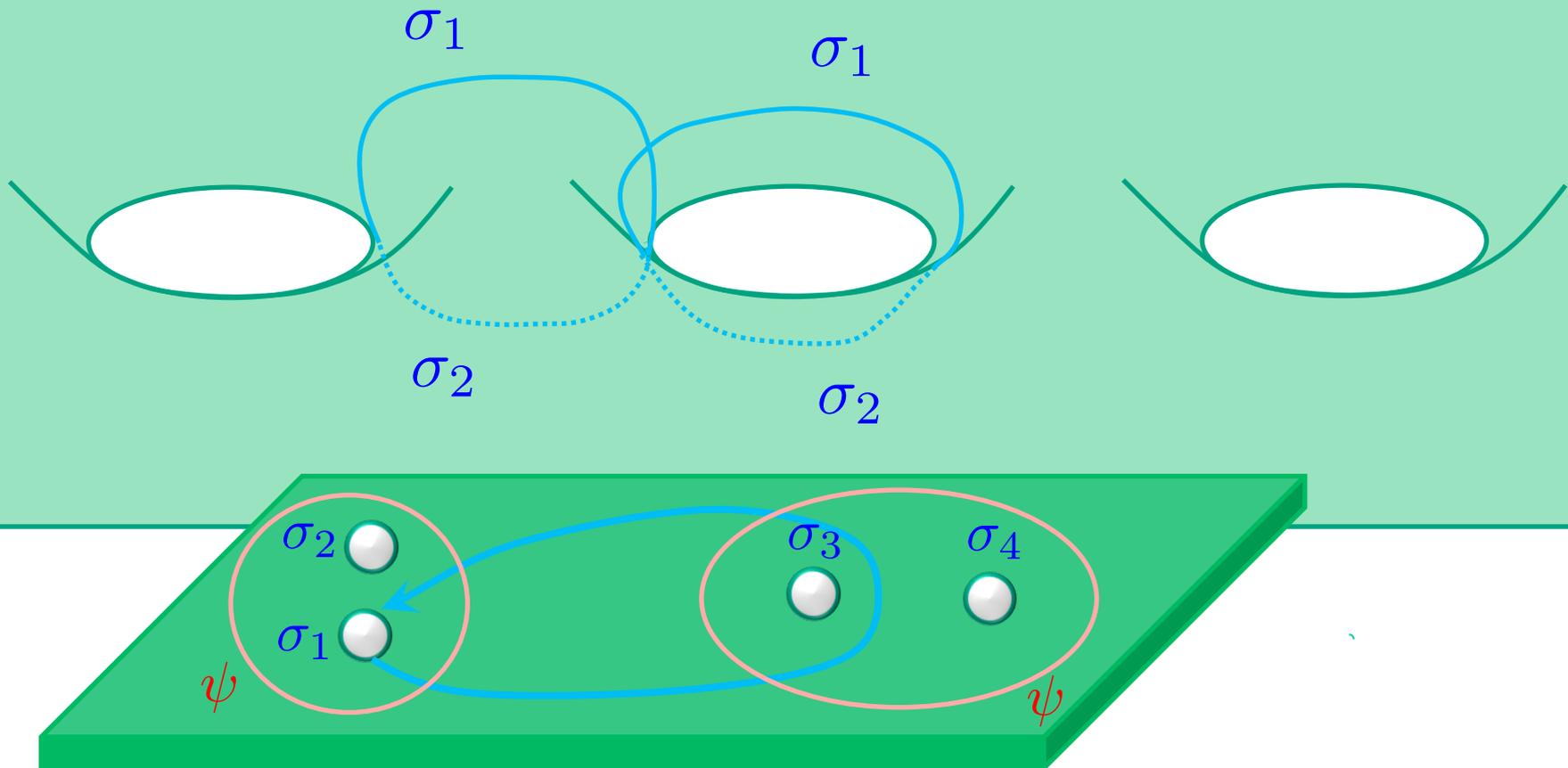
Act with two neighbor  $W$  operators:



# Blocking rules

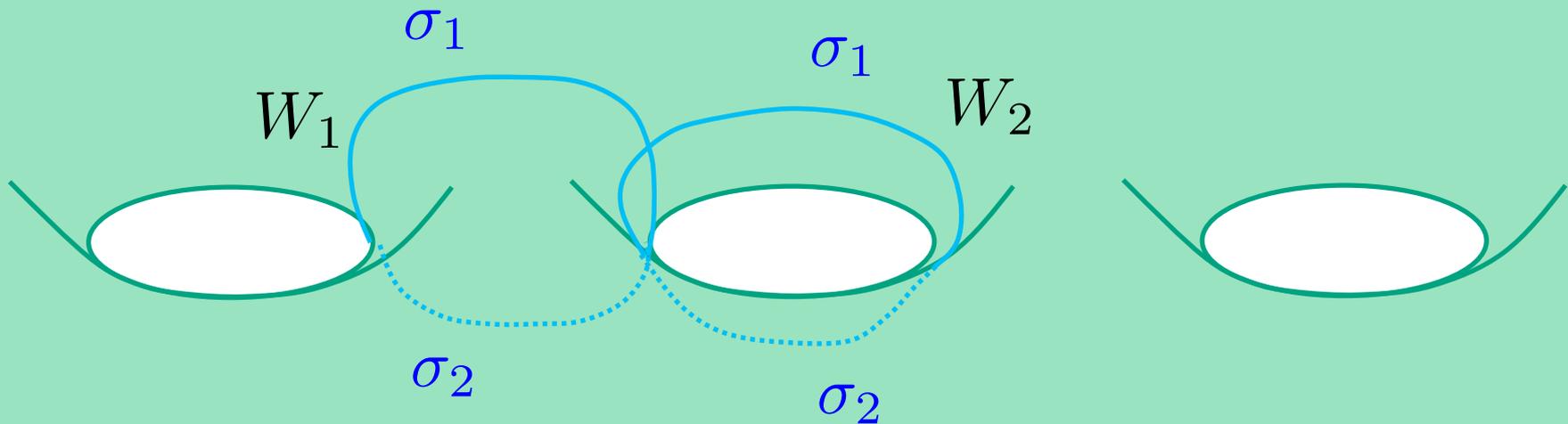
Act with two neighbor  $W$  operators:

**A  $\psi$  excitation is created!**



# Blocking rules

Final state has  $\psi$  excitation!

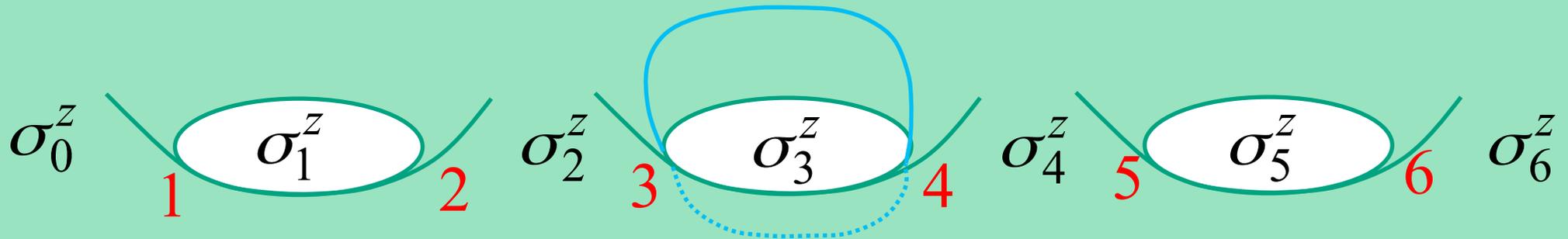


$$W_1 W_2 W_1^{-1} W_2^{-1} = 0$$

(projected to  
the ground state subspace)

# Tunneling operators

**Nearest neighbors:** form in a convenient gauge:

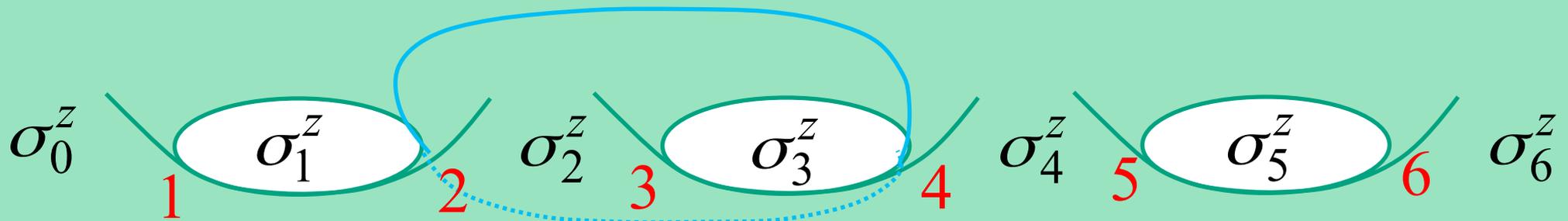


$$W_{i,i+1} = \sigma_{i-1}^x \left( \frac{1 + \sigma_i^z}{2} \right) \sigma_{i+1}^x$$

Hermitian  
-not unitary  
(projected)

# Tunneling operators

**General form:** defined by **tri-algebra**

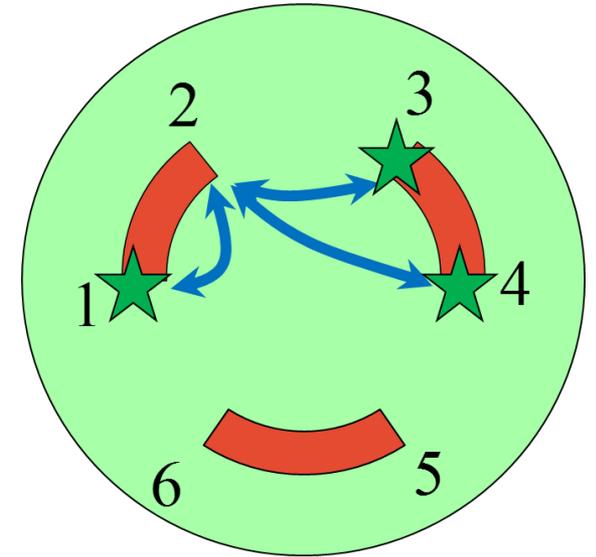


$$W_{mn} = e^{i\pi/8} (W_{mk}W_{kn} + h.c)$$

# Braiding

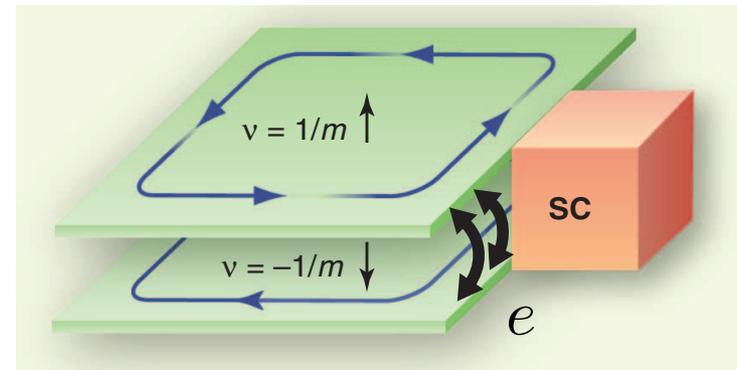
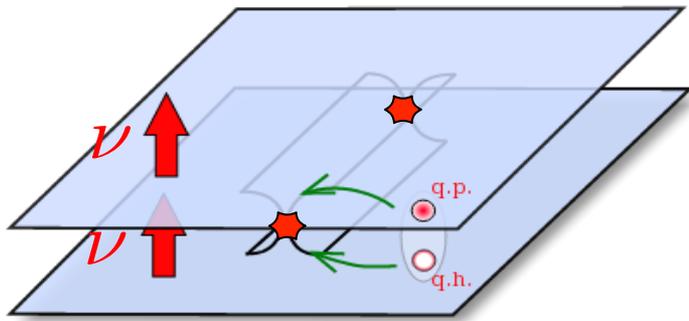
$$U_{34} = \left( \frac{1 + \sigma_3^z}{2} \right) \sigma_2^x \sigma_4^x + \left( \frac{1 - \sigma_3^z}{2} \right) e^{i\pi/4}$$

Phase gate  
needed to  
make Ising  
theory  
universal!



# Conclusion

New paradigm for realizing **non-abelian anyons**: defects on edges of two-dimensional topological phases.



**Future directions:**

Classification of 1D gapped edge states of 2D topological theories?

Experimental signatures?

**Thank you.**