



Spin-1 Bosons in an Optical Lattice at Non-Zero Temperature

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1. Bose-Hubbard Model for Spin-1 Bosons

- Second-quantized Hamiltonian for magnetized hyperfine spin-1 bosons with spin dependent delta interaction in spin independent periodic potential [1–4]:

$$\hat{H} = \sum_{\alpha} \int d^3x \hat{\Psi}_{\alpha}^{\dagger}(\mathbf{x}) \left[-\frac{\hbar^2}{2M} \nabla^2 + V_0 \sum_{j=1}^D \sin^2(k_L x_j) - \mu \right] \hat{\Psi}_{\alpha}(\mathbf{x}) - \eta \sum_{\alpha, \beta} \int d^3x \hat{\Psi}_{\alpha}^{\dagger}(\mathbf{x}) F_{\alpha\beta}^z \hat{\Psi}_{\beta}(\mathbf{x}) + \frac{c_0}{2} \sum_{\alpha, \beta} \int d^3x \hat{\Psi}_{\alpha}^{\dagger}(\mathbf{x}) \hat{\Psi}_{\beta}^{\dagger}(\mathbf{x}) \hat{\Psi}_{\beta}(\mathbf{x}) \hat{\Psi}_{\alpha}(\mathbf{x}) + \frac{c_2}{2} \sum_{\alpha, \beta, \gamma, \delta} \int d^3x \hat{\Psi}_{\alpha}^{\dagger}(\mathbf{x}) \hat{\Psi}_{\beta}^{\dagger}(\mathbf{x}) \mathbf{F}_{\alpha\beta} \mathbf{F}_{\gamma\delta} \hat{\Psi}_{\delta}(\mathbf{x}) \hat{\Psi}_{\gamma}(\mathbf{x})$$

$F^{x,y,z}$: spin-1 matrices.

Spin independent interaction: $c_0 = 4\pi\hbar^2(a_0 + 2a_2)/3M$

Spin dependent interaction: $c_2 = 4\pi\hbar^2(a_0 - a_2)/3M$

a_F : s-wave scattering length for total hyperfine spin F

η : magnetic chemical potential to keep magnetization fixed

	$c_2 < 0$ ferromagnetic example ⁸⁷ Rb [5]	$c_2 > 0$ antiferromagnetic example ²³ Na [6]
a_0	50 a_B	101.8 a_B
a_2	55 a_B	100.4 a_B

Can be tuned by using Feshbach resonances.

- Wannier decomposition yields Bose-Hubbard Model [4, 7–9]:

$$\hat{H}_{\text{BH}} = \hat{H}^{(0)} + \hat{H}^{(1)}$$

$$\hat{H}^{(0)} = \sum_i \left[\frac{1}{2} U_0 \hat{n}_i (\hat{n}_i - 1) + \frac{1}{2} U_2 (\hat{S}_i^2 - 2\hat{n}_i) - \mu \hat{n}_i - \eta \hat{S}_i^z \right]$$

$$\hat{H}^{(1)} = -J \sum_{\alpha} \sum_{\langle i,j \rangle} \hat{a}_{i\alpha}^{\dagger} \hat{a}_{j\alpha}$$

J : tunnel matrix element between nearest neighbors

$U_{0,2} \propto c_{0,2}$: the spin independent and dependent interaction.

\hat{S}_i : spin operators on site i with $[\hat{S}_j^{\alpha}, \hat{S}_k^{\beta}] = i\delta_{jk} \sum_{\gamma} \epsilon_{\alpha\beta\gamma} \hat{S}_j^{\gamma}$

$$\hat{S}_i^{x,y} = \frac{1}{\sqrt{2}} (\hat{a}_{i0}^{\dagger} \hat{a}_{i1} \pm \hat{a}_{i1}^{\dagger} \hat{a}_{i0} + \hat{a}_{i-1}^{\dagger} \hat{a}_{i0} \pm \hat{a}_{i0}^{\dagger} \hat{a}_{i-1}) \quad , \quad \hat{S}_i^z = \hat{a}_{i1}^{\dagger} \hat{a}_{i1} - \hat{a}_{i-1}^{\dagger} \hat{a}_{i-1}$$

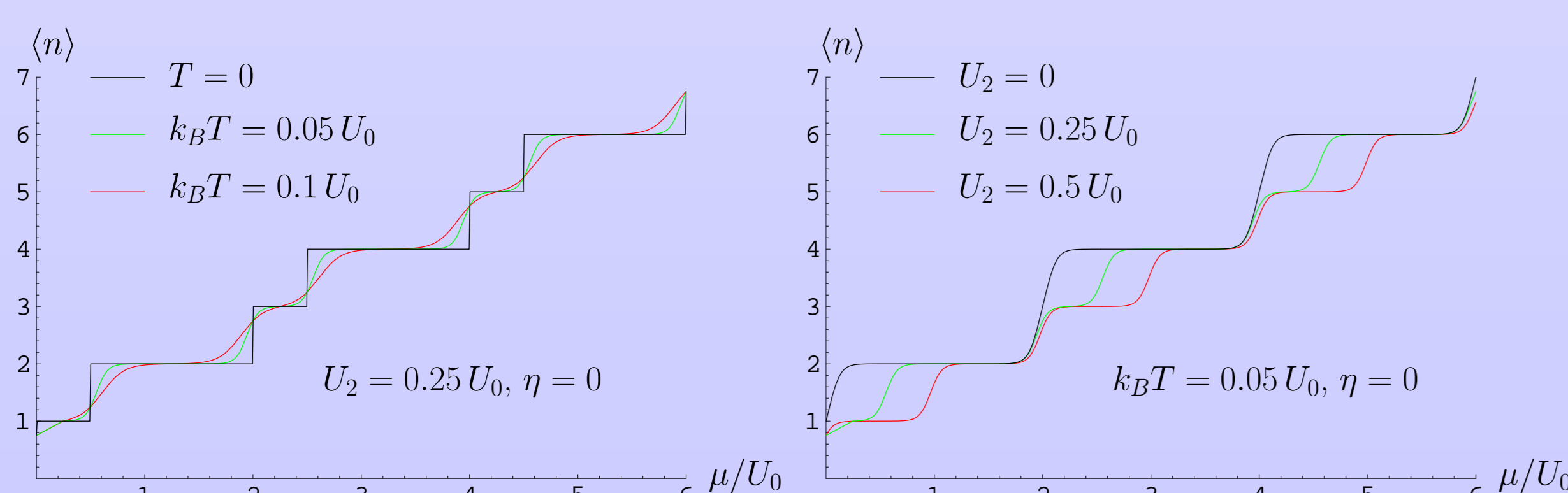
- No Hopping ($J = 0$): Hamiltonian site diagonal

Eigenstates characterized by particle number n , total spin S and z -component of spin m .

Note: $n + S$ even [10], especially n odd, $S = 0$ forbidden

$$\hat{H}^{(0)} |S, m, n\rangle = E_{S,m,n}^{(0)} |S, m, n\rangle$$

$$E_{S,m,n}^{(0)} = \frac{1}{2} U_0 n(n-1) + \frac{1}{2} U_2 [S(S+1) - 2n] - \mu n - \eta m$$



2. Superfluid-Mott Insulator Transition

- Decoupling the hopping term by mean-field approximation [11]:

$$\hat{a}_{i\alpha}^{\dagger} \hat{a}_{j\alpha} \approx \Psi_{\alpha} \hat{a}_{i\alpha}^{\dagger} + \Psi_{\alpha}^* \hat{a}_{j\alpha} - \Psi_{\alpha}^* \Psi_{\alpha} \quad , \quad \Psi_{\alpha} = \langle \hat{a}_{i\alpha} \rangle \quad , \quad \Psi_{\alpha}^* = \langle \hat{a}_{i\alpha}^{\dagger} \rangle$$

$$\hat{H}_{\text{MF}}^{(1)} = -Jz \sum_i \sum_{\alpha} (\Psi_{\alpha} \hat{a}_{i\alpha}^{\dagger} + \Psi_{\alpha}^* \hat{a}_{i\alpha} - \Psi_{\alpha}^* \Psi_{\alpha})$$

- Perturbative expansion in $\hat{H}_{\text{MF}}^{(1)}$ needs:

$$\hat{a}_{\alpha}^{\dagger} |S, m, n\rangle = M_{\alpha, S, m, n} |S+1, m+\alpha, n+1\rangle + N_{\alpha, S, m, n} |S-1, m+\alpha, n+1\rangle$$

$$\hat{a}_{\alpha} |S, m, n\rangle = O_{\alpha, S, m, n} |S+1, m-\alpha, n-1\rangle + P_{\alpha, S, m, n} |S-1, m-1, n-\alpha\rangle$$

Matrix elements M, N, O, P calculated by recursion relation.

- Landau expansion of grand-canonical free energy [12]:

$$\mathcal{F}(\Psi^*, \Psi) = -k_B T \log \text{Tr} \left\{ e^{-(\hat{H}^{(0)} + \hat{H}_{\text{MF}}^{(1)})/k_B T} \right\}$$

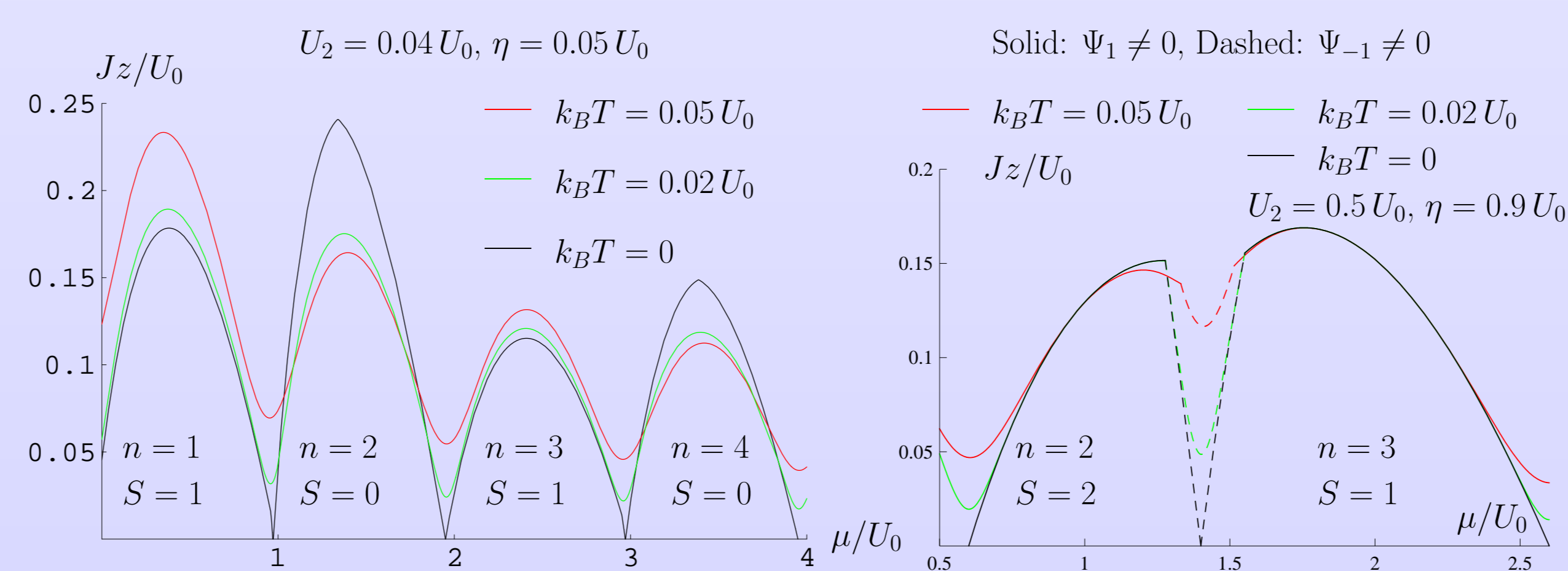
$$= -k_B T \log \mathcal{Z}^{(0)} + \sum_{\alpha} A_{\alpha}^{(2)} |\Psi_{\alpha}|^2 + O(\Psi^4)$$

$$A_{\alpha}^{(2)} = \frac{1}{\mathcal{Z}^{(0)}} \sum_{S, m, n} e^{-E_{S, m, n}^{(0)}/k_B T} \left[-Jz + J^2 z^2 \left(\frac{M_{\alpha, S, m, n}^2}{E_{S+1, m+\alpha, n+1}^{(0)} - E_{S, m, n}^{(0)}} + \frac{N_{\alpha, S, m, n}^2}{E_{S-1, m+\alpha, n+1}^{(0)} - E_{S, m, n}^{(0)}} + \frac{O_{\alpha, S, m, n}^2}{E_{S+1, m-\alpha, n-1}^{(0)} - E_{S, m, n}^{(0)}} + \frac{P_{\alpha, S, m, n}^2}{E_{S-1, m-1, n-\alpha}^{(0)} - E_{S, m, n}^{(0)}} \right) \right] \quad , \quad \mathcal{Z}^{(0)} = \sum_{S, m, n} e^{-E_{S, m, n}^{(0)}/k_B T}$$

- Phase transition occurs when one of the coefficients $A_{\alpha}^{(2)}$ vanishes: different superfluid phases exist [13, 14]:

Magnetized gas ($\eta \neq 0$): $\Psi_1 \neq 0$ or $\Psi_{-1} \neq 0$

Unmagnetized gas ($\eta = 0$): $\Psi_0 \neq 0$



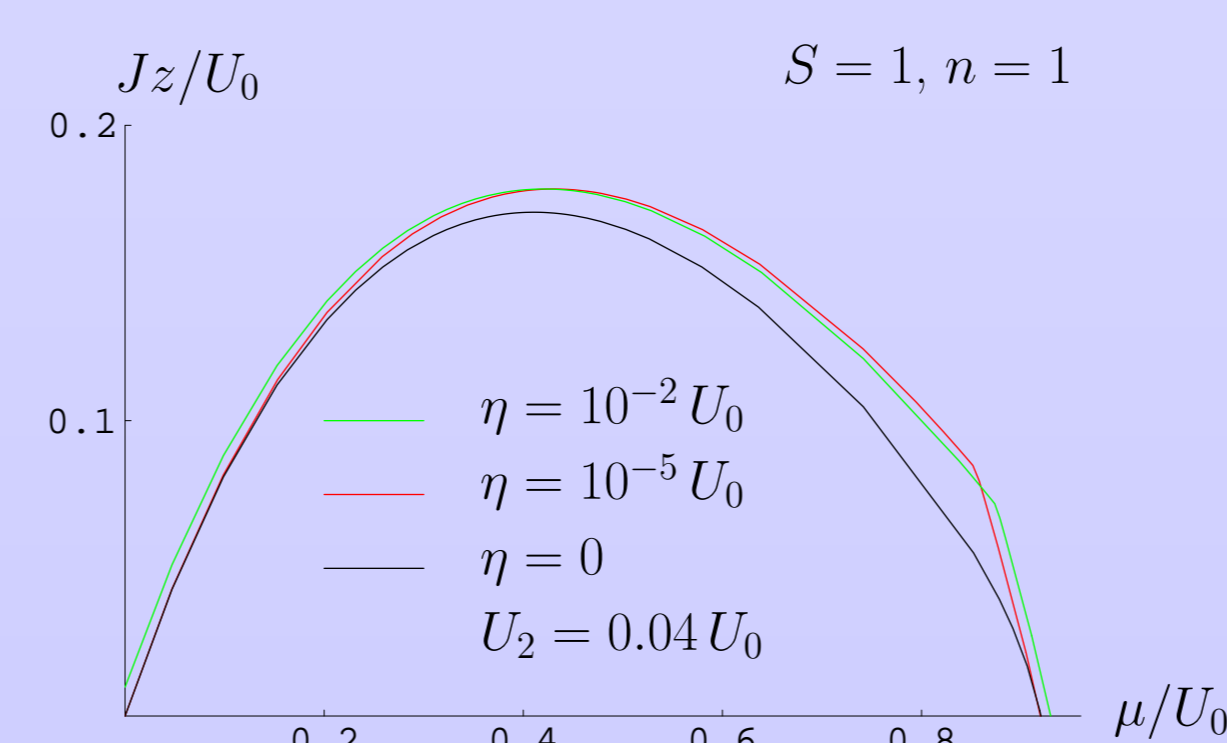
Fully polarized gas reproduces phase diagram of the scalar BHM [7, 15]

Zero temperature limit for non-magnetized ($\eta = 0$) antiferromagnetic bosons:

$$\Phi_G^{(0)} = |0, 0, n\rangle \quad \text{if } n \text{ even}$$

$$\Phi_G^{(0)} = |1, m, n\rangle \quad m = 0, \pm 1 \text{ if } n \text{ odd}$$

Unperturbed ground state threefold degenerated, $\eta \rightarrow 0$ limit discontinuous.



3. Time-of-Flight

- Time-of-flight absorption pictures reveal momentum space density [16]. Different spin-components separable by inhomogeneous magnetic fields [17].

$$n(\mathbf{k}) = \sum_{\alpha} n_{\alpha}(\mathbf{k}), \quad n_{\alpha}(\mathbf{k}) = |w(\mathbf{k})|^2 S_{\alpha}(\mathbf{k}), \quad S_{\alpha}(\mathbf{k}) = \sum_{i,j} e^{i\mathbf{k}(\mathbf{r}_i - \mathbf{r}_j)} \langle \hat{a}_{i\alpha}^{\dagger} \hat{a}_{j\alpha} \rangle$$

- First-order strong-coupling expansion at $T = 0$, $\Phi_G^{(0)} = |S, m, n\rangle$ [18]:

$$\langle \hat{a}_{i\alpha}^{\dagger} \hat{a}_{j\alpha} \rangle = \delta_{ij} n_{\alpha} + \delta_{d(i,j),1} 2Jz C_{\alpha} + O(J^2)$$

$$C_{\alpha} = \frac{M_{\alpha, S, m, n}^2 O_{\alpha, S, m, n}^2}{U_0 + (2S+2)U_2} + \frac{M_{\alpha, S, m, n}^2 P_{\alpha, S, m, n}^2}{U_0 + U_2} + \frac{N_{\alpha, S, m, n}^2 O_{\alpha, S, m, n}^2}{U_0 + U_2} + \frac{N_{\alpha, S, m, n}^2 P_{\alpha, S, m, n}^2}{U_0 - 2SU_2}, \quad n_{\alpha} = O_{\alpha, S, m, n}^2 + P_{\alpha, S, m, n}^2$$

- Explicit result for ground state of magnetized gas $\Phi_G^{(0)} = |S, S, n\rangle$:

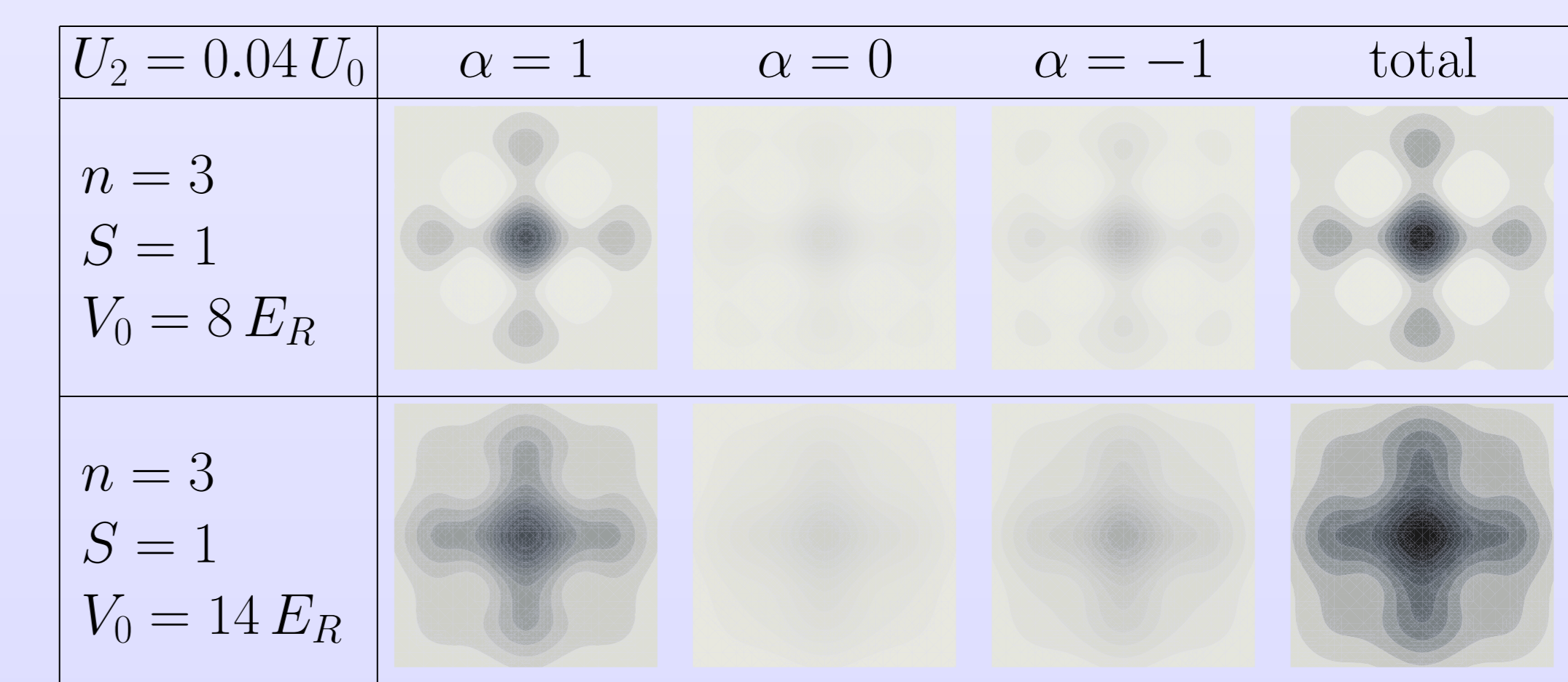
$$n_1 = \frac{n + (n+2)S + S^2}{3 + 2S}$$

$$C_1 = \frac{(n-S)(S+1)(n+S+3)}{(2S+1)(2S+3)^2 [U_0 + (2S+2)U_2]} + \frac{(n+S+3)(n+S+1)(S+1)S}{[4(S+2)S+3](U_0 + U_2)}$$

Other two components completely analogous

Fully polarized gas $\Phi_G^{(0)} = |n, n, n\rangle$ yields $n_0(\mathbf{k}) = n_{-1}(\mathbf{k}) = 0$

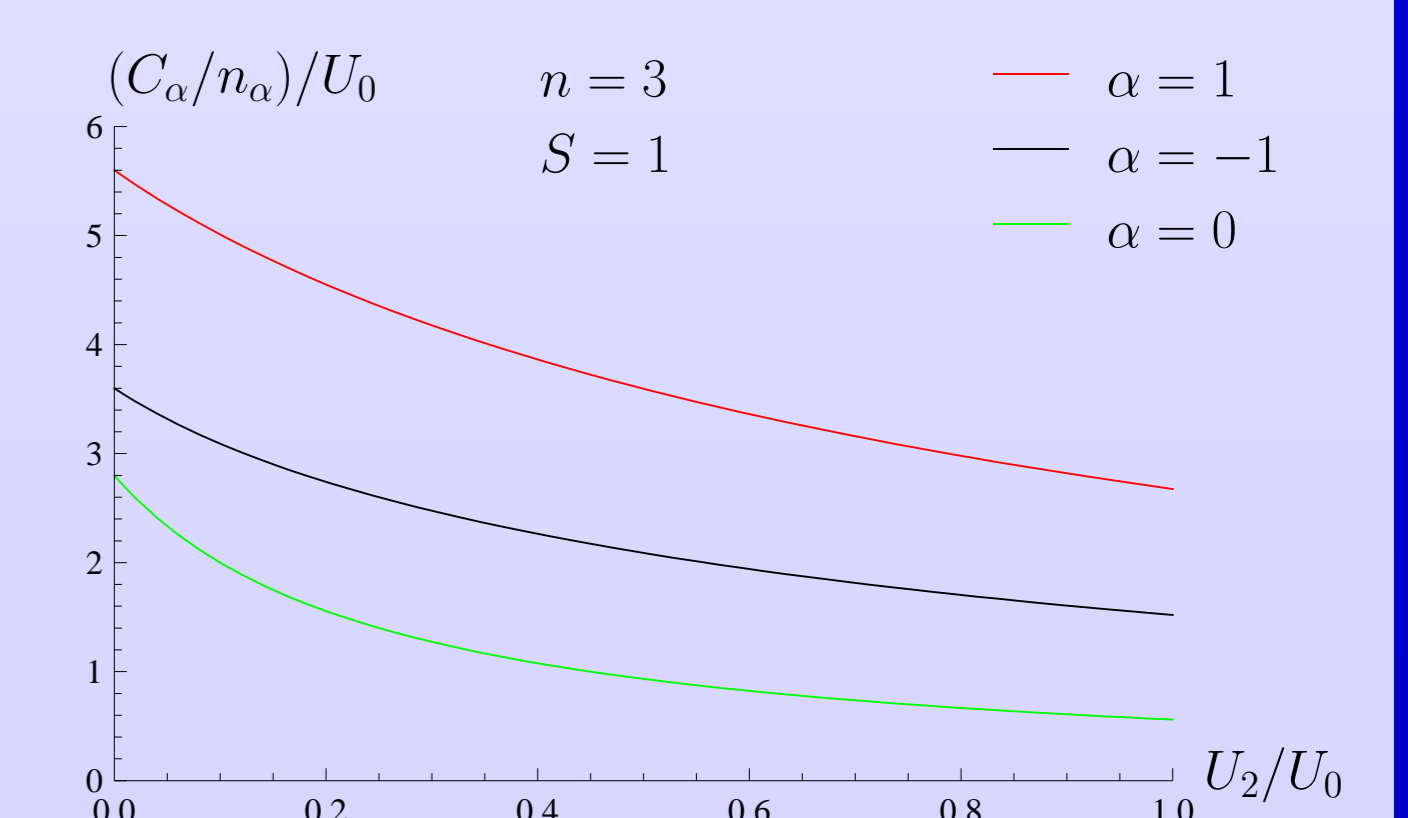
$n_1(\mathbf{k})$ reproduces result of scalar BHM [15, 19]



$\frac{C_{\alpha}}{n_{\alpha}}$ measures correlation

n even: all three spin components equal.

No direct dependence on η but important for determination of ground state.



4. Outlook

Phase Transition:

- Magnetic properties of superfluid phase and order of phase transition
- Quantum corrections to mean-field result
- Different phases within one Mott-lobe [20]

Time-of-flight:

- Further quantitative analysis: visibility
- Extension to the Hamburg triangular optical lattice to study frustration effects



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