

# Critical properties of the Bose-Hubbard model

## Dennis Hinrichs

Condensed Matter Theory Institute of Physics University of Oldenburg

Scientific Computing Laboratory Institute of Physics Belgrade

28.11.2012





Cold atoms in optical lattices Bose-Hubbard model

# Methods

Method of the effective action Process-chain approach

## Results



Cold atoms







Cold atoms in optical lattices

### Cold atoms



A. E. Leanhardt et al., Science **301**, 1513 (2003):  $450 \text{ pK} = 450 \cdot 10^{-12} \text{ K} = 0.0000000045 \text{ K}$ 

 $\frac{\text{Quantum physics}}{\text{de Broglie wavelength: } \lambda_{\text{dB}} \propto \frac{1}{\sqrt{T}}$ 



Cold atoms in optical lattices

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 $\frac{\text{Quantum physics}}{\text{de Broglie wavelength: } \lambda_{\text{dB}} \propto \frac{1}{\sqrt{T}}$ 

Statistical physics

 $\ensuremath{\overline{\mathrm{macroscopic}}}\xspace$  occupation of the ground state for  $T\to 0$ 





Cold atoms in optical lattices

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Statistical physics

 $\overline{\mathrm{macroscopic}\ \mathrm{occu}\mathrm{pation}\ \mathrm{of}\ \mathrm{the}\ \mathrm{ground}\ \mathrm{state}\ \mathrm{for}\ T\to 0}$ 



Phase space density:  $n\lambda_{\rm dB}^3 \approx 2.6$ 



#### Bose-Einstein condensation



## A: 1.7 $\mu$ K, B: 170 nK, C: 20 nK

 $T < T_c \approx 180 \ \mathrm{nK}: \ n\lambda_{dB}^3 \approx \ 10^2 \gg 2.6$ 

M. H. Anderson, J. R. Ensher, M. R. Matthews, C. E. Wieman, and E. A. Cornell, Science 269, 198 (1995)



## Cold atoms in optical lattices

## **Optical lattices**











Outline

# Introduction

Cold atoms in optical lattices

Bose-Hubbard model

## Methods

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## Results



### Bose-Hubbard model





number of particles on lattice site icreation/annihilation of a particle on lattice site itunneling of a particle from site j to site irestriction of tunneling processes to nearest-neighbor tunneling



Quantum phase transition: Superfluid  $\rightarrow$  Mott-insulator

$$\hat{H}_{\rm BH} = \frac{1}{2} \sum_{i=1}^{M} \hat{n}_i (\hat{n}_i - 1) - \frac{J/U}{J} \sum_{\langle i,j \rangle} \hat{b}_i^{\dagger} \hat{b}_j - \mu/U \sum_{i=1}^{M} \hat{n}_i$$

Superfluid

J/U >> 1



**Mott-insulator** 

J/U << 1





Superfluid

Introduction

Quantum phase transition: Superfluid  $\rightarrow$  Mott-insulator



W. S. Bakr et al., Science 329, 547 (2010)

Mott-insulator

J/U << 1





Quantum phase transition: Superfluid  $\rightarrow$  Mott-insulator



0.06



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Cold atoms in optical lattices Bose-Hubbard model

## Methods

### Method of the effective potential (what?) Process-chain approach

### Results



Cold atoms in optical lattices Bose-Hubbard model

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#### Method of the effective potential (what?) Process-chain approach (how?)

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Method of the effective potential

Gain access to the superfluid phase via an effective potential which depends on an order parameter.

F. E. A. dos Santos and A. Pelster, Phys. Rev. A 79, 013614 (2009).



Gain access to the superfluid phase via an effective potential which depends on an order parameter.

 $\bullet$  Breaking of the  $U(1)\mbox{-symmetry}$  of the Bose-Hubbard model:

$$\hat{H} = \frac{1}{2} \sum_{i} \hat{n}_{i} (\hat{n}_{i} - 1) - J/U \sum_{\langle i,j \rangle} \hat{b}_{i}^{\dagger} \hat{b}_{j} - \mu/U \sum_{i} \hat{n}_{i} + \sum_{i} \left( \eta \ \hat{b}_{i}^{\dagger} + \eta^{*} \hat{b}_{i} \right)$$

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• Expansion of the free energy in powers of J/U and  $|\eta|^2$ :

$$\mathcal{F}(J/U,\mu/U,|\eta|^2) = \langle \hat{H} \rangle = M \left( f_0(J/U,\mu/U) + \sum_{k=1}^{\infty} c_{2k}(J/U,\mu/U) |\eta|^{2k} \right)$$



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• Definition of the order parameter:

$$\psi \ = \frac{1}{M} \frac{\partial \mathcal{F}}{\partial \eta^*} = \langle \hat{b}_i \rangle \ , \ \ \psi^* = \frac{1}{M} \frac{\partial \mathcal{F}}{\partial \eta} = \langle \hat{b}_i^\dagger \rangle$$



• Legendre-transformation of the free energy  $\mathcal{F}$  to the effective potential  $\Gamma$ :

$$\Gamma = \mathcal{F} - M \left( \eta \ \psi^* + \eta^* \psi \right)$$

$$\frac{1}{M}\Gamma(J/U,\mu/U) = f_0 - \frac{1}{c_2}|\psi|^2 + \frac{c_4}{c_2^4}|\psi|^4 + \left(\frac{c_6}{c_2^6} - \frac{4c_4^2}{c_2^7}\right)|\psi|^6 + \mathcal{O}(|\psi|^8)$$
$$\coloneqq f_0 + a_2|\psi|^2 + a_4|\psi|^4 + a_6|\psi|^6 + \mathcal{O}(|\psi|^8)$$



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$$\coloneqq f_0 + a_2|\psi|^2 + a_4|\psi|^4 + a_6|\psi|^6 + \mathcal{O}(|\psi|^8)$$

• Self-consistent determination of the order parameter  $\psi_0$ ,  $\psi_0^*$ :

$$\frac{1}{M}\frac{\partial\Gamma}{\partial\psi^*} = -\eta \;, \quad \frac{1}{M}\frac{\partial\Gamma}{\partial\psi} = -\eta^* \quad \text{and} \quad \hat{H}_{|\eta=\eta^*=0} = \hat{H}_{\text{BH}}$$

$$ert \psi ert^4$$
-approach:  $ho_{c,4} = ert \psi_{0,4} ert^2 = -rac{a_2}{2a_4}$   
 $ert \psi ert^6$ -approach:  $ho_{c,6} = ert \psi_{0,6} ert^2 = rac{1}{3} rac{-a_4 + \sqrt{a_4^2 - 3a_2a_6}}{a_6}$ 



Superfluid density

The superfluid part is defined by a vanishing viscosity.

Wave function of the condensate:  $\Psi_0(\mathbf{x}) = e^{i\varphi(\mathbf{x})}|\psi_0|$  with  $\varphi(\mathbf{x}) = \theta \mathbf{x}/L$ 

superfluid velocity: 
$$\mathbf{v}_s = \langle \mathbf{p}/m \rangle = \frac{\hbar}{m} \nabla \varphi(\mathbf{x}) = (\hbar/m) \boldsymbol{\theta}/L$$

M. E. Fisher et al., Phys. Rev. A 8, 1111 (1973).

R. Roth and K. Burnett, Phys. Rev. A 67, 031602(R) (2003).



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$${f v}_s=\langle {f p}/m
angle={\hbar\over m}\,
abla arphi({f x})=(\hbar/m){m heta}/L$$

The kinetic energy of the superfluid part is then given by

$$\mathcal{F}(\theta/L) - \mathcal{F}(0) = \frac{1}{2}m\rho_{\rm sf}Mv_s^2 = \frac{1}{2}m\rho_{\rm sf}M\left(\frac{\hbar}{m}\right)^2\left(\frac{\theta}{L}\right)^2 \,.$$

$$\rho_{\rm sf} = \lim_{\theta/L \to 0} \frac{1}{J/U} \left(\frac{L}{\theta}\right)^2 \frac{1}{M} \left[\Gamma(\theta/L) - \Gamma(0)\right] \quad {\rm with} \quad \frac{\hbar^2}{2m} = J/U$$

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$$\rho_{\rm sf} = \lim_{\theta/L \to 0} \frac{1}{J/U} \left(\frac{L}{\theta}\right)^2 \frac{1}{M} \left[\Gamma(\theta/L) - \Gamma(0)\right] \quad {\rm with} \quad \frac{\hbar^2}{2m} = J/U$$

twisted boundary conditions:  $\hat{b}_i \to e^{i\mathbf{x}\frac{\theta}{L}} \hat{b}_i$  and  $\hat{b}_i^{\dagger} \to e^{-i\mathbf{x}\frac{\theta}{L}} \hat{b}_i^{\dagger}$ 

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#### Kato's perturbation theory

$$\mathcal{F} = M\left(f_0 + \sum_{k=1}^{\infty} c_{2k} |\eta|^{2k}\right) = E_m + \sum_{n=1}^{\infty} \operatorname{tr}\left[\sum_{\{\alpha_n\}} \hat{S}^{\alpha_1} \hat{V} \hat{S}^{\alpha_2} \dots \hat{S}^{\alpha_n} \hat{V} \hat{S}^{\alpha_{n+1}}\right]$$

$$\hat{S}^{\alpha} = \begin{cases} -|m\rangle\langle m| &, \alpha = 0\\ \sum_{\ell \neq m} \frac{|\ell\rangle\langle \ell|}{(E_m - E_\ell)^{\alpha}} &, \alpha > 0 \end{cases} \quad \text{and} \quad \sum_{k=1}^{n+1} \alpha_k = n-1 \ .$$

Ground state of the Mott-insulator:  $|m\rangle = |g\rangle \dots |g\rangle$  , g = N/M integer

T. Kato, Prog. Theor. Phys. 4, 514 (1949).



#### Kato's perturbation theory

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Ground state of the Mott-insulator:  $|m\rangle = |g\rangle \dots |g\rangle$  , g = N/M integer

 $\mathbf{n} = \mathbf{2}$ :  $\alpha_1 + \alpha_2 + \alpha_3 = 1 \rightarrow (1,0,0)$ , (0,1,0), (0,0,1)

$$\operatorname{tr}\left[S^{1}\hat{V}S^{0}\hat{V}S^{0} + S^{0}\hat{V}S^{0}\hat{V}S^{1} + S^{0}\hat{V}S^{1}\hat{V}S^{0}\right]$$
$$= \sum_{j}\left[\underbrace{\langle j|i\rangle\dots\langle m|j\rangle + \langle j|m\rangle\dots\langle i|j\rangle}_{=0}\right] + \sum_{\ell\neq m}\frac{\langle m|\hat{V}|\ell\rangle\langle\ell|\hat{V}|m\rangle}{E_{m} - E_{\ell}}$$

T. Kato, Prog. Theor. Phys. 4, 514 (1949).



Diagrammatic calculation of the ground state energy

$$E_m^{(2)} = \sum_{\ell \neq m} \frac{\langle m | \hat{V} | \ell \rangle \langle \ell | \hat{V} | m \rangle}{E_m - E_\ell} , \quad \hat{H}_{\mathsf{BH}} = \underbrace{\sum_i \left( \frac{1}{2} \hat{n}_i (\hat{n}_i - 1) - \mu / U \hat{n}_i \right)}_{\hat{H}_0} \underbrace{-J / U \sum_{\langle i, j \rangle} \hat{b}_i^{\dagger} \hat{b}_j}_{\hat{V}}$$



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Diagrammatic calculation of the ground state energy

$$E_m^{(2)} = \sum_{\ell \neq m} \frac{\langle m | \hat{V} | \ell \rangle \langle \ell | \hat{V} | m \rangle}{E_m - E_\ell} , \quad \hat{H}_{\mathsf{BH}} = \underbrace{\sum_i \left( \frac{1}{2} \hat{n}_i (\hat{n}_i - 1) - \mu / U \hat{n}_i \right)}_{\hat{H}_0} \underbrace{-J / U \sum_{\langle i, j \rangle} \hat{b}_i^{\dagger} \hat{b}_j}_{\hat{V}}$$

 $1. \ \hat{V}|m\rangle = \hat{V}\left(|g\rangle_i|g\rangle_j\right) = -J/U\sqrt{g(g+1)}\left(|g+1\rangle_i|g-1\rangle_j\right) \ , \quad |\ell\rangle = |g+1\rangle_i|g-1\rangle_j$ 



Diagrammatic calculation of the ground state energy

$$\begin{split} E_m^{(2)} = \sum_{\ell \neq m} \frac{\langle m | \hat{V} | \ell \rangle \langle \ell | \hat{V} | m \rangle}{E_m - E_\ell} , \quad \hat{H}_{\mathsf{BH}} = \underbrace{\sum_i \left( \frac{1}{2} \hat{n}_i (\hat{n}_i - 1) - \mu / U \hat{n}_i \right)}_{\hat{H}_0} \underbrace{-J / U \sum_{\langle i, j \rangle} \hat{b}_i^{\dagger} \hat{b}_j}_{\hat{V}} \\ & \underbrace{\prod_{j \to \infty} \frac{I}{2.}}_{j} \underbrace{\prod_{j \to \infty} \frac{I}{2.}}_{i} \\ 1. \ \hat{V} | m \rangle = \hat{V} \left( |g\rangle_i |g\rangle_j \right) = -J / U \sqrt{g(g+1)} \left( |g+1\rangle_i |g-1\rangle_j \right) , \quad |\ell\rangle = |g+1\rangle_i |g-1\rangle_j \end{split}$$

2.  $\hat{V}|\ell\rangle = -J/U\sqrt{g(g+1)}\left(|g\rangle_i|g\rangle_j\right) = -J/U\sqrt{g(g+1)}|m\rangle$ 



Diagrammatic calculation of the ground state energy

$$\begin{split} E_m^{(2)} &= \sum_{\ell \neq m} \frac{\langle m | \hat{V} | \ell \rangle \langle \ell | \hat{V} | m \rangle}{E_m - E_\ell} , \quad \hat{H}_{\mathsf{BH}} = \underbrace{\sum_i \left( \frac{1}{2} \hat{n}_i (\hat{n}_i - 1) - \mu / U \hat{n}_i \right)}_{\hat{H}_0} \underbrace{-J / U \sum_{\langle i, j \rangle} \hat{b}_i^{\dagger} \hat{b}_j}_{\hat{V}} \\ &= \underbrace{I.}_{\hat{H}_0} \underbrace{I.}_{\hat{V}} \\ 1. \quad \hat{V} | m \rangle = \hat{V} \left( |g\rangle_i |g\rangle_j \right) = -J / U \sqrt{g(g+1)} \left( |g+1\rangle_i |g-1\rangle_j \right) , \quad |\ell\rangle = |g+1\rangle_i |g-1\rangle_j \\ 2. \quad \hat{V} | \ell \rangle = -J / U \sqrt{g(g+1)} \left( |g\rangle_i |g\rangle_j \right) = -J / U \sqrt{g(g+1)} |m\rangle \\ &= E_\ell = E_m + 1 \end{split}$$



Diagrammatic calculation of the ground state energy

$$E_m^{(2)} = -wg(g+1)(J/U)^2$$

For example, w = 2D for a D-dimensional square lattice



Diagrammatic calculation of  $c_{2k}$ 

$$\hat{H}_0 = \sum_i \left(\frac{1}{2}\hat{n}_i(\hat{n}_i - 1) - \mu/U\hat{n}_i\right)$$

$$\hat{V} = -J/U \sum_{\langle i,j \rangle} \hat{b}_i^{\dagger} \hat{b}_j + \sum_i \left( \eta \ \hat{b}_i^{\dagger} + \eta^* \hat{b}_i \right)$$



A. Eckardt, Phys. Rev. B 79, 195131 (2009).



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Coefficient  $a_2$  of the effective potential for D = 2



Zeros where a<sub>2</sub> changes its sign



#### Coefficients $a_4$ & $a_6$ of the effective potential

 $\underline{D=2:}$ 



- Alternating behavior of even and odd orders
- Zeros in the direct vicinity of  $(J/U)_c$



#### Coefficients $a_4$ & $a_6$ of the effective potential

 $\underline{D=3}$ :



- Alternating behavior of even and odd orders
- ▶ Small range with  $J/U > (J/U)_c$  where  $a_4 > 0$  and  $a_6 > 0$  for all orders



#### Effective potential for D = 2



odd orders (
$$|\psi|^4$$
-approach):  $\frac{1}{M}\Gamma = f_0 + a_2|\psi|^2 + a_4|\psi|^4$   
even orders ( $|\psi|^6$ -approach):  $\frac{1}{M}\Gamma = f_0 + a_2|\psi|^2 + a_4|\psi|^4 + a_6|\psi|^6$ 



#### Determination of the phase boundary



Landau's argument Phase transition happens if  $a_2 = -1/c_2$  changes its sign. alternatively:  $a_2 = 0$ 

N. Teichmann, D.H., and M. Holthaus, PRB **79**, 100503(R) (2009). N. Teichmann, D.H., and M. Holthaus, EPL **91**, 10004 (2010).



#### Determination of the phase boundary



1. Radius of convergence r of  $c_2 = \sum_{\nu} \gamma_2^{(\nu)} (J/U)^{\nu}$ ,  $r = \lim_{\nu \to \infty} |\gamma_2^{(\nu-1)}/\gamma_2^{(\nu)}|$ 2. Zeros  $(J/U)_0^{(\nu_m)}$  of the Taylor expansion of  $-1/c_2$ 

N. Teichmann, D.H., and M. Holthaus, PRB **79**, 100503(R) (2009). N. Teichmann, D.H., and M. Holthaus, EPL **91**, 10004 (2010).



#### Comparison of the phase boundaries



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### Particle density

Alternative determination of the phase boundary by setting

$$\langle \hat{n} \rangle = -\frac{1}{M} \left( \frac{\partial \Gamma}{\partial (\mu/U)} \right)_{\psi = \psi_0} \stackrel{!}{=} 1 \; . \label{eq:alpha}$$

Depends on  $a_2$ ,  $a_4$ ,  $a_6$ , whereas the condition via the zeros depends only on  $a_2$ .



#### Particle density

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Effective potential & Phase boundary

Densities & Critical exponents



### Comparison of the densities



densities: 
$$\rho \propto x^{\sigma}$$
,  $x = J/U - (J/U)_c^{(\nu_m)}$   
 $D = 2 : \sigma \approx 2/3$  ( $(D + 1)$ -dimensional XY-model)  
 $D = 3 : \sigma = 1$  (upper critical dimension, mean-field)



Critical exponents of the even orders via the  $|\psi|^6$ -approach

densities: 
$$\rho \propto x^{\sigma} \rightarrow d \log \rho := \lim_{x \rightarrow 0} \frac{d \log \rho}{d \log x} = \sigma$$
  
 $D = 2 : \sigma \approx 2/3$   
 $D = 3 : \sigma = 1$ 





Critical exponents of the odd orders via the  $|\psi|^4$ -approach





Critical exponents of the odd orders via the  $|\psi|^4$ -approach





### Variational perturbation theory

$$\begin{split} \rho(g) &= \sum_{i=0}^{\infty} a_i g^i & \rho^{\infty}(g) = g^{p/q} \sum_{i=0}^{\infty} b_i (g^{-2/q})^i \\ h(g) &= \mathsf{d} \log \rho(g) \;, \quad h^{\infty}(g',p',q') = p/q \\ p' &= 0 \quad \mathsf{because} \quad p/q = g^{p'/q'} b_0 + g^{p'/q'} b_1 g^{-2/q'} \end{split}$$



### Variational perturbation theory

$$\begin{split} \rho(g) &= \sum_{i=0}^{\infty} a_i g^i & \rho^{\infty}(g) = g^{p/q} \sum_{i=0}^{\infty} b_i (g^{-2/q})^i \\ h(g) &= \mathsf{d} \log \rho(g) \;, \quad h^{\infty}(g',p',q') = p/q \\ p' &= 0 \quad \mathsf{because} \quad p/q = g^{p'/q'} b_0 + g^{p'/q'} b_1 g^{-2/q'} \end{split}$$

$$\frac{\mathrm{d}h^{\infty}(g',q')}{\mathrm{d}g'} = 0$$

$$\begin{split} \mathrm{d} \log h(g) &= F_1(g) \;, \quad F_1^\infty(g'',q') = 0 \\ \mathrm{d}^2 \log h(g) &= F_2(g) \;, \quad F_2^\infty(g'',q') = -2/q' \end{split}$$



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Condensate density q' = 2.4615



### Critical exponents for D = 2

# $|\psi|^4$ -approach

# $|\psi|^6$ -approach

$\nu_m$	$\beta_{c}^{(\nu_m)}$	$v^{(\nu_m)}$
3	1.3774	1.4291
5	1.0206	1.0836
7	1.0334	1.0221

$\nu_m$	$\beta_{c}^{(\nu_m)}$	$v^{(\nu_m)} (\theta/L)$	
		0.001	0.01
4	0.5715	0.6446	0.6463
6	0.6153	0.6525	0.6541

	$\beta_{c}$	v
$ \psi ^4$ -approach	0.7028	0.6784
$ \psi ^6$ -approach	0.7029	0.6681
M. Campostrini et al. ( $3D XY$ -model)	0.6970(2)	0.67155(27)
	0.00.0(_)	



- Precise determination of the phase boundary
- Qualitatively correct densities
- Remarkably precise determination of critical exponents



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Thank you for your attention.