Topological superconducting phases in disordered quantum wires with strong spin-orbit coupling

Piet W. Brouwer, Mathias Duckheim, Alessandro Romito, and Felix von Oppen

Dahlem Center for Complex Quantum Systems and Fachbereich Physik, Freie Universität Berlin, DE 14195 Berlin, Germany

(Received 12 October 2011; published 31 October 2011)

Zeeman fields can drive semiconductor quantum wires with strong spin-orbit coupling and in proximity to *s*-wave superconductors into a topological phase which supports end Majorana fermions and offers an attractive platform for realizing topological quantum information processing. Here, we investigate how potential disorder affects the topological phase by a combination of analytical and numerical approaches. Most prominently, we find that the robustness of the topological phase against disorder depends sensitively and nonmonotonously on the Zeeman field applied to the wire.

DOI: 10.1103/PhysRevB.84.144526

PACS number(s): 74.78.Na, 03.67.Lx, 71.23.-k, 73.63.Nm

I. INTRODUCTION

Topological quantum information processing promises to go a long way toward alleviating the problem of environmental decoherence in quantum computers.^{1,2} In this scheme, information is stored and processed by nonlocal qubits based on quasiparticles with nonabelian quantum statistics.^{3,4} These nonlocal qubits are topologically protected against local perturbations. Qubit operations are based on quasiparticle exchanges which are themselves topological in nature and thus insensitive to small variations in the operation.

The simplest type of quasiparticles obeying nonabelian quantum statistics is that of zero-energy Majorana fermions.^{5,6} Such Majorana bound states are carried by vortices of weak-pairing superconductors with spinless *p*-wave pairing symmetry, a phase which is widely expected to be realized by the $\nu = 5/2$ fractional quantum Hall state.⁷ Starting with the seminal suggestion of Fu and Kane⁸ that zero-energy Majorana fermions can in principle be engineered to exist in hybrid structures of conventional *s*-wave superconductors and topological insulators, various superconductor hybrids have been predicted to support Majorana fermions. These hybrids involve, e.g., standard two-dimensional electron systems with strong spin-orbit coupling,⁹ metallic surface states,¹⁰ semiconductor quantum wires with strong spin-orbit coupling,^{11,12} or half-metallic wires and films.^{13–15}

Realizations based on quantum wires are perhaps particularly promising for realizing topological quantum information processing since they allow for relatively detailed scenarios¹⁶ of how to manipulate (i.e., create, transport, or fuse) Majorana fermions. At the same time, disorder is known to often have drastic consequences for the electronic properties of one-dimensional electron systems¹⁷ and for superconducting pairing correlations.¹⁸ This motivates us to address the important question of how robust these proposed realizations of Majorana fermions are against potential disorder.

The effects of disorder on topological phases which support Majorana fermions were studied in relation to fermion zero modes inside the vortex core in *p*-wave superconductors in the presence of impurities by Volovik¹⁹ and, in the one-dimensional case, by Motrunich *et al.*²⁰ Both papers predate the current wave of interest by almost a decade. Motrunich *et al.* consider a disordered *p*-wave superconductor in one dimension within a renormalization-group approach. Their central finding is that disorder causes a sharp transition to

a nontopological phase at a critical disorder strength. More recent work on disorder effects on Majorana fermions focuses on the strong-disorder limit where the wire breaks up into topological and nontopological domains.^{21,22}

It is the central purpose of the present paper to emphasize that the physics of disorder in proximity-coupled semiconductor wires with strong spin-orbit coupling is considerably richer. It reduces to the model studied by Motrunich *et al.* (sometimes referred to as Kitaev's toy model)²³ only in the limit of a large Zeeman field. In contrast, we find that the semiconductor quantum wires exhibit additional regimes, depending on the strength of the Zeeman coupling, which display characteristic differences in the effectiveness of disorder. Most importantly, our results can give significant guidance to efforts to realize Majorana fermions in the laboratory.

II. SEMICONDUCTOR WIRES

We consider a semiconductor quantum wire with strong Rashba spin-orbit coupling u in an external Zeeman field B. For definiteness, we take the spin-orbit field and the Zeeman field to point along the x- and z directions, respectively. If the wire is in proximity to an *s*-wave superconductor, the corresponding Bogoliubov-de Gennes (BdG) Hamiltonian takes the form^{11,12}

$$\mathcal{H} = \left(\frac{p^2}{2m} + up\sigma_x + V(x) - \mu\right)\tau_z - B\sigma_z + \Delta\tau_x.$$
 (1)

Here, p and x denote the momentum and position along the wire and Δ is the proximity-induced pairing potential, i.e., the gap in the absence of V, μ , and B. The Pauli matrices σ_i (τ_i) operate in spin (particle-hole) space. Disorder is modeled through a Gaussian white noise potential V(x)with zero mean and correlator $\langle V(x)V(x')\rangle = \gamma \delta(x - x').^{24}$ The disorder strength γ is related to the mean scattering time $\tau_0 = u/\gamma$ at $\mu = B = 0$.

Equation (1) is written in a basis which corresponds to the four-component Nambu operator $\Psi = [\psi_{\uparrow}, \psi_{\downarrow}, \psi_{\downarrow}^{\dagger}, -\psi_{\uparrow}^{\dagger}]$ in terms of the electronic field operator $\psi_{\sigma}(x)$. In this basis, the time-reversal operator takes the form $T = i\sigma_y K$, where *K* denotes complex conjugation. The BdG Hamiltonian Eq. (1) obeys the symmetry $\{\mathcal{H}, CT\} = 0$, with $C = -i\tau_y$. Thus, if $|\psi\rangle$ is an eigenspinor of \mathcal{H} with energy *E*, $CT|\psi\rangle$ is an eigenspinor of energy -E. Zero-energy Majorana fermions are



FIG. 1. (Color online) Dispersion relations in the absence of disorder. (a) Quantum wire in the normal phase and zero Zeeman field. (b) Quantum wire in the normal phase and finite Zeeman field $B \ll \varepsilon_{so}$, including an illustration (red arrows) of a second-order process contributing to the effective disorder potential in the regime of intermediate Zeeman fields. (c) Quantum wire in the normal phase and strong Zeeman field $B \gg \varepsilon_{so}$. (d) Quasiparticle excitation spectrum near (full line) and at (dashed line) the topological phase transition $\Delta = B$. The red (dashed) arrows illustrate a second-order process to the high-energy subspace near p = 0, which contributes to the random Zeeman field in the low-energy model.

characterized by spinors satisfying $CT|\psi_0\rangle = |\psi_0\rangle$ Majorana modes by $CT|\psi_p\rangle = |\psi_{-p}\rangle$.

III. REGIMES AND EFFECTIVE LOW-ENERGY MODELS

In the absence of disorder and of the proximity-induced gap, i.e., for $\Delta = V(x) = 0$, the Hamiltonian Eq. (1) has the particle dispersion $E_{p,\pm}^{(0)} = p^2/2m \pm [(up)^2 + B^2]^{1/2}$. A topological superconducting phase requires that there are only two Fermi points [Figs. 1(a)–1(c)]. In this case, the chirality dependence of the spin orientation induced by the Rashba coupling turns the normal state into a helical liquid.^{11,12} The superconducting phase for $B < \Delta$ is a conventional, nontopological *s*-wave superconductor. A topological superconducting phase can be realized when the Zeeman field is larger than Δ . For strong spin-orbit coupling $\epsilon_{so} \gg \Delta$ (with the spin-orbit energy $\epsilon_{so} = mu^2/2$), it is natural to distinguish three regimes, as follows.

A. Weak Zeeman fields ($\epsilon_{so} \gg B > \Delta$ with $B - \Delta \ll \Delta$)

The gap at p = 0 is given by $b = B - \Delta$ in the presence of both Zeeman field and proximity-induced superconductivity. Thus, as long as $|B - \Delta| \ll \Delta$, the gap at p = 0 is much smaller than the proximity-induced gap at the Fermi points which is equal to Δ . In this case, the relevant low-energy degrees of freedom for $\mu \simeq 0$ are those with momenta p near p = 0.¹² (Note that here we take Δ and B to be positive.)

We can develop a low-energy model by focusing on small p where $p^2/2m$ can be neglected relative to the spin-orbit term and expanding about the critical point $B = \Delta$ at which the p = 0 gap closes. The low-energy subspace is then spanned by the eigenspinors $\langle x | p, \pm \rangle = (1/2\sqrt{L})[1, \pm 1, 1, \pm 1]^T e^{ipx}$ of the BdG Hamiltonian, and the Hamiltonian evaluated in this subspace takes the form

$$\mathcal{H} = \begin{pmatrix} up - v & -b + w \\ -b + w & -up + v \end{pmatrix}.$$
 (2)

Remarkably, all matrix elements of the disorder potential in the low-energy subspace vanish, so that, to this order, we find that the disorder fields v = w = 0. Note that the eigenspinors of the low-energy subspace correspond to Majorana modes.

The leading contributions to the disorder fields v and w are quadratic in the bare disorder potential, emerging from virtual excitations of high-energy states shown in Fig. 1(d).

Adding the contributions from the three relevant high-energy subspaces near p = 0 and $p = \pm p_F (p_F = 2mu)$, we obtain

$$v = V \frac{up}{u^2 p^2 + 4\Delta^2} V - \sum_{\pm} V \frac{u(p \pm p_F)/2}{u^2 (p \pm p_F)^2 + \Delta^2} V, \quad (3)$$

$$w = V \frac{2\Delta}{u^2 p^2 + 4\Delta^2} V + \sum_{\pm} V \frac{\Delta/2}{u^2 (p \pm p_F)^2 + \Delta^2} V.$$
(4)

In the low-energy limit, the random gauge field v(x) vanishes, while w(x) is a Gaussian white noise Zeeman field with $\langle w(x) \rangle = \gamma/u$ and $\langle w(x)w(x') \rangle - \langle w(x) \rangle^2 = (\gamma^2/2u\Delta)\delta(x - x')$. The effective Hamiltonian Eq. (2) remains a valid approximation for $\gamma \ll \gamma_{\text{max}} \sim u\Delta$.

B. Intermediate Zeeman fields ($\varepsilon_{so} \gg B \gg \Delta$)

When the Zeeman field is much larger than the proximityinduced gap Δ , but still small compared to the spin-orbit energy ε_{so} , the gap at p = 0 is of order *B* and thus much larger than the gap at the Fermi points of order Δ . In this case, the relevant low-energy degrees of freedom for $\mu \simeq 0$ are those near the Fermi points $\pm p_F$. It is important to realize that the spin orientation at the Fermi points is dominated by the spin-orbit coupling so that electrons at the two Fermi points have almost antiparallel spins. Thus, the proximity effect is not attenuated by spin effects, while disorder-induced backscattering is strongly suppressed.

This can be made explicit by projecting the original Hamiltonian Eq. (1) onto the lower band of the normal-state Hamiltonian of the clean wire. The corresponding electron and hole eigenspinors are $|p,e\rangle =$ $[\cos(\alpha/2), -\sin(\alpha/2), 0, 0]^T e^{ipx} / \sqrt{L}$ and $|p,h\rangle = [0, 0, \sin(\alpha/2), \cos(\alpha/2)]^T e^{ipx} / \sqrt{L}$ with $\tan \alpha = up/B$. Evaluating the matrix elements of the full Hamiltonian in this low-energy subspace and linearizing the dispersion about the Fermi points, we find a spinless *p*-wave superconductor:

$$\mathcal{H} = v_{\rm eff}(p\lambda_z - p_F)\tau_z + \Delta_{\rm eff}\lambda_y\tau_x + V_{\rm eff}(x)\lambda_x\tau_z, \quad (5)$$

with Fermi momentum $p_F = 2mu$, Fermi velocity $v_{\text{eff}} = u$, and gap function $\Delta_{\text{eff}} = \Delta$. The disorder potential has the correlation function $\langle V_{\text{eff}}(x)V_{\text{eff}}(x')\rangle = \gamma_{\text{eff}}\delta(x - x')$, corresponding to the scattering time $\tau_{\text{eff}} = v_{\text{eff}}/\gamma_{\text{eff}}$. The Pauli matrices λ_i operate in the space of left- and right movers. In Eq. (5), we ignore forward scattering by disorder, which is not expected to affect the results. Unlike for weak Zeeman fields, the effective disorder potential $V_{\text{eff}}(x)$ has a contribution $V_{\text{eff}}(x) = (B/4\varepsilon_{\text{so}})V(x)$ [such that $\gamma_{\text{eff}} = (B/4\varepsilon_{\text{so}})^2\gamma$] which is linear in the bare disorder potential. However, this contribution includes a strong suppression by spin effects, and, for strong enough disorder $\gamma \gg \gamma_{\text{lin}} \sim (Bu)(B/\varepsilon_{\text{so}})^2$, the dominant contribution to V_{eff} remains quadratic in the bare disorder potential, involving virtual intermediate states near p = 0 [Fig. 1(b)]. We find that the corresponding magnitude of the effective disorder potential is $\gamma_{\text{eff}} = \gamma^2/2Bu$. Note that, for intermediate Zeeman fields, the projection to the lower sub-band remains well defined for $\gamma \ll Bu$.

C. Strong Zeeman fields $(B \gg \varepsilon_{so} \gg \Delta)$

The low-energy theory in this limit has been derived previously for the clean case and can be obtained as in the case of intermediate Zeeman fields.¹⁶ The relevant degrees of freedom remain those in the vicinity of the Fermi points [Fig. 1(c)], but the spin orientation is now dominated by the Zeeman field and electrons at the two Fermi points have essentially parallel spin. Consequently, the proximity effect is suppressed, while backscattering is controlled directly by the bare disorder potential V(x). Indeed, the projection [valid for $\gamma \ll Bu(B/\epsilon_{so})^{1/2}$] readily yields a spinless *p*-wave superconductor [Eq. (5)]. Unlike in the regimes of weak and intermediate Zeeman fields, the detailed parameters differ for the cases of fixed chemical potential $\mu \simeq 0$ and fixed density $n = 2mu/\pi$. For fixed μ , one finds $p_F = (2mB)^{1/2}$, $v_{\rm eff} = (2B/m)^{1/2}$, and $\Delta_{\rm eff} = 2\Delta(\varepsilon_{\rm so}/B)^{1/2}$. For fixed *n*, we have $p_F = 2mu$, $v_{eff} = u$, and $\Delta_{eff} = 4\Delta(\varepsilon_{so}/B)$. In both cases, $V_{\text{eff}}(x) = V(x)$.

IV. PHASE DIAGRAM

Equation (5) is the continuum version of the lattice model studied by Motrunich *et al.*²⁰ It has a sharp transition to a nontopological phase when $\Delta_{\text{eff}}\tau_{\text{eff}} = 1/2$. At the critical disorder strength the density of states $\nu(\varepsilon)$ is singular:^{20,25–27}

$$\nu(\varepsilon) \propto |(v_{\rm F}\varepsilon/\Delta_{\rm eff})\ln^3(\varepsilon/\Delta_{\rm eff})|^{-1},$$
 (6)

whereas for weaker disorder $v(\varepsilon)$ has a power-law tail with an exponent that depends on τ_{eff} :^{20,27}

$$\nu(\varepsilon) \propto \varepsilon^{4\Delta_{\rm eff}\tau_{\rm eff}-3}.$$
 (7)

Although both the topological and the nontopological phases have a gapless excitation spectrum in the presence of disorder, the topological phase distinguishes itself by the presence of Majorana end states at an energy that is exponentially small in the wire length L.²⁰ Alternatively, for a wire that is coupled to normal-metal leads, the topological phase is characterized by a negative sign of the determinant of the reflection matrix r, whereas det r is positive for the nontopological phase.²⁸

These results allow us to derive the phase diagram of the quantum wire as function of Zeeman coupling and disorder in some detail. Consider first the regime discussed in Sec. III C of large Zeeman fields at fixed μ , for which $1/\tau_{\text{eff}} = \gamma (m/2B)^{1/2}$ and $\Delta_{\text{eff}} = 2\Delta(\varepsilon_{\text{so}}/B)^{1/2}$. Hence, the phase boundary between the topological and nontopological phases is at the critical disorder strength $\gamma_{\text{cr}} = 4\Delta u$. The critical disorder strength

decreases with the Zeeman field due to the concurring suppression of the *p*-wave gap Δ_{eff} when considering the case

that $\gamma_{\rm cr} = 8\Delta u(\varepsilon_{\rm so}/B)$. In the intermediate regime presented in Sec. III B, the contribution to $V_{\text{eff}}(x)$ which is linear in V(x) gives rise to an elastic scattering rate $1/\tau_{\rm eff} = \gamma (B/4\varepsilon_{\rm so})^2/u$. The associated critical disorder strength is $\gamma_{\rm cr} = 2u\Delta(4\varepsilon_{\rm so}/B)^2$. This result is appropriate as long as $\gamma_{\rm cr} \ll \gamma_{\rm lin}$, which holds for $B \gg$ $(\Delta/\varepsilon_{\rm so})^{1/5}\varepsilon_{\rm so}$. The expression for $\gamma_{\rm cr}$ decreases with the Zeeman field, so that the critical disorder strength does not increase with B throughout the entire range of B where the phase boundary between topological and nontopological phases is governed by the disorder contribution which is linear in the bare disorder potential. In contrast, the critical disorder strength increases with B when $B \ll (\Delta/\varepsilon_{so})^{1/5}\varepsilon_{so}$, where the quadratic contribution to $V_{\rm eff}$ is dominant. The quadratic contribution to $V_{\rm eff}$ gives an elastic scattering rate of $1/\tau_{\rm eff} = \gamma^2/2Bu^2$. This yields $\gamma_{\rm cr} = 2(\Delta B u^2)^{1/2}$ for the critical disorder strength.

of fixed density, where $1/\tau_{\rm eff} = \gamma/u$ and $\Delta_{\rm eff} = 4\Delta(\varepsilon_{\rm so}/B)$ so

In the regime of weak Zeeman fields (Sec. III A), the low-energy model in Eq. (2) maps onto a random-hopping chain with staggered mean hopping.²⁵ In this model, an infinite disorder strength is needed to tune to the critical point if a finite gap is present in the nondisordered chain,^{29,30} indicating that any finite disorder strength preserves the topological phase. However, we still find a dependence of the phase boundary on disorder due to a more basic effect: since the effective disorder potential is quadratic in the bare disorder V(x), the disorder-induced Zeeman field w(x) has not only a random component but also a finite average $\langle w(x) \rangle = \gamma/u$. Due to this average, the phase boundary is no longer given by b = 0, but rather determined by $b - \gamma/u = 0$ or $B = \Delta + \gamma/u$. The density of states shows a power-law dependence on both sides of the topological phase transition, with

$$\nu(\varepsilon) \propto \varepsilon^{\alpha - 1}$$
, with $\alpha = \gamma^{-2} |4u\Delta(bu - \gamma)|$. (8)

Exactly at the phase transition, $v(\varepsilon)$ has the Dyson singularity (6),^{25,29,30} with $\Delta_{\text{eff}} = \gamma^2/4u^2\Delta$. Of course, these conclusions are restricted to disorder strengths smaller than the maximal disorder strength $\gamma_{\text{max}} \sim u\Delta$, where the mapping to the Hamiltonian in Eq. (2) is valid. In fact, the matching with the regime of intermediate Zeeman fields shows that the topological phase is lost once disorder becomes of the order of γ_{max} .

We have also computed the critical disorder strength numerically with a method based on a scattering approach to the full model [Eq. (1)]. The method, which is explained in detail in the Appendix, consists of computing numerically the reflection matrix r(L) of a wire of length L. The existence of the a topological phase (i.e., the presence of Majorana bound states) is then signaled by the condition det[r(L)] = -1, as opposed to det[r(L)] = 1 for a nontopological gapped phase.³¹

V. CONCLUSION

Our results for the critical disorder strength versus the Zeeman field are summarized in Fig. 2, showing both the analytical and numerical results for the phase boundary. This phase diagram emphasizes the important implication that



FIG. 2. (Color online) Phase diagrams of the quantum wire as a function of Zeeman field *B* and disorder strength γ in a log-log plot for (a) fixed density *n* and (b) fixed chemical potential including numerical results. In (a), the long-dashed line delineates the limit of validity of the low-energy models; at the short-dashed line, $V_{\text{eff}}(x)$ changes between linear and quadratic dependence on the bare disorder V(x). In (b), the numerics is based on calculating the determinant of the reflection matrix for the full Hamiltonian [Eq. (1)].²⁸ $\gamma_{cr,ii}$ (dashed line) interpolates between the linear and quadratic contributions to γ_{eff} for intermediate Zeeman fields.

the topological superconducting phase is most stable against disorder at the optimal Zeeman field $B_{opt} \sim (\Delta/\varepsilon_{so})^{1/5}\varepsilon_{so}$. This is valid for fixed chemical potential [Fig. 2(b)], but most pronounced for fixed density [Fig. 2(a)], where the critical disorder strength $\gamma_{cr} \rightarrow 0$ as $B \rightarrow \infty$.

As discussed above, the cause of this effect is the vanishing of the effective p-wave gap Δ_{eff} in the limit $B \to \infty$. It is instructive to compare the large-B limit of the semiconductor model considered here with that of a half-metallic ferromagnet, brought into electrical contact with a superconductor with spinorbit coupling.^{14,15} In both models, charge carriers are fully spin polarized. Yet, the induced gap Δ_{eff} is independent of the exchange field for the half metals of Refs. 14 and 15, the reason being that Δ_{eff} is set by the strength of the spin-orbit coupling in the superconductor. Since Δ_{eff} directly determines the critical disorder strength $\gamma_{\rm cr}$, we are thus lead to expect that, even at fixed density, the critical disorder strength γ_{cr} for a semiconductor wire can be made to saturate in the limit $B \rightarrow$ ∞ , if the helical state in the semiconductor wire is attributed to spin-orbit coupling in the superconductor, rather than spinorbit coupling intrinsic to the semiconductor.

ACKNOWLEDGMENTS

Upon completion of this manuscript Ref. 32 appeared, which discusses the influence of impurities in the superconductor on the proximity effect. We acknowledge discussions with Yuval Oreg and financial support by the Deutsche Forschungsgemeinschaft through priority program SPP 1285 as well as by the Alexander von Humboldt foundation.

APPENDIX: NUMERICAL ANALYSIS OF THE TOPOLOGICAL PHASE

In this Appendix, we describe the algorithm that allows us to find the boundary between the normal and the topological phase in a superconducting quantum wire with spin-orbit interaction. The topological phase is marked by the existence



FIG. 3. Quantum wire of length *L* (grey shading) described by Hamiltonian \mathcal{H} , Eq. (A2), with unperturbed leads (white) described by the Hamiltonian \mathcal{H}_0 . The reflection matrix r(L) is found by repeatedly concatenating scattering matrices of segments with length δL .

of bound Majorana end states. Knowledge of the reflection matrix r(L) of the wire (with length L) allows one to determine whether Majorana states exist and, thus, to determine the topological properties of the wire. Specifically, an odd number of Majorana bound states exist precisely if det $r = -1.^{31}$ The numerical analysis thus focuses on the scattering matrix S(L) of the wire and its reflections submatrix r(L).

The situation we consider is shown in Fig. 3. The scattering states are chosen as the eigenstates of the Hamiltonian \mathcal{H}_0 of a "free" wire (lead) with u = 0, $\Delta = 0$, and B = 0, but with finite chemical potential μ_0 :

$$\mathcal{H}_0 = \left(\frac{p^2}{2m} - \mu_0\right) \tau_z. \tag{A1}$$

The lead is attached to the central wire segment with nonzero u, Δ , B, and chemical potential μ . The Hamiltonian of the central segment is given by

$$\mathcal{H} = \mathcal{H}_0 + \delta \mathcal{H},\tag{A2}$$

with

$$\delta \mathcal{H} = \Delta \tau_x + V(x)\tau_z + up\sigma_x\tau_z - B\sigma_z + (\mu_0 - \mu)\tau_z.$$
 (A3)

Here the symbols u, V(x), Δ , and B are explained in the main text. The scattering matrix of a segment of length L of the wire has the form

$$S(L) = \begin{bmatrix} t(L) & r'(L) \\ r(L) & t'(L) \end{bmatrix},$$
 (A4)

where t, r, and t', r' are transmission and reflection matrices for scattering states incoming from the left and from the right, respectively. The scattering matrix of two wire segments attached to each other, whose individual scattering matrices are S_1 and S_2 , is given by

$$S_{12} = S_1 \otimes S_2 = \begin{pmatrix} t_{12} & r'_{12} \\ r_{12} & t'_{12} \end{pmatrix},$$
 (A5)

where

$$t_{12} = t_2 (\mathbf{1} - r_1' r_2)^{-1} t_1, \tag{A6}$$

$$r_{12} = r_1 + t_1' (\mathbf{1} - r_2 r_1')^{-1} r_2 t_1, \tag{A7}$$

$$t'_{12} = t'_1 (\mathbf{1} - r_2 r'_1)^{-1} t'_2, \tag{A8}$$

$$r'_{12} = r'_2 + t_2 (\mathbf{1} - r'_1 r_2)^{-1} r'_1 t'_2.$$
 (A9)

The algorithm to find S(L) consists of repeated concatenations of scattering matrices. The wire with length *L* is split into segments of length δL , and the scattering matrices $S_{\delta} = S(\delta L)$ of the segments are combined according to Eq. (A5). This method has been used previously to study disordered wires with unconventional superconductivity³³ and conductivity scaling in graphene.³⁴ In the limit where the segment length δL is small (such that $W \equiv S_{\delta} - 1$ deviates only slightly from the zero matrix), the scattering matrix of a segment can be calculated analytically in linear order in δL (see subsection below). [Note that this approximation is not based on the smallness of the perturbations $\delta \mathcal{H}$ but on the smallness of δL .]

The initial condition S(0) is taken to be (open wire)

$$S = \begin{pmatrix} \mathbf{1} & \mathbf{0} \\ \mathbf{0} & \mathbf{1} \end{pmatrix}. \tag{A10}$$

In order to preserve unitarity to all orders, we set

$$S_{\delta} \rightarrow S_{\delta} = \left(\mathbf{1} + \frac{1}{2}W\right) \left(\mathbf{1} - \frac{1}{2}W\right)^{-1},$$
 (A11)

where $W = S_{\delta} - 1$ contains the leading-in- δL contributions.

A. Scattering matrix of a small segment

To linear order in the segment length δL the scattering matrix S_{δ} can be obtained analytically. The scattering states in the leads are indexed by electron-hole character $\sigma = \pm 1$, propagation direction (right-moving, left-moving) $\rho = \pm 1$, spin in *z* direction (up, down) $s = \pm 1$, and energy measured from the Fermi energy, ϵ . They are of the form

$$\psi_{\sigma,\rho,s,\epsilon} = \frac{e^{i\sigma\rho k_{\sigma,\epsilon}x}}{\sqrt{v_{\sigma,\epsilon}}}\chi_{\sigma,s},\tag{A12}$$

where $k_{\sigma,\epsilon} = \sqrt{2m(\mu_0 + \sigma\epsilon)}$ is the momentum, $v_{\sigma,\epsilon} = k_{\sigma,\epsilon}/m$ is the velocity, and χ is a four-component spinor in Nambuand spin space. To linear order in δL the contribution S_{δ} from each term $\delta \mathcal{H}$ can be calculated individually. The contributions from the deterministic terms in $\delta \mathcal{H}$ are obtained by matching the scattering states $\psi_{\sigma,\rho,s,\epsilon}$ at the interface between the leads and the wire to eigenfunctions of the wire segment. For the disorder potential V(x) the contribution to S_{δ} is random and, to leading order in δL , can be obtained using the first-order Born approximation:

$$\delta r_{\sigma\sigma',ss'}^{V} = -\frac{i}{\hbar} \langle \psi_{\sigma',-,s',\epsilon} | V \tau_{z} | \psi_{\sigma,+,s,\epsilon} \rangle$$

$$= \frac{-i}{\hbar} \int_{0}^{\delta L} \frac{V(x) e^{i(\sigma' k_{\sigma',\epsilon} + \sigma k_{\sigma,\epsilon})x} \delta_{s,s'} \delta_{\sigma,\sigma'}}{\sqrt{v_{\sigma,\epsilon} v_{\sigma',\epsilon}}} dx, \quad (A13)$$

$$\delta t_{\sigma\sigma',ss'}^{V} = -\frac{i}{\hbar} \langle \psi_{\sigma',+,s',\epsilon} | V \tau_{z} | \psi_{\sigma,+,s,\epsilon} \rangle$$

$$= \frac{-i}{\hbar} \int_{0}^{\delta L} \frac{V(x) e^{i(\sigma' k_{\sigma',\epsilon} - \sigma k_{\sigma,\epsilon})x} \delta_{s,s'} \delta_{\sigma,\sigma'}}{\sqrt{v_{\sigma,\epsilon} v_{\sigma',\epsilon}}} dx, \quad (A14)$$

and similarly for t' and r'. From the correlator $\langle V(x)V(x')\rangle = \gamma \delta(x - x')$ one finds

$$\left(\left|\delta r_{\sigma\sigma',ss'}^{V}\right|^{2}\right) = \left\langle\left|\delta t_{\sigma\sigma',ss'}^{V}\right|^{2}\right\rangle = \delta_{s,s'}\delta_{\sigma,\sigma'}\frac{\delta L}{(\hbar v_{F})^{2}}\gamma, \quad (A15)$$

where $v_F = v_{\sigma,\epsilon=0}$ is the Fermi velocity. For each segment the contribution of V to S_{δ} is thus taken as a random matrix with zero average and mean square given by Eq. (A15).

B. Phase diagram

Since the wire has a superconducting gap the reflection part r(L) of the scattering matrix becomes unitary in the limit of a long wire, and for $\epsilon = 0$ the determinant of r(L) approaches either det $r \rightarrow +1$ or det $r \rightarrow -1$, with the latter indicating the existence of a Majorana state. To obtain the phase diagram the algorithm is repeated for different magnetic fields *B* and different disorder strengths γ (but with a single disorder realization for each disorder strength). From this the determinant det r(L) is calculated and plotted as a function of *B* and γ . We have verified that the phase diagram is independent of the choice of the chemical potential μ_0 in the lead. The data is shown in Fig. 2(b) together with the theoretically predicted phase boundaries.

- ¹A. Kitaev, Ann. Phys. **303**, 2 (2003).
- ²M. H. Freedman, Proc. Natl. Acad. Sci. **95**, 98 (1998).
- ³J. Fröhlich and F. Gabbiani, Rev. Math. Phys. 2, 251 (1990).
- ⁴G. Moore and N. Read, Nucl. Phys. B 360, 362 (1991).
- ⁵C. Nayak, S. H. Simon, A. Stern, M. Freedman, and S. Das Sarma, Rev. Mod. Phys. **80**, 1083 (2008).
- ⁶F. Wilczek, Nat. Phys. **5**, 614 (2009); M. Franz, Physics **3**, 24 (2010).
- ⁷N. Read and D. Green, Phys. Rev. B **61**, 10267 (2000).
- ⁸L. Fu and C. L. Kane, Phys. Rev. Lett. **100**, 096407 (2008).
- ⁹J. D. Sau, R. M. Lutchyn, S. Tewari, and S. Das Sarma, Phys. Rev. Lett. **104**, 040502 (2010).
- ¹⁰A. C. Potter and P. A. Lee, Phys. Rev. Lett. **105**, 227003 (2010).
- ¹¹R. M. Lutchyn, J. D. Sau, and S. Das Sarma, Phys. Rev. Lett. **105**, 077001 (2010).
- ¹²Y. Oreg, G. Refael, and F. von Oppen, Phys. Rev. Lett. **105**, 177002 (2010).
- ¹³P. A. Lee, e-print arXiv:0907.2681 (unpublished).

- ¹⁴M. Duckheim and P. W. Brouwer, Phys. Rev. B 83, 054513 (2011).
- ¹⁵S. B. Chung, H.-J. Zhang, X.-L. Qi, and S.-C. Zhang, Phys. Rev. B **84**, 060510 (2011).
- ¹⁶J. Alicea, Y. Oreg, G. Refael, F. von Oppen, and M. P. A. Fisher, Nature Phys. **7**, 412 (2011).
- ¹⁷C. W. J. Beenakker, Rev. Mod. Phys. **69**, 731 (1997).
- ¹⁸P. G. De Gennes, *Superconductivity of Metals and Alloys* (W. A. Benjamin, New York, 1966).
- ¹⁹G. E. Volovik, JETP Lett. **70**, 609 (1999).
- ²⁰O. Motrunich, K. Damle, and D. A. Huse, Phys. Rev. B **63**, 224204 (2001).
- ²¹K. Flensberg, Phys. Rev. B 82, 180516(R) (2010).
- ²²V. Shivamoggi, G. Refael, and J. E. Moore, Phys. Rev. B 82, 041405 (2010).
- ²³A. Y. Kitaev, Phys. Usp. 44, 131 (2001).
- ²⁴Note that the topological phase is stable against weak interactions; see S. Gangadharaiah, B. Braunecker, P. Simon, and D. Loss, Phys.

Rev. Lett. **107**, 036801 (2011); E. Sela, A. Altland, and A. Rosch, Phys. Rev. B **84**, 085114 (2011); E. M. Stoudenmire, J. Alicea, O. A. Starykh, and M. P. A. Fisher, *ibid*. **84**, 014503 (2011).

- ²⁵F. J. Dyson, Phys. Rev. **92**, 1331 (1953); G. Theodorou and M. H. Cohen, Phys. Rev. B **13**, 4597 (1976); T. P. Eggarter and R. Riedinger, *ibid.* **18**, 569 (1978).
- ²⁶P. W. Brouwer, A. Furusaki, I. A. Gruzberg, and C. Mudry, Phys. Rev. Lett. **85**, 1064 (2000).
- ²⁷I. A. Gruzberg, N. Read, and S. Vishveshwara, Phys. Rev. B 71, 245124 (2005).
- ²⁸A. R. Akhmerov, J. P. Dahlhaus, F. Hassler, M. Wimmer, and C. W. J. Beenakker, Phys. Rev. Lett. **106**, 057001 (2011).

- ²⁹A. A. Ovchinnikov and N. S. Érikhman, Zh. Eksp. Teor. Fiz. **73**, 650 (1977) [Sov. Phys. JETP **46**, 340 (1977)].
- ³⁰J. P. Bouchaud and A. Georges, Phys. Rep. **195**, 127 (1990).
- ³¹I. C. Fulga, F. Hassler, A. R. Akhmerov, and C. W. J. Beenakker, Phys. Rev. B **83**, 155429 (2011).
- ³²A. C. Potter and P. A. Lee, Phys. Rev. B **83**, 184520 (2011).
- ³³P. W. Brouwer, A. Furusaki, and C. Mudry, Phys. Rev. B **67**, 014530 (2003).
- ³⁴J. H. Bardarson, J. Tworzydlo, P. W. Brouwer, and C. W. J. Beenakker, Phys. Rev. Lett. **99**, 106801 (2007).