

Oscillating Sign of Drag in High Landau Levels

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Motivated by experiments, we study the sign of the Coulomb drag voltage in a double layer system in a strong magnetic field. We show that the commonly used Fermi golden rule approach implicitly assumes a linear dependence of intralayer conductivity on density, and is thus inadequate in strong magnetic fields. Going beyond this approach, we show that the drag voltage commonly changes sign with density difference between the layers. We find that, in the quantum Hall regime, the Hall and longitudinal drag resistivities may be comparable. Our results are also relevant for pumping and acoustoelectric experiments.

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Drag experiments in coupled two-dimensional electron systems provide information on the response of a system at finite frequency and wave vector and are thus complementary to standard dc transport measurements [1,2]. In a typical drag experiment, a current is applied to the *active* layer of a double-layer system and the voltage V_D induced in the other *passive* layer is measured, with no current allowed to flow in that layer. In a simple picture of drag the current in the active layer leads—via *interlayer* Coulomb or phonon interaction—to a net transfer of momentum to the carriers in the passive layer. At conditions of zero current in the passive layer, this momentum transfer is counteracted by the buildup of the drag voltage V_D . In the cases of two electron layers or two hole layers, the drag voltage points opposite to the voltage drop in the active layer. This is defined as positive drag. Negative drag occurs in systems with one electron layer and one hole layer [2,3].

Prior theoretical work on drag [2,4,5] was often based on or reduced to a Fermi golden rule analysis (see, e.g., Zheng and MacDonald [1]). In this analysis, the sign of drag does not vary with magnetic field B , temperature T , or difference in Landau level (LL) filling factor ν between the two layers. By contrast, recent experiments at large perpendicular B [6–8] observe that the sign of drag changes with all of these parameters in systems of two coupled electron layers—specifically in the Shubnikov–de-Haas (SdH) and integer quantum Hall (IQH) regimes. Feng *et al.* [6] find positive drag whenever the topmost partially filled LLs in both layers are either less than half filled or more than half filled. Negative drag is observed when the topmost LL is less than half filled in one layer and more than half filled in the other. Even more surprisingly, Lok *et al.* [7] maintained that the sign of drag was sensitive to the relative orientation of the majority spins of the two layers at the Fermi energy.

In this paper, we show that the use of the Fermi golden rule approach is inappropriate in the SdH and IQH regimes and that a more careful analysis opens different routes to drag with changing sign. Remarkably, we find that Hall drag can be of the same magnitude as longitudinal drag in

these regimes. We emphasize that a naive rationale for the experimental results of Feng *et al.*—which points to the similarity between a less (more) than half-filled Landau level and an electron(hole)-like band—leaves out essential physics of the problem. Finally, we compare our results to the existing measurements [6,7] and propose some interesting experiments. We note that the sign changes discussed in this paper apply for *macroscopic* samples in contrast to those emerging as a result of mesoscopic fluctuations, cf. Ref. [9].

We start from a general linear-response expression [2,9] which relates the drag conductivity $\hat{\sigma}^D$ to the rectification coefficients $\Gamma(\mathbf{q}, \omega)$ of the active and passive layers,

$$\sigma_{ij}^D = \int \frac{d\omega}{2\pi} \sum_{\mathbf{q}} \frac{|U(\mathbf{q}, \omega)|^2}{8T \sinh^2(\omega/2T)} \times \Gamma_i^p(\mathbf{q}, \omega; B) \Gamma_j^a(\mathbf{q}, \omega; -B). \quad (1)$$

The drag conductivity gives the current response \mathbf{J}^p in the passive (dragged) layer via $J_i^p = \sigma_{ij}^D E_j^a$ to an applied electric field \mathbf{E}^a in the active layer. Its relation to the commonly measured drag resistivity is clarified below. In Eq. (1), $U(\mathbf{q}, \omega)$ is the screened interlayer (Coulomb or phonon-mediated) interaction and the rectification coefficient $\Gamma(\mathbf{q}, \omega)$ is defined by [10]

$$\mathbf{J}^{\text{dc}} = \sum_{\mathbf{q}, \omega} \Gamma(\mathbf{q}, \omega) |e \phi(\mathbf{q}, \omega)|^2. \quad (2)$$

In Eq. (2), \mathbf{J}^{dc} is the dc current induced in quadratic response to the driving force exerted by a screened potential $\phi(\mathbf{q}, \omega)$ of wave vector \mathbf{q} and frequency ω .

The physical interpretation of the drag expression Eq. (1) is that the voltage in the active layer creates an asymmetry (between \mathbf{q} and $-\mathbf{q}$) in the thermal density fluctuations in that layer. These fluctuations are transferred to the passive layer—via Coulomb or phonon interaction—where they are rectified to create a current. In experiment, usually the drag resistivity $\hat{\rho}^D$ is measured, which gives the electric field response \mathbf{E}^p in the

passive layer to a current \mathbf{J}^a driven in the active layer via $E_i^p = \rho_{ij}^D J_j^a$. For weak drag, it is related to the drag conductivity by

$$\hat{\rho}^D = \hat{\rho}^p \hat{\sigma}^D \hat{\rho}^a, \quad (3)$$

where $\hat{\rho}^{p(a)}$ is the resistivity tensor of the passive (active) layer.

A simple but instructive approach to rectification considers situations in which current and field are locally related, $\mathbf{J}(\mathbf{r}, t) = -\hat{\sigma}[n(\mathbf{r}, t)]\nabla\phi(\mathbf{r}, t)$, and the conductivity depends on position and time only through the local density $n(\mathbf{r}, t)$ [11]. In addition to the current, the perturbation $\phi(\mathbf{q}, \omega)$ also induces a density perturbation $\delta n(\mathbf{q}, \omega) = -\Pi(\mathbf{q}, \omega)e\phi(\mathbf{q}, \omega)$ due to the polarizability $\Pi(\mathbf{q}, \omega)$. Up to quadratic order in the applied potential,

$$\mathbf{J}(\mathbf{r}, t) = -\left[\hat{\sigma}(n_0) + \frac{d\hat{\sigma}}{dn}\delta n(\mathbf{r}, t)\right]\nabla\phi(\mathbf{r}, t). \quad (4)$$

Taking the time and space average of the second term then yields a dc contribution to the current given by

$$\mathbf{J}_{\text{dc}} = -\sum_{\mathbf{q}, \omega} \left(\frac{d\hat{\sigma}}{dn}\right) [\Pi(\mathbf{q}, \omega)e\phi(\mathbf{q}, \omega)i\mathbf{q}\phi(-\mathbf{q}, -\omega)], \quad (5)$$

or, equivalently, the rectification coefficient is given by

$$\Gamma(\mathbf{q}, \omega) = \frac{d\hat{\sigma}}{d(en)} \cdot \mathbf{q} \text{Im}\Pi(\mathbf{q}, \omega). \quad (6)$$

At zero magnetic field, the conductivity is local when considering scales large compared to the mean free path ℓ_{el} , implying that Eq. (6) holds in the diffusive regime defined by $q\ell_{\text{el}} \ll 1$ [12]. At finite B , and for short-range disorder, Eq. (6) is still valid in the diffusive regime $\omega\tau, Dq^2\tau \ll 1$, where D and τ denote the appropriate diffusion constant and scattering time. Indeed, we have checked this [13] by an explicit diagrammatic calculation in the self-consistent Born approximation (SCBA) [14]. Specifically, once the magnetic field is strong enough such that the cyclotron radius $R_c = \hbar k_F/(eB)$ is small compared to ℓ_{el} and for short-range correlated disorder, the conductivity is local on scales larger than R_c , and Eq. (6) holds for $qR_c \ll 1$ [12]. Since $R_c \ll \ell_{\text{el}}$ even for very small magnetic fields, and since the diffusion constant decreases rapidly with the application of a magnetic field, Eq. (6) is expected to be applicable for a significant part of the wave-vector range of the integration in (1) for typical experiments.

Using Eq. (6) in the general drag expressions, Eqs. (1) and (3), one readily finds that, up to an overall *positive* prefactor, the drag resistivity tensor becomes

$$\hat{\rho}^D \sim \hat{\rho}^p \frac{d\hat{\sigma}^p}{d(en)} \frac{d\hat{\sigma}^a}{d(en)} \hat{\rho}^a. \quad (7)$$

This expression easily reproduces some standard results. In the absence of a magnetic field, the tensor structure is trivial. Observing that the conductivity increases (decreases) with increasing electron density for electron (hole) layers, we recover that coupled layers with the same type

of charge carriers exhibit positive drag, while coupled electron-hole layers have a negative drag resistivity. If the system is strictly electron-hole symmetric, the derivative of the conductivity with respect to density vanishes and, consequently, there is no drag. Treating the conductivity in the presence of a magnetic field in a simple Drude-type picture, $\hat{\sigma}$ depends linearly on the density en (so long as the scattering time is taken to be density independent). Equation (6) then reproduces results of previous works [1,2,5] that calculate drag in a Fermi golden rule or scattering time approximation. In particular, in this approximation it is immediately found from Eq. (7) that the drag resistivity is diagonal and Hall drag vanishes identically. Finite Hall drag can appear even in a Drude-type approximation once the scattering time is taken to be energy and thus density dependent [15].

Interesting new effects appear in the presence of a strong magnetic field, in the SdH and IQH regimes, where the derivative of σ_{xx} changes sign as the magnetic field or Fermi energy is varied. While this is superficially quite reminiscent of the experimental results of Refs. [6,7], the details can be involved due to the many terms that contribute, once the tensor products in Eq. (7) are multiplied out. In the experimental samples, typically $\rho_{xy} \gg \rho_{xx}$ already at very small magnetic fields. Thus, up to an overall positive prefactor,

$$\rho_{xx}^D \sim \rho_{xy}^p \left\{ \frac{d\sigma_{yy}^p}{d(en)} \frac{d\sigma_{yy}^a}{d(en)} + \frac{d\sigma_{yx}^p}{d(en)} \frac{d\sigma_{xy}^a}{d(en)} \right\} \rho_{yx}^a. \quad (8)$$

Generally, the derivative of the longitudinal conductivity σ_{xx} changes sign in the SdH and IQH regimes, being positive for less than half filling of the topmost LL and negative for more than half filling. By contrast, the Hall conductivity generally increases monotonically with n , and its derivative is therefore positive.

It is not clear, *a priori*, which of the two terms in the curly brackets of Eq. (8) dominates. We obtain an oscillatory sign of drag, similar to the experimental results in Ref. [6] when $d\sigma_{xx}/d(en)$ dominates over $d\sigma_{xy}/d(en)$. However, if that were the case, drag would be *negative for equal filling of the two layers* (because $\rho_{xy} = -\rho_{yx}$), in contrast to the experimental observations. Positive drag for equal filling is obtained only when $d\sigma_{xy}/d(en) \gg d\sigma_{xx}/d(en)$. Were that the case, however, there would presumably be no sign changes of the drag resistivity. In fact, in the IQH regime the derivatives of both components of the conductivity tensor are experimentally of the same order (both change by approximately e^2/h in the region of the plateau transition). While neither of the two limits is therefore realized in experiment, it is clear that Eq. (8) makes it difficult to obtain both positive drag for equal densities and a drag sign that oscillates with the difference in densities.

A striking consequence of Eq. (7) is that under conditions where $d\sigma_{xx}/d(en)$ and $d\sigma_{xy}/d(en)$ are of comparable magnitude as expected in the integer-quantum-Hall

regime, the Hall drag is of the same order as longitudinal drag. In fact, we have

$$\rho_{xy}^D \sim \rho_{xy}^p \left\{ \frac{d\sigma_{yy}^p}{d(en)} \frac{d\sigma_{yx}^a}{d(en)} + \frac{d\sigma_{yx}^p}{d(en)} \frac{d\sigma_{xx}^a}{d(en)} \right\} \rho_{xy}^a. \quad (9)$$

Moreover, Hall drag generally changes sign with filling factor difference between the two layers.

Although the experimental disorder potential is long ranged, it is instructive to consider also the case of short-range disorder, for which the SCBA [14] becomes *exact* in the limit of high LLs [16]. Assuming that the disorder broadening is small compared to the LL spacing, the conductivity tensor $\hat{\sigma}$ becomes [14] $\sigma_{xx} = (e^2/\pi^2\hbar) \times N\{1 - [(E_F - E_N)/2\gamma]^2\}$ and $\sigma_{xy} = -(en/B) + (e^2/\pi^2\hbar)(2\gamma/\hbar\omega_c)N\{1 - [(E_F - E_N)/2\gamma]^2\}^{3/2}$, where γ denotes the LL broadening, and the Fermi energy E_F is assumed to lie within the N th LL [of energy $E_N = (N + 1/2)\hbar\omega_c$ in the clean case]. Thus $|d\sigma_{xx}/d(en)| \gg |d\sigma_{xy}/d(en)|$ and $d\sigma_{xx}/d(en)$ changes sign as a function of filling—being positive for less than half-filled LLs and negative for more than half-filled LLs. Neglecting the derivatives of the Hall conductivity, one obtains for the diagonal drag resistivity of an isotropic system,

$$\rho_{xx}^D = C_{xx}(B, T) \frac{d\sigma_{xx}^p}{d(en)} \frac{d\sigma_{xx}^a}{d(en)} [\rho_{xx}^p \rho_{xx}^a - \rho_{xy}^p \rho_{xy}^a], \quad (10)$$

with C_{xx} a positive function. Thus, we again find the surprising result that drag is *negative* for equal densities in the two layers (since $|\rho_{xy}/\rho_{xx}| \geq \pi$). More generally, drag oscillates with difference in density between the layers. It is negative whenever the topmost occupied LLs in the two layers are both less than half filled or both more than half filled. It is positive if the topmost LL is less than half filled in one layer and more than half filled in the other. Moreover, the Hall drag resistivity is comparable to the diagonal drag resistivity also in this model situation. In fact, one has

$$\rho_{xy}^D = C_{xy}(B, T) \frac{d\sigma_{xx}^p}{d(en)} \frac{d\sigma_{xx}^a}{d(en)} [\rho_{xy}^p \rho_{xx}^a + \rho_{xx}^p \rho_{xy}^a], \quad (11)$$

with $C_{xy} = C_{xx}$. Unlike before, this result is now a consequence of the fact that ρ_{xx} and ρ_{xy} are of similar magnitudes.

When the LL broadening is comparable to but exceeds the LL spacing ($\omega_c\tau < 1$), we find [13] from a numerical evaluation of the SCBA equations that, by contrast, the derivative of σ_{xy} dominates over that of σ_{xx} while still changing sign as a function of filling (for $N\gamma/\hbar\omega_c \gg 1$). However, in this limit, σ_{xx} dominates over σ_{xy} (and, hence, ρ_{xx} over ρ_{xy}) so that, again, we find negative drag for equal filling of both layers.

Experiments do not necessarily satisfy the condition $Dq^2\tau \ll 1$ for the diffusive regime. Thus, we now turn to a discussion of drag in the ballistic regime where $Dq^2\tau \gg 1$. At zero B , Eq. (6) holds also in the ballistic regime [2].

Indeed, Eq. (6) with $\hat{\sigma}$ given by the Drude expression is also derivable within a Boltzmann approach which should be valid for $q/k_F, \omega/E_F \ll 1$ and at fields low enough that SdH oscillations are absent [13]. Surprisingly, we find that this is not generally true for higher magnetic fields. While we have not succeeded in deriving a general expression, analogous to Eq. (6), in this regime, we computed the rectification explicitly in the high-magnetic field limit introduced above.

Our calculation starts from the diagrammatic expression for the rectification coefficient [2],

$$\Gamma(\mathbf{q}, \omega) = \frac{\omega}{2\pi i} \text{Tr}\{\mathbf{I}G^- e^{i\mathbf{q}\mathbf{r}}[G^- - G^+]e^{-i\mathbf{q}\mathbf{r}}G^+\}. \quad (12)$$

Here, G^\pm denotes the impurity-averaged Green function in the SCBA, \mathbf{I} is the current operator, and Tr the trace over the single-particle states. The calculation is simplified by the fact that vertex corrections can be neglected in the ballistic limit.

Using standard results for the matrix elements of the current and density operators between LL wave functions and exploiting the small parameter $\gamma/\hbar\omega_c \ll 1$, we obtain for the longitudinal rectification

$$\Gamma_{\parallel} = \left(\frac{2\omega R_c}{N}\right) J_0(qR_c) J_1(qR_c) \left(\frac{dn}{d\mu}\right)^2 \frac{d\sigma_{xx}}{d(en)}, \quad (13)$$

with J_i the i th Bessel function and N the LL number. A similar calculation for the transverse rectification gives $\Gamma_{\perp} = (1/\omega_c\tau)\Gamma_{\parallel}$ or

$$\Gamma_{\perp} = \frac{2}{3} \left(\frac{2\omega R_c}{N}\right) J_0(qR_c) J_1(qR_c) \left(\frac{dn}{d\mu}\right)^2 \frac{d\sigma_{xy}}{d(en)}. \quad (14)$$

While both Γ_{\parallel} and Γ_{\perp} still include factors that can be written as derivatives of the corresponding conductivity, similar to the diffusive regime, the prefactors are no longer equal to one another and cannot be expressed in terms of the polarization operator $\Pi(q, \omega)$. Remarkably, the rectification in the ballistic limit also changes sign with qR_c due to the matrix elements of the density, in addition to the sign changes of $d\hat{\sigma}/d(en)$ discussed above. Note that such behavior would be impossible in Eq. (6) since the sign of $\text{Im}\Pi$, being fixed by the sign of ω , does not change with q .

It is not obvious whether these additional sign changes are reflected in the drag since the oscillating Bessel functions depend on q which needs to be integrated over to obtain $\hat{\sigma}^D$ [cf. Eq. (1)]. For Coulomb drag, the screened interlayer interaction can be approximated by the Thomas-Fermi result $U(\mathbf{q}, \omega) = \pi e^2 q / (\kappa_a \kappa_p \sinh qd)$ with $\kappa_a (\kappa_p)$ denoting the Thomas-Fermi momenta of the active (passive) layer and d the interlayer distance. Thus, the ω and q integrations in Eq. (1) factorize. One observes that the q integration is *not* dominated by the upper limit in the relevant region $1/R_c \ll q \ll 1/d$, and

thus there are no additional oscillations from the matrix elements in Coulomb drag. This result is also confirmed by numerical evaluation.

For phonon drag, the situation is slightly different. Using a qualitative approximation [5], $|U(q, \omega)|^2 \approx Kq^3 \times \delta(\omega - qv)$ with v the sound velocity, the integrals in Eq. (1) yield an additional factor $T^4[g(q_T|R_c^a - R_c^p|) + g(q_T|R_c^a + R_c^p|)]$, where $q_T = (T/T_B)k_F$ with $T_B = 2\hbar v k_F$ the Bloch-Grüneisen temperature (for typical samples $T_B \approx 10$ K). Here, $g(x)$ is a smooth function (which can be calculated analytically) that starts with $g(0) = 1$ and decreases for small x crossing zero at $x \approx 0.04$, then reaching a minimum of $g(0.075) \approx -0.37$, then increasing monotonically and exponentially towards zero. For all but the lowest temperatures, the term involving $|R_c^a - R_c^p|$ dominates, and thus the overall sign of the drag changes when $q_T|R_c^a - R_c^p| = |v_a - v_p|T/T_B \approx 0.04$.

In passing, we note that, while the sign changes of $\Gamma(\mathbf{q}, \omega)$ with qR_c in the ballistic limit seem to have only minor consequences for drag, they should be observable in other experiments of recent interest, namely, in pumping [17] and in acoustoelectric experiments [11], which also measure the rectification coefficient $\Gamma(\mathbf{q}, \omega)$ [18]. In pumping experiments, a potential $\phi(\mathbf{r}, t)$ is applied to the system which is typically made up of the sum of two potentials oscillating out of phase $\phi(\mathbf{r}, t) = \phi_1(\mathbf{r})\cos(\omega t) + \phi_2(\mathbf{r})\cos(\omega t + \delta)$. Because of the symmetries of $\Gamma(\mathbf{q}, \omega)$, the pumping current density is given by $\mathbf{J}^{\text{dc}} = \sin(\delta)\sum_{\mathbf{q}}\Gamma(\mathbf{q}, \omega)\text{Im}[\phi_1(\mathbf{q})\phi_2(-\mathbf{q})]$. In acoustoelectric experiments, acoustic waves sent through a (piezoelectric) crystal apply an “external” electric potential $\phi^{\text{ext}}(\mathbf{q}, \omega)$ to the electrons in the system with $\omega = cq$ and c the wave velocity. The driven electric current is given precisely by Eq. (2), where $\phi(\mathbf{q}, \omega) = \phi^{\text{ext}}(q, \omega)/[1 - v(q)\Pi(q, \omega)]$ is the screened potential associated with ϕ^{ext} [$v(q)$ denotes the bare Coulomb interaction]. We note that the acoustoelectric experiments of Ref. [11] have been successfully analyzed using Eq. (6).

For the cases considered here, an oscillating sign of drag is always accompanied by negative drag for equal fillings. This apparent conflict with the experimental results of Feng *et al.* might be resolved in one of the following ways: (i) For long-range disorder and $\hbar\omega_c$ comparable to the LL broadening, the Hall conductivity might become nonmonotonous, cf. Eq. (7) and the SCBA results. (ii) In measurements, the large Hall drag resistivity might mix into the measured drag response. On the other hand, a simple-minded extension of our results to include the two spin directions definitely fails to account for the apparent spin dependence observed in Ref. [7]. We take this failure as an indication that the observations of Ref. [7] cannot be explained by theories where correlations induced by Coulomb interactions are neglected.

In conclusion, we have considered drag in high LLs with an emphasis on the sign of the effect. Remarkably, we find that, both in the ballistic and the diffusive regimes, drag in high LLs *cannot* be described by a widely used Fermi golden rule expression [1]. Our analysis naturally opens the possibility of sign changes of the drag resistivity as a function of the filling factor difference between the two layers. Moreover, it implies that Hall drag can be of the same order as longitudinal drag in high LLs. Surprisingly, we find that the sign of drag can be quite different from naive expectations. In particular, in several regimes drag is *negative* for two identical electron layers. We believe that it would be particularly interesting to check experimentally our predictions that Hall drag can be of the same order as longitudinal drag and that the acoustoelectric current in the ballistic regime changes sign as a function of the wave vector.

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