

## Problem Set 11

### Quantum Field Theory and Many Body Physics (SoSe2018)

Due: Thursday, July 5, 2018 with Daniel

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In this problem set, we first discuss the effective theory of two interacting species of fermions. We find that the presence of the one fermion species induces an effective interaction between the particles of the other fermion species. This interaction is given by the polarization operator of the fermion species. We then turn to superconductivity. In the second problem, we discuss the gap equation which we introduced in class. Finally, we discuss how connecting a superconductor and a normal metal induces superconducting correlations in the normal metal. This is known as proximity effect.

#### Problem 1: Effective action for interacting species of fermions (10+5+10 points)

Consider the partition function of two species of fermions, labeled  $a$  and  $b$ , that interact via a local, spatially-uniform, and repulsive density-density interaction. We assume that particles of type  $a$  interact with particles of type  $b$  and vice versa, but particles do not interact with other particles of their own kind. This is described by the action

$$S = \int_0^\beta d\tau \int d\mathbf{r} \left[ \sum_{j=a,b} \psi_j^*(\mathbf{r}) G_0^{-1} \psi_j(\mathbf{r}) + v n_a(\mathbf{r}) n_b(\mathbf{r}) \right] \quad (1)$$

where  $G_0^{-1} = \partial_\tau + \mathbf{p}^2/2m - \mu$ ,  $v > 0$  is the strength of the repulsive local interaction,  $n_j(\mathbf{r}) = \psi_j^*(\mathbf{r})\psi_j(\mathbf{r}) - n_0$ , and  $n_0$  is a constant equal to the density of either species of particles.

(a) Express the partition function (normalized to the partition function of the non-interacting system) in terms of a functional integral and integrate out the  $\psi_b$  field. Express your result explicitly in terms of determinants.

(b) Derive an effective action for the fermions of type  $a$  by expansion of the result in (a) in powers of  $v$ . Show that the first order term in the expansion of the effective action is cancelled by the background term. *Hint:* Use the fact that  $G_0(\mathbf{r}, \tau; \mathbf{r}, \tau) = -n_0$  (prove that!), due to charge neutrality.

(c) Show that to quadratic order in  $v$ , you obtain an effective interaction between particles of type  $a$ . Show that this interaction is governed by the polarization operator  $\Pi$  of the particles of type  $b$ , i.e., that the effective action of the  $a$  fermions to quadratic order in  $v$  is given by

$$S = \int d\tau d\mathbf{r} \psi_a^*(\mathbf{r}) G_0^{-1} \psi_a(\mathbf{r}) + \int d\tau d\tau' \int d\mathbf{r} d\mathbf{r}' n_a(\mathbf{r}, \tau) v^2 \Pi(\mathbf{r}, \tau; \mathbf{r}', \tau') n_a(\mathbf{r}', \tau'). \quad (2)$$

Thus, even though there is no bare interaction between particles of the same kind, the presence of the other kind of particles effectively mediates an interaction.

#### Problem 2: BCS gap equation at finite temperature (25 points)

In class, we derived the BCS gap equation

$$\Delta = g \frac{1}{V} \sum_{\mathbf{k}} \frac{1}{\beta} \sum_{\Omega} \frac{\Delta}{\Omega^2 + \xi_{\mathbf{k}}^2 + \Delta^2}, \quad (3)$$

where  $\Omega$  is a fermionic Matsubara frequency. Perform the sum over  $\Omega$  and derive an (implicit) expression for the gap  $\Delta(T)$  as function of temperature  $T$  as well as for the critical temperature  $T_c$ . Determine how  $\Delta$  vanishes at  $T_c$ . What is the order parameter exponent  $\beta$ ?

**Problem 3: Proximity effect**

(25 points)

Consider a 3d superconductor which is coupled to a one-dimensional normal electron system in the sense that electrons can pass between the two systems. For energies below the gap of the superconductor, electrons can enter the superconductor only virtually. These virtual excursions into the superconductor effectively induce superconducting correlations in the normal electron system. In this problem, we want to discuss the physics of this proximity effect in more detail. It turns out that it provides a very nice example of the concept of quasiparticle weight.

Consider the Hamiltonian

$$\mathcal{H} = \mathcal{H}_{sc} + \mathcal{H}_{1d} + \mathcal{H}_t \quad (4)$$

describing a mean-field superconductor

$$\mathcal{H}_{sc} = \int d\mathbf{r} \psi_\sigma^\dagger(\mathbf{r}) \xi(-i\nabla) \psi_\sigma(\mathbf{r}) + \Delta \psi_\uparrow^\dagger(\mathbf{r}) \psi_\downarrow^\dagger(\mathbf{r}) + \Delta^* \psi_\uparrow(\mathbf{r}) \psi_\downarrow(\mathbf{r}), \quad (5)$$

the one-dimensional normal metal (say at  $y = z = 0$ )

$$\mathcal{H}_{1d} = \int dx \phi_\sigma^\dagger(x) \xi(-i\partial_x) \phi_\sigma(x), \quad (6)$$

and the tunnel coupling between the systems,

$$\mathcal{H}_t = t \int dx [\phi_\sigma^\dagger(x) \psi_\sigma(x, 0, 0) + \psi_\sigma^\dagger(x, 0, 0) \phi_\sigma(x)]. \quad (7)$$

Now, introduce two-component Nambu spinors  $\Psi$  for the superconductor and  $\Phi$  for the 1d system and show that the system is described by the action

$$S = \Phi^\dagger \mathcal{G}_{1d}^{-1} \Phi + \Psi^\dagger \mathcal{G}_{sc}^{-1} \Psi + \Psi^\dagger T \Phi + \Phi^\dagger T \Psi. \quad (8)$$

in matrix notation and an appropriate definition of the operator  $T$ . Integrate out the superconductor and obtain the effective action

$$S = \Phi^\dagger (\mathcal{G}_{1d}^{-1} + \Sigma) \Phi \quad (9)$$

in terms of the self energy

$$\Sigma(\mathbf{k}, i\omega_n) = -t^2 \sum_{k_y, k_z} \tau_z \mathcal{G}_{sc}(\mathbf{k}, i\omega_n) \tau_z \quad (10)$$

when written explicitly in momentum space (with  $A_\perp$  the cross-sectional area of the superconductor in the  $yz$ -plane). The momentum sum can be converted into an integral and performed explicitly. Do this integral to obtain

$$\Sigma(\mathbf{k}, i\omega_n) \simeq -\frac{\pi\nu_{2d}t^2}{\sqrt{\Delta^2 + \omega^2}} \begin{bmatrix} i\omega & -\Delta \\ -\Delta & i\omega \end{bmatrix}. \quad (11)$$

Here, we assumed that the self energy depends only weakly on  $k_x$  which is valid for sufficiently large  $\mu$ .

Note that the self energy has off-diagonal contributions which correspond to superconducting correlations induced in the normal metal. How large is the gap induced in the normal metal? What is the correlation length of the proximity-induced superconductivity? Ask your tutor about the quasiparticle weight and its physical interpretation.